

Research Paper: Development and Validation of Successful Aging Instrument



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ABSTRACT

Objectives: Successful aging is an interdisciplinary concept. Despite the great body of literature on successful aging, few studies have focused on its subjective and multidimensional measurements. Thus, the present study aimed to develop an instrument to measure self-perceived successful aging.

Methods: We used a mixed method approach. The successful aging dimensions were explored through an integrative review and qualitative study among 64 older adults. Based on the obtained qualitative data, initial item pool was designed and its content validity was evaluated. A quantitative survey among 600 older adults and exploratory factor analysis was applied to test the structural validity of the instrument.

Results: The EFA results indicated that the instrument loaded into seven factors; "psychological well-being", "social support", "financial and environmental security", "spirituality", "physical and mental health", "functional health", and "health-related behavior". In addition, the instrument had a high degree of reliability coefficients.

Discussion: The obtained results indicated methods of measuring successful aging, as well as the importance of generating social policy in the area of aging well; researchers should consider all dimensions of successful aging at individual and social levels.

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Highlights

- The self-perceived successful aging instrument with a seven-factor structure has appropriate content and construct validity as well as good reliability.
- This successful aging instrument covers all the individual (e.g. bio-psychological health), interpersonal (social support), and social (financial and environmental security) needs to better experience aging.

Plain Language Summary

Planning for aging well is one of the main challenges of social policymakers. In this regard, we need to evaluate the status quo of older adults in society and assess how people age and adopt with the losses of the aging process. In other words, how people age successfully is a crucial issue in social policy. In this article, the researchers develop an instrument for measuring successful aging concept based on the viewpoints of older adults. The successful aging instrument has seven dimensions, with 54 items. This instrument does not divide the elderly into groups of successful and unsuccessful but considers successful aging as a continuum. The successful aging instrument covers all the individual (e.g. bio-psychological health), interpersonal (social support), and social (financial and environmental security) needs to achieve better aging. Among these seven dimensions of successful aging, the most influential factor is “psychological well-being” which comprised “positive characteristics and capabilities of elderly people”, “satisfaction with life”, and “positive aging perceptions”. In summary, policymakers should consider the multidimensional and contextual nature of successful aging.

1. Introduction

The transition from midlife to later life is often accompanied by other transitions such as retirement, empty nest syndrome, and widowhood. While some older adults well adapt to the changes of aging and experience it well, some do not; they suffer from bio-psycho-social problems. To assess how people can age well and to identify the involved processes and components, researchers have searched for the concept of Successful Aging (SA) [1].

SA is a multidimensional and interdisciplinary concept. Despite the great body of research on SA, there is no consensus on its meaning or measuring [2-4]. This concept emerged from Robert Havighurst [5]. He focused on life satisfaction as a definition of successful aging. The SA developed by Rowe and Kahn consisted of three main practical dimensions, including disease avoidance, high physical and cognitive function maintenance, and an active engagement with life [6]. This definition of SA was modified by Crowther, Parker, Achenbaum, Larimore and Koenig. They revised the model and added the spirituality factor [7].

In the second version of successful aging, Rowe and Kahn expanded the concept and cited aging in society and the role of human capital life-course perspective as parts of successful aging [8]. Another well-known model

of SA that explains adapting to aging is Selection, Optimization, and Compensation (SOC) model by Baltes and Baltes [9]. Then, Schultz and Heckhausen (1996) developed a life span model based on the SOC and highlighted life course development [10]. Moreover, studies with qualitative and subjective approaches suggested SA as a contextual and cultural concept with a culturally oriented framework [11]. Thus, SA is used in different alternative terms, including gerotranscendence [12] and harmonious aging [13].

Regarding the objective dimension of successful aging, studies have used validated mental and physical tests such as MMSE and ADL [3]. Moreover, in terms of the subjective aspect of SA, researchers have directly asked elderly people about their aging process [14]. In most investigations, the elderly are divided into two groups of successful and unsuccessful. However, in reality, we need to consider SA as a continuum [15].

In addition, there have been attempts to develop a distinguished subjective SA instrument that determines life satisfaction [16], life management [17], and attitude toward SA [18]. Furthermore, SA assessment instrument by Troutman, Nies, Small and Bates is developed based on a literature review [19]. Therefore, the present study aimed to develop a multidimensional SA instrument based on elderly people's perceptions and literature review.

2. Methods

In this Study we used a mixed method approach (quantitative and qualitative data) to develop and validate the SA assessment tool. The study included 5 sequential phases that originated from the conceptualization of SA and its dimensions. Subsequently, an item pool was designed and content validity and face validity were evaluated. A revised instrument was applied on a larger population of older adults in Tehran City, Iran, through a survey to measure construct validity and convergent validity with the Satisfaction With Life Scale (SWLS) [20] and the known-groups validity of instrument. Finally, the reliability of the instrument was estimated by time stability and internal consistency.

The required data were gathered in 2015 through qualitative and quantitative approaches. In the qualitative phase, we interviewed 64 participants aged ≥ 60 years who lived in Tehran. We used purposeful stratified sampling to capture maximum variation [21]. The number of male and female participants was equal with the Mean \pm SD age of 72 \pm 9.07 years. The qualitative interview key questions included “the meaning of SA”,

“the characteristic of a successful elderly person”, and “adapting to aging losses”.

In the quantitative phase, using stratified multistage sampling, we interviewed 600 older adults in 22 districts of Tehran. The female to male ratio was slightly higher in the quantitative samples. Their mean age was approximately 70 years. About 75% of the samples were married and 23% were widowed. Approximately 17% of the samples were illiterate, 37% had primary education, and only 12% had a university degree. Regarding activity, the obtained data suggested that 42% of the samples were retired, and 12% were still in the labor force (Table 1).

3. Results

Conceptualization

We conceptualized SA with a directed content analysis approach. We explored SA dimensions through an integrative review and qualitative study of 64 older adults on their perceptions of SA [22, 23]. Qualitative data analysis indicated 16 sub-categories of SA. Additionally, Figure 1 shows 6 six main categories, as follows: “social well-being”, “psychological well-being”, “physical health”,

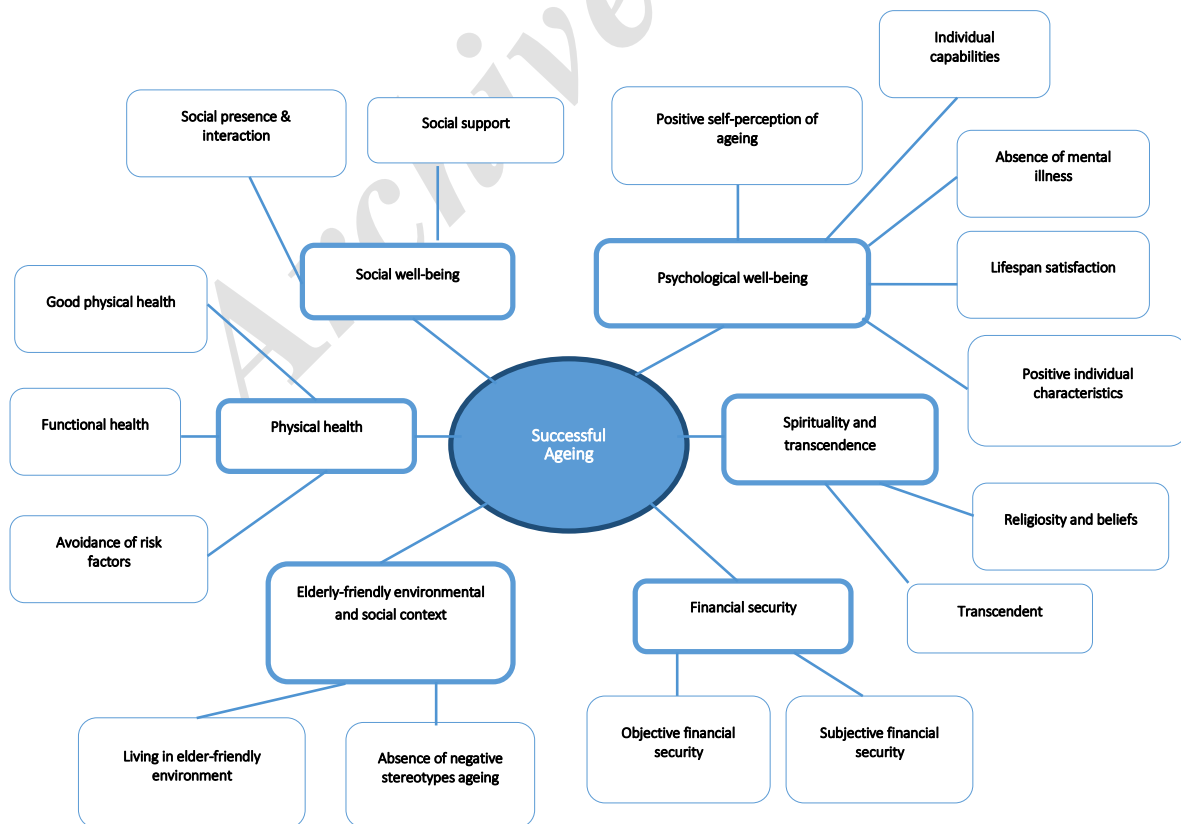


Figure 1. The SA conceptual framework emerged from qualitative phase

Table 1. The demographic characteristics of the samples in a quantitative survey

Demographic		No.	%
Gender	Male	293	48.8
	Female	307	51.2
Age, y	60-64	180	30.0
	65-69	143	23.8
	70-74	118	19.7
	75-80	77	12.8
	80<	82	13.7
Marital status	Single	5	0.8
	Married	450	75.0
	Widowed	136	22.7
	Divorced	9	1.5
Educational level	Illiterate	100	16.7
	Primary	221	36.8
	Secondary	73	12.2
	High School	131	21.8
	University	75	12.5
Occupational status	Employed	69	11.5
	Housewife	235	42.2
	Retired	251	41.8
Total		600	100.0

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“spirituality and transcendence”, “financial security”, and “elderly-friendly social context and environment”.

Developing the item pool and content validity

With respect to the findings from the qualitative phase, we designed an initial item pool that included 187 items. Its content and face validities were evaluated by 12 gerontology and psychometric experts. They rated the relevancy of items to SA concept on a scale of 0-10. Based on the expert panel's evaluation, the initial instrument was revised (rephrasing some items) and reduced to 85 items. Moreover, the revised initial instrument was completed by 64 elderly who participated in the qualitative study; based on the achieved results, 4 items were deleted due to lack of clarity.

For evaluating bias between the developed instrument and elderly peoples' perceptions, we conducted a cross-over analysis [24, 25]; we quantized (0, 1) the sub-categories of the qualitative data for every participant by the Interrespondent Matrix (Table 2). Then, we tested the correlation between this set of data and the scores of older adults obtained by the initial instrument of assessing SA. The obtained results revealed a significant and high canonical correlation between the quantitative and qualitative data. The Wilk's Lambda test results were significant at $P < 0.05$.

Construct validity

The revised instrument was prepared for field test. The instrument included 81 items with a Likert-type scale,

Table 2. Interrespondent Matrix to calculate effect size (n=64)

Sub-Categories ID	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	Total (n=16)	%
01	1	0	1	0	1	1	1	1	1	0	0	1	1	1	1	0	11	68.8
02	1	0	1	0	1	1	1	1	0	1	0	1	1	0	0	1	10	62.5
03	1	0	1	0	1	1	1	1	0	0	0	0	1	1	0	0	8	50.0
04	1	1	1	1	1	1	1	1	1	1	0	1	1	1	0	1	14	87.5
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
64	1	1	1	1	0	1	1	1	1	0	1	1	0	0	0	0	10	62.5
Total (n=64)	49	15	55	28	36	59	50	36	40	51	32	35	32	38	25	20	601	58.7
%	76.6	23.4	85.9	43.8	56.3	92.2	78.1	56.3	62.5	79.7	50.0	54.7	50.0	59.4	39.1	31.3		

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Notes: Sub-category 1: Social presence & interactions; Sub-category 2: The lack of negative stereotypes; Sub-category 3: Social support; Sub-category 4: The lack of mental illnesses; Sub-category 5: Individual capabilities; Sub-category 6 : Positive individual characteristics; Sub-category 7: Lifespan satisfaction; Sub-category 8: The positive self-perception of ageing; Sub-category 9: Good physical health; Sub-category 10: The avoidance of risk factors; Sub-category 11: Functional health; Sub-category 12 : Religiosity and beliefs; Sub-category 13: Transcendence; Sub-category 14: Objective financial security; Sub-category 15: Subjective financial security; Sub-category 16: Living in an elderly-friendly environment.

scored from 0 to 4. Higher scores indicated more frequent/stronger positive responses. Moreover, we included 12 negative sentences that we converted their score for analysis. After conducting a survey and gathering data from 600 older adults, we determined its construct validity by Exploratory Factor Analysis (EFA).

The screen plot suggested 7 factors, due to the way the slope leveled off. Evaluating construct validity was performed through EFA with Promax rotation, as well as factor matrix (loading) cut-off points as high as 0.4; it yielded 7 factors, and finally 54 items remained (Table 3). The percentage of variance explained by the total items was equal to 51%.

The 7 dimensions of SA extracted from factor analysis were labeled as follows: 1: Psychological well-being (15 items); 2: Social support (10 items); 3: Financial and environmental security (9 items); 4: Spirituality (4 items); 5: Physical and mental health (7 items); 6: Functional health (5 items); 7: and health-related behaviors (4 items).

Convergent validity

In addition to the structural (construct) validity, we assessed the convergent validity of the Self-perceived Successful Ageing Instruments (SSAI), compared with the

SWLS [20]. Life satisfaction has been identified as an indicator of SA [5, 16, 26]. Satisfaction with life has been the most commonly proposed definition of SA and the most commonly investigated concept [27]. Pearson's correlation coefficient was calculated to examine the relationships between the scores of the two instruments. A low correlation coefficient (except for psychological well-being) suggested that the SSAI is a different instrument (Table 4).

Known-groups validity

The known-groups validity revealed that SA was sensitive to differences and similarities in various groups; e.g. different social classes and different health status. People with low socio-economic and poor health status obtained lower scores of SA. Conversely, older adults reporting good self-rated health with higher socioeconomic status achieved higher scores of SA (Figure 2).

Reliability

Internal consistency

The internal consistency of the overall SSAI was equal to 0.93. The Cronbach's alpha coefficients of most subscales ranged from 0.7 to 0.9. Thus, the SSAI has a high internal consistency (Figure 3).

Table 3. Factor analysis results

Factor	Item	Successful Aging Items	1 PW	2 SS	3 SES	4 SP	5 FH	6 MPH	7 HRB
Factor 1: Psychological well-being	63	How energetic, happy, and cheerful do you feel?	0.760						
	59	To what extent do you feel you're aging well, compared with your peers and friends?	0.729						
	61	To what extent do you think you've been at least as successful as others in your life?	0.726						
	57	How optimistic and hopeful are you about life and the future?	0.699						
	68	How fruitful do you think your life has been?	0.693						
	70	How much do you pay attention to your appearance and style at this age?	0.689						
	62	How young at heart do you feel?	0.670						
	66	Overall, to what extent have you succeeded and made progress in your life? (e.g. in your career, finances, and family matters)	0.659						
	64	To what extent do you think old age is a good life stage because of having more experiences and being more respected?	0.651						
	45	To what extent are you eager to gain new experiences and learn new things?	0.619						
	76	To what extent do you have plans for the future?	0.605						
	69	To what extent have you adapted to problems and difficulties of getting old?	0.586						
	60	To what extent is your life meaningful?	0.582						
	73	To what extent do you think of yourself as being a useful person during your personal life?	0.557						
	56	(To what extent do you matter) How important or favorable is it for you to make small changes at your home (e.g. redecorating or repairing furniture)?	0.553						
	Factor 2: Social support	19	How much emotional support do you receive from your family (e.g. respect, love, and encouragement)?	0.793					
20		How much does your family take care of you when you are sick?	0.780						
78		How much support has your family provided for well and enjoyable aging for you?	0.737						
21		How satisfied are you with your children?	0.689						
27		How peaceful (calm) and secure do you feel in your home and family life?	0.648						
18		To what extent do you feel loved and respected by those surrounding you?	0.641						
67		How good is your relationship with your grandchildren, son, or daughter in law?	0.637						
77		To what extent is your opinion (suggestion) accepted by your family and friends?	0.577						
55		How satisfied are you with your marriage and life with your partner?	0.548						
16		How often do you feel lonely?	0.496						

Factor	Item	Successful Aging Items	1 PW	2 SS	3 SES	4 SP	5 FH	6 MPH	7 HRB
Factor 3: Social and environment security	37	Overall, how do you assess your financial situation?			0.737				
	33	To what extent does your family income cover all your expenses?			0.712				
	34	To what extent do you have enough financial savings for your old age?			0.687				
	35	How concerned are you about the medical expenses of yourself and your family at the time of sickness?			0.637				
	36	How much has your financial ability in purchasing and managing living costs reduced after aging/retirement?			0.514				
	49	How easy is it to access hospital and clinics in your neighborhood?			0.501				
	50	How appropriate are parks and recreational facilities in your neighborhood for the elderly?			0.491				
	48	How appropriate is your home for old age (e.g. the number of stairs, slippery floors, etc.)?			0.462				
	47	How much do you like your neighborhood?			0.444				
Factor 4: Spirituality	42	To what extent does thanksgiving help you keep calm in your old age?				0.945			
	41	To what extent does trust in God help you bear difficulties and problems due to aging?				0.939			
	40	To what extent has spirituality helped you feel calm in this age?				0.904			
	43	To what extent do religious rituals or spiritual practices help you in being relaxed in old age? (e.g. praying, visiting (a place of worship) church or mosque or participating in religious events)				0.874			
Factor 5: Functional health	14	How independently can you perform your outside home activities/chores? (e.g. shopping or visiting physician's office)?					0.656		
	11	To what extent can you independently perform your personal activities (e.g. grooming and taking a shower/bath)?					0.631		
	15	How much do you have hearing problems?					0.607		
	12	How much do you have vision problems?					0.581		
	71	How concerned are you about getting old in terms of not being able to manage your personal activities?					0.508		
Factor 6: Mental and physical health	51	How much were you stressed and anxious during the past 7 days? (have you felt stress or anxiety the past 7 days? how much?)						0.685	
	52	To what extent do you usually feel sad?						0.674	
	53	How much have you felt hopeless and disappointed during the past 7 days?						0.578	
	1	How much have you felt fatigued during the past 7 days?						0.453	
	4	To what extent do you sleep with ease at night?						0.441	
	2	How much physical pain have you had (e.g. back pain or leg pain) during the past 7 days?						0.440	
	3	To what extent do you suffer from chronic physical conditions?						0.431	

Factor	Item	Successful Aging Items	1 PW	2 SS	3 SES	4 SP	5 FH	6 MPH	7 HRB
Factor 7: Health-related behaviors	10	How important are regular medical examination and check-ups to you?							0.537
	9	How much do you care about maintaining your physical health?							0.435
	5.2	To what extent is having fruit and vegetables in your daily meal important to you?							0.532
	5.1	To what extent do you choose a healthy diet, i.e. low in fat, sugar, and salt?							0.808
Eigenvalue			11.80	4.29	3.19	2.48	2.39	2.09	1.66
% of Variance			21.80	8.06	5.76	4.59	3.93	3.80	3.11
			51.05%						
Tests			KMO=0.901; Bartlett's test sig level=0.001; α =0.93						

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Notes: PW: Psychological Well-being; SS: Social Support; SES: Social and Environmental Security; SP: Spirituality; FH: Functional Health; MPH: Mental and Physical Health; HRB: Health-Related Behaviors.

Test-retest reliability (Time consistency)

We examined the test-retest reliability (a 2-weeks interval) of the tool on 40 older adults to determine the time consistency. Pearson's correlation coefficient (0.951) and ICC (0.975) indicated high stability of the instrument over a short time period (Table 5).

4. Discussion

The present study aimed to develop and evaluate a multidimensional self-perceived instrument to measure SA. Measuring SA based on clinical outcomes or physical and cognitive decline could not capture the complete aging experience of older people or lay opinions about

aging well [15]. Thus, we constructed a SA instrument based on the viewpoint of elderly people, named SSAI. The crucial difference between SSAI and previous instruments like SAI [19] arises from our conceptualization of SA based on the lay perspective and literature review. The SSAI assesses the SA at an individual level. However, it is not limited to the bio-psychological health, and considers interpersonal and environmental levels of SA, as well. The obtained qualitative data were in line with the previous studies [28, 29] recognizing SA as a multidimensional concept. The EFA reduced the items to 54 items and loaded them into 7 factors/dimensions.

We found some differences between the preliminary six-category conceptual framework that was based on

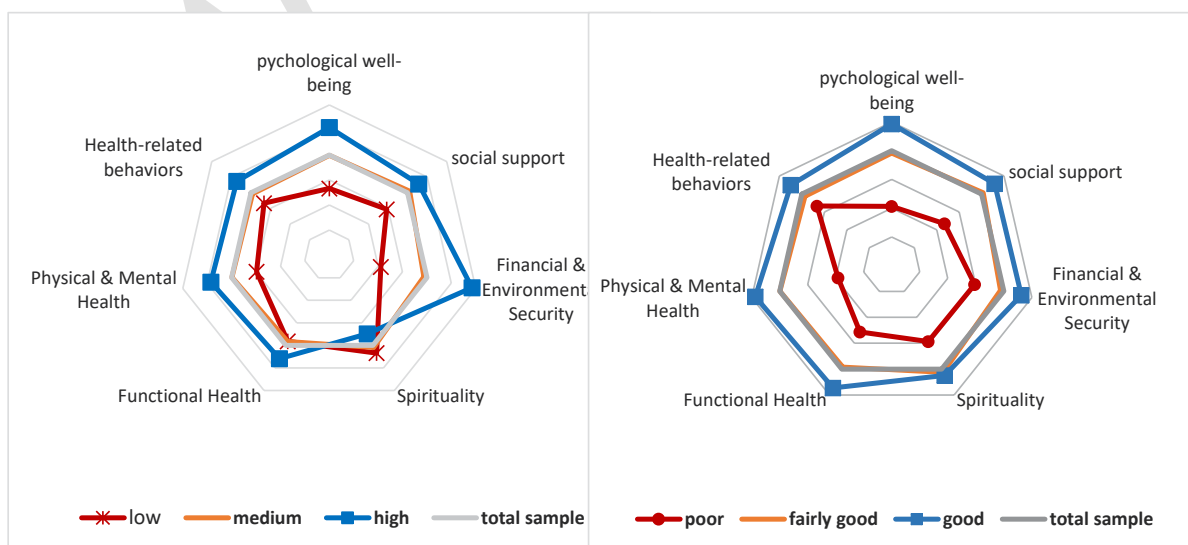


Figure 2. Self-rated health, socio-economic status, and SA dimensions

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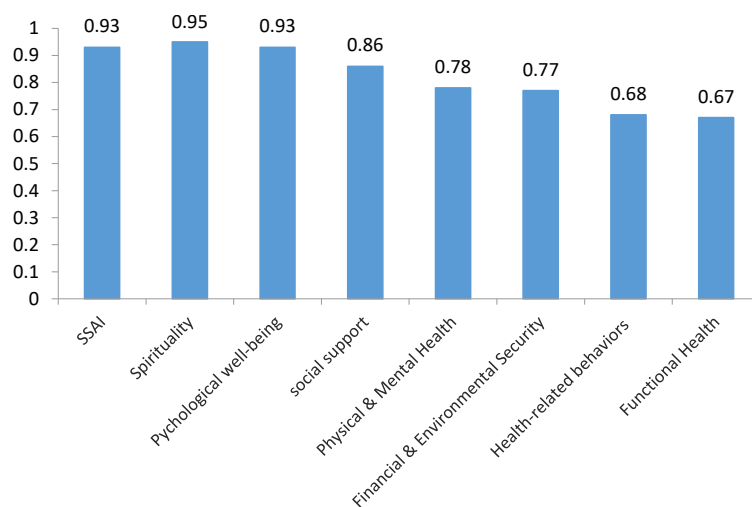


Figure 3. Cronbach's alpha coefficient for SA dimensions

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Table 4. Correlation between the SSAI and SWLS

Successful Aging Dimensions	Correlation Coefficient With SWLS	Sig.
Psychological well-being	0.571	0.001
Social support	0.437	0.001
Financial & environmental security	0.473	0.001
Spirituality	0.135	0.001
Physical & mental health	0.451	0.001
Functional health	0.090	0.001
Health-related behaviors	0.229	0.001
Successful ageing instrument	0.539	0.001

Notes: SSAI: Self-perceived Successful Ageing Instruments; SWLS: Satisfaction with Life Scale

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Table 5. The test-retest reliability results

SA Dimensions	Pearson's Correlation Coefficient	Intra-Class Correlation Coefficient
Psychological Well-being	0.931	0.958
Social Support	0.931	0.964
Financial & Environmental Security	0.909	0.952
Spirituality	0.888	0.940
Functional Health	0.867	0.928
Physical & Mental Health	0.818	0.900
Health-related Behaviors	0.747	0.847
SSAI	0.951	0.975

Notes: SSAI: Self-perceived Successful Ageing Instrument

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qualitative phases and the current 7 categories identified based on EFA. "Psychological well-being" as the strongest factor which explained the greatest percentage of variance in the SA based on EFA, comprised "positive characteristics and capabilities of elderly people", "satisfaction with life", and "positive aging perceptions". In the second factor, the "social support" was the only sub-category remaining from the "social well-being" category. Moreover, the items of "financial security" and "elderly-friendly environment and social context" categories were combined in one factor.

The extracted 7 factors in our study are documented in the literature as the key dimensions of SA. Cho et al. indicated subjective well-being among oldest old as a dimension of SA [30]. Jopp et al. developed the meaning of SA in sight of the elderly from the United States and Germany in terms of the resources, behaviors, and psychological factors [28]. Martin et al., Martinson et al., and Cosco et al. indicated the bio-psycho-social and environmental aspects of SA concept [29, 31, 32]. Moreover, the previous studies on aging in Iran revealed the importance of psychological well-being and social support dimensions in health and aging well [33, 34].

The convergent validity of the instrument suggested a significant correlation between life satisfaction and the SSAI. This is consistent with a study on the SAI instrument [18]. Regarding the importance of social classes and health status in the scoring of SA [2, 30-32], we tested the known-groups validity. The obtained results indicated lower scores for the elderly with poor health and low socioeconomic status, and vice versa. Thus, the instrument can discriminate social groups. In addition, the SSAI had a high internal reliability (0.93). Moreover, assessing test-retest reliability revealed a significant and high Intra-class correlation coefficient (0.975). Thus, the validity and reliability of SSAI were supported by the data, including content validity, construct validity, known-groups validity, convergent validity, test-retest reliability, and internal consistency.

This study had some limitations. The SSAI was developed using a sample of Iranian elderlies and cultural constructs. Therefore, this instrument needs to be validated in other contexts. In addition, the conceptual framework emerged from an urban context and elderly people living in rural areas were excluded from this research. It is recommended that the SSAI psychometric characteristics be evaluated in different cultural contexts.

5. Conclusion

This study indicated that the SSAI has appropriate content and construct validity. Moreover, the obtained results suggested measuring SA concepts and developing social policies in this area considering all 7 dimensions of SA to cover all the individual (e.g. bio-psychological health), interpersonal (social support), and social (financial and environmental security) needs. In conclusion, the present study supports the validity and reliability of a multidimensional self-perceived instrument for assessing SA. It also provides evidence in the support of the utility of a new instrument to measure SA.

Ethical Considerations

Compliance with ethical guidelines

The development of successful ageing instrument was approved by the Ethics Committee of the University of Social Welfare and Rehabilitation Sciences, Tehran, Iran. Informed consent was obtained from all participants included in the study.

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Authors' contributions

Conceptualization and methodology: Nasibeh Zanjari; Supervision: Maryam Sharifian Sani, Meimanat Hosseini Chavoshi; Investigation and draft preparation: Nasibeh Zanjari; and Review and editing: Hassan Rafiey, Farahnaz Mohammadi-Shahbolaghi.

Conflict of interest

The authors declared no conflict of interest.

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