## Prediction of Red Mud Bound-Soda Losses in Bayer Process Using Neural Networks

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#### **ABSTRACT**

In the Bayer process, the reaction of silica in bauxite with caustic soda causes the loss of a great amount of NaOH. In this research, the bound-soda losses in Iran Alumina Complex solid residue (red mud) are predicted using intelligent techniques. This method, based on the application of regression and artificial neural networks (AAN), has been used to predict red mud bound-soda losses in Iran Alumina Company. Multilayer perceptron (MLP), radial basis function (RBF) networks and multiple linear regressions (MLR) were applied. The results of three methodologies were compared for their predictive capabilities in terms of the correlation coefficient (R), mean square error (MSE) and the absolute average deviation (AAD) based on the experimental data set. The optimum MLP network was obtained with structure of two hidden layers including 13 and 15 neurons in each layer respectively. The results showed that the RBF model with 0.117, 5.909 and 0.82 in MSE, AAD and R, respectively, is extremely accurate in prediction as compared with MLP and MLR.

### 1. Introduction

Alumina is an important basic raw for national material economic development [1] and Bayer process is known as extracting alumina from bauxite ore [2,3]. Most of the bauxite ores found in Iran are diasporic-characterized by tough processing demands and low alumina to silica mass ratios (Al<sub>2</sub>O<sub>3</sub> /SiO<sub>2</sub>). During the alumina production, the digestion of bauxite ores to extract aluminum hydroxides is achieved by dissolution of the ore in a concentrated NaOH solution at elevated temperatures [1,4-7].

Silicon-containing minerals are the general impurities in bauxite including kaolinite, quartz, illite, pyrophyllite, etc [8]. The most important and trouble making minerals in bauxite deposit are minerals that contain active silica which have many undesirable effects on Bayer process efficiency, so that for

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1 ton of silica in composition of clay minerals 1 ton of soda is wasted and if silica exists as quartz, 1 ton of alumina is also wasted as sodalite precipitant [9]. This reaction can be represented by the following equation [5]:

 $6 \operatorname{SiO}_{2} + 6 \operatorname{NaAl}(O \operatorname{H})_{4} + \operatorname{Na}_{2} X \rightarrow$ 

ions in the liquor, including carbonate, sulphate and chloride [5]. Kaolin, which is usually present in the bauxite, also reacts with the desalination product (DSP) during the pre-desalination and digestion stages (eq. 2 and 3) [10].

A 
$$l_2O_3$$
. 2 S i  $O_2$ . 2 H  $_2O$  + 6 N a O H  $\rightarrow$  N a  $_2O$ . A  $l_2O_3$  + 2 (N a  $_2O$ . S i  $O_2$ ) + 5 H  $_2O$  (2)

N a  $_2O$ . A  $l_2O_3$  + 2 (N a  $_2O$ . S i  $O_2$ ) + (n + 2) H  $_2O$   $\rightarrow$  N a  $_2O$ . A  $l_2O_3$ . 2 S i  $O_2$ . n H  $_2O$  + 4 N a O H (3)

Since most of the sodium alumino silicate enters into the bauxite residue (red mud) and it is the main by-product generated in alumina production [11,1], the loss of caustic and alumina from the liquor represents a significant and ongoing process cost [8,11,12].

Globally there are approximately 120 million tons of red muds produced every year [13]. At present, about  $615 \times 10^3$  tons of red mud is separated from the sodium aluminate solution and disposed in special ponds every year in Jajarm alumina complex, which can cause high bound soda losses (B.S.L). Jajarm alumina complex is located in Jajarm city in north Khorasan province. Jajarm Alumina Plant is capable of producing 280,000 tons of alumina per year, which is a raw material for many chemical and petrochemical industries.

The development of numerical tools,

such as artificial neural network (ANN), has paved the way for alternative methods prediction [14]. Artificial network with radial basis function (RBF) was explored for prediction of the vapor liquid equilibrium (VLE) data [15]. MLP artificial neural networks were also used for prediction of thermal conductivity of  $Mg(OH)_2$ –EG [16]. Artificial neural network has been applied to estimate natural gas/water interfacial tension, too was also used for predicting [17]. It reverse osmosis desalination plants performance [18].

Some authors have reported the application of ANN for estimation of alumina recovery from bauxite [19,20]. In this work, RBF and MLP as an Artificial Neural Network (ANN) method and multiple linear regressions (MLR) were employed to develop an approach for the forecasting of soda losses in Bayer process red mud. This prediction is based on the chemical composition of bauxite including Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, Fe<sub>2</sub>O<sub>3</sub>, TiO<sub>2</sub>, MgO, CaO and lime quantity and chemical analysis as feed materials and SiO<sub>2</sub> and Na<sub>2</sub>O analyses of red mud as Bayer process by-product. This prediction can be useful when ores different mineralogical/chemical bauxite and lime are fed to the plant.

## 2. Experimental data

We consider daily measurement of bauxite, lime and red mud chemical analyses in the Jajarm alumina complex. The data had been recorded from 2011 to 2012, corresponding to 333 data sets. The input parameters for this study consisted of Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, Fe<sub>2</sub>O<sub>3</sub>, TiO<sub>2</sub>, MgO, CaO, analyses for the bauxite, chemical composition of lime and the ratio of lime

to bauxite as feed materials and the chemical analyses of  $Na_2O$  and  $SiO_2$  for the red mud. These data in the form of descriptive statistics results are presented in Table 1.

The output of the investigated process is B.S.L., which refers to the bound-soda losses in the red mud and is presented in relation to flow rates (ton/h) of the feed (bauxite ore) in the Bayer process that was calculated using the following equation:

$$B S L = \frac{SiO_{2(Bauxite)} \times Na_2O_{(R \text{ edmud})}}{SiO_{2(R \text{ edmud})}}$$
(4)

Where SiO<sub>2</sub> (Bauxite) is the mass fraction of silicon in the bauxite (%) and SiO<sub>2[(Red mud)]</sub> and Na<sub>2</sub>O<sub>(Red mud)</sub> are the mass fraction of silicon and soda in the resulting red mud (%), respectively. Silicon-containing minerals are decomposed by alkali solution, most of which enters into the red mud and a little of which remains in the solution and deposits slowly [8]; therefore, the ratio of bauxite to red mud (ton/ton) is determined from the ratio of SiO<sub>2</sub> (Bauxite) to SiO<sub>2</sub> (Red mud) in Eq. (10). To normalize the input data before submission to the neural

network and regression models is vitally important. This normalization is necessary to reduce the range of a set of values, so as to make them appropriate for the transfer or activation function that will be used. In general, all of them have to be normalized between 0 and 1 to prevent the saturation effect of the transfer function. The input parameters are normalized according to the following equation [14]:

$$U_i = \frac{m_i - m_{i,\text{min}}}{m_{i,\text{max}} - m_{i,\text{min}}}$$
 (5)

Where  $U_i$ ,  $m_i$ ,  $m_i$ ,  $m_i$   $m_i$ , maxare the normalized parameter, the actual minimum of parameter, the actual parameters and maximum of the actual parameters, respectively. The normalized data were fed as inputs to MLR, MLP and RBF for training whereas normalized red mud bound-soda losses were kept as outputs.

### 3. Methods

# **3.1.** Multi-Layer perceptron network (MLP)

Feed forward multi-layer networks are

 Table 1

 Lime to bauxite ratio and chemical analyses of bauxite and lime

	Variables	Mean	St. Dev	Variance	Minimum	Maximum
				wt%		
Bauxite	Al <sub>2</sub> O <sub>3</sub>	47.73	1.20	1.43	44.9	51.86
	$SiO_2$	11.40	0.88	0.77	9	14.47
	$Fe_2O_3$	20.81	1.55	2.42	16.37	25.12
	$\mathrm{TiO}_2$	5.49	0.54	0.29	3.99	6.64
	CaO	0.91	0.21	0.043	0.46	1.62
	MgO	0.37	0.064	0.004	0.17	0.55
Lime	$\mathrm{CaO}_{\mathrm{act}}$	83.51	5.83	34.04	65.1	92.9
	Lime/bauxite	9.24	0.98	0.97	6.0	10.0
Red Mud	$Na_2O$	5.47	1.07	1.15	3.9	6.49
	SiO <sub>2</sub>	14.60	0.84	0.70	8.79	17.26

listed as the most important and widely used artificial neural networks' structures [21-24]. Typically, the MLP is organized as a set of interconnected layers of artificial neurons, input layer, one or more hidden layers and output layer [25]. It usually uses sigmoid activation function in the hidden layers and linear combination function in the hidden and output layers [26-30].

In neural network, training algorithms play quite an important role in the process [31]. Throughout the process, the learning algorithm is used to adjust the weight, bias and other input parameters in such a manner that the model is able to count its best fit immediately.

## 3.2. Radial basis function (RBF)

The radial basis function is another popular architecture utilized in ANN. The RBF, which is multilayer and feedused forward. often for strict interpolation in multi-dimensional space [25]. In the structure of RBF network, the input data, x, is a p-dimensional vector which is transmitted to each of the nodes in the hidden layer [25]. The nodes calculate the Euclidean distances between the centers and the network input vector [28], and pass the results through a nonlinear [32]. The RBF network output can be obtained by following equation [25]:

$$y = F(X) = \sum_{i=1}^{N} Wi(G(x', xi))$$
 (6)

Where, Wi denotes the hidden-to-output weight corresponding to the i-th hidden node, and N, xi and G are the total number of hidden nodes, the mean (center) and Gaussian function, respectively. Radial basis function networks require more

neurons than feed forward standard networks with back propagation algorithm [33], but due to their better approximation capabilities, simpler network structures and faster learning algorithms, RBF networks have been widely used in many science and engineering applications [21,34,35].

## 3.3. Multiple linear regression model (MLR)

Multiple linear regression models are the best tools for predicting the future value of a variable based on the linear relationship between a dependent variable and independent variables [36-40]. MLR has been applied extensively as a predictive model in engineering and non-engineering domains [41]. Equation 7 represents n-value MLR model [41].

$$Y = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_n X_n + \varepsilon$$
 (7)

 $\beta$  stands for the coefficient, n represents the explanatory variable, while X and Y are independent and dependent variables, respectively.

### 4. Results and discussion

### 4.1. Performance evaluation criteria

The aim of this research is applying MLR, RBF and MLP to numerical modeling prediction of bound-soda losses in Bayer process red mud. To compare the results between different numerical methods (neural and multiple linear regressions), three performance indices were calculated for each series: the correlation coefficient (R), mean square error (MSE) and absolute average deviation (AAD). The correlation coefficient (R) is expressed by [25]:

$$r = \frac{\sum_{i} (x_{i} - \bar{x})(d_{i} - \bar{d})}{\sqrt{\frac{\sum_{i} (d_{i} - \bar{d})^{2}}{N}} \sqrt{\frac{\sum_{i} (x_{i} - \bar{x})^{2}}{N}}}}$$
(8)

The mean square error and absolute average deviation are defined by the following equations [42-43]:

$$MSE = \frac{1}{n} \sum_{1}^{n} (y_i - y_{di})^2$$
 (9)

$$AAD = \{ \left[ \sum_{i=1}^{n} \left( \left| y_i - y_{di} \right| / y_{di} \right] / n \} \times 100$$
 (10)

Where  $y_i$  and  $y_{di}$  are the experimental and calculated responses, respectively, and n is the number of the experimental data.

## 4.2. ANN results

## 4.2.1. Application of MLP

For training of Multi-Layer Perceptron (MLP) network, a program code, including neural network was written. randomization was processed before the training process. In the training process, 70% and 15% of the total data were utilized for training and cross validation, respectively. Network testing conducted using 15% of the total data. The number of neurons in the first and second hidden layers, and learning rates were determined based on several trials. The optimum properties of the MLP network are shown in Table 2. In order to train, test and validate the optimum model, statistical tests (the correlation coefficient (R), mean square error (MSE) and the absolute average deviation (AAD)) between the measured and the estimated B.S.L were carried out. The results are summarized in Table 3 and Figs. 1, 2 and 3.

## 4.2.2. Application of RBF

In the training of the RBF, spread factor is

**Table 2**The optimum structure of MLP model.

1	
R-by-Q matrix of Q input vectors (P)	8×333
S-by-Q matrix of Q target class vectors (T)	1×333
Number of hidden layers	2
Number of neurons in the first hidden layer (S1)	15
Number of neurons in the second hidden layer (S2)	13
Activation function in the first hidden layer (TF1)	tansig
Activation function in the second hidden layer (TF2)	tansig
Activation function in the output layer Linear (TF3)	purelin
Training function (BTF)	Levenberg- marquardt
Number of epochs for learning	100
Goal	0

**Table 3**Testing performance of MLP model.

70	Training	Validation	Testing
MSE	0.108	0.138	0.202
AAD	6.058	7.059	8.63
R	0.87	0.79	0.732
Min Abs Error	-0.883	-0.556	-0.828
Max Abs Error	1.365	1.091	1.104

the only parameter which is obtained by the trial and error method and unlike the MLP model, it is not necessary to determine the optimum number of neurons [44]. In this study, 85% and 15% of the total data were utilized for training and testing, respectively. The optimum structure of the RBF network is given in Table 4. The results of statistical tests (R, MSE and AAD) between the actual and the predicted B.S.L are shown in Table 5 and Fig. 2.

## 4.3. Application of regression

Table 6 presents a statistical method

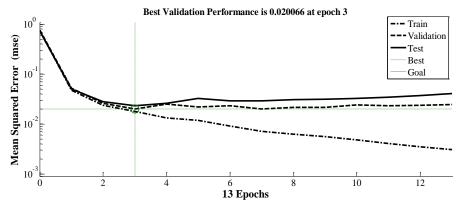


Figure 1. Mean squared error of training, validation, and test sets in each epoch.

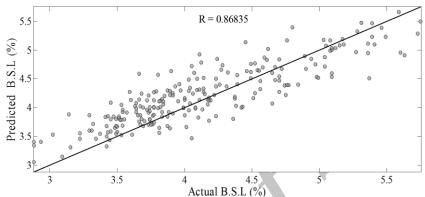


Figure 2. The scatter plot of actual data versus predicted data in MLP method.

**Table 4**The optimum structure of RBF model.

The optimum structure of RBT model.				
R-by-Q matrix of Q input vectors (P)	8×333			
S-by-Q matrix of Q target class vectors (T)	1×333			
Goal	0			
Spread	1.2			
Maximum number of neurons (MN)	20			
Number of neurons to add between displays (DF)	1			

**Table 5**Testing performance of RBF model.

Parameter	Training	Testing	
MSE	0.133	0.117	
AAD	6.9018	5.909	
R	0.824	0.82	
Min Abs Error	-0.109	-0.541	
Max Abs Error	1.012	0.9404	

(Pearson Correlation and Statistical Significance) used for defining the correlations dependence between B.S.L

and chemical analysis of bauxite and lime. The results show that the value of PC between B.S.L and the analyses of  $Al_2O_3$ ,  $SiO_2$ ,  $TiO_2$  in bauxite and concentration of  $CaO_{act}$  and the lime to bauxite ratio ((ton/ton)×100) are statistically significant (P-Value  $\leq 0.05$ ).

The relationship between B.S.L and selected input variables was described using multiple linear regression. In this case, 85% of the total data was utilized for creation of the model, the same as MLP and RBF models, using 15% of the total data for testing. Equation 11 represents the linear model developed during the first phase of the MLRA modeling procedure.

B.S.L = 
$$0.515 + 0.334AI_2O_3 + 0.735SiO_2 - 0.0729TiO_2 - 0.302CaO_{act} - 0.363Lim e$$
 (11)

In order to train and test the optimum model, statistical tests (the correlation

**Table 6**Correlation matrix for the input variables and B.S.L.

	Variables		AL <sub>2</sub> O <sub>3</sub>	$SIO_2$	Fe <sub>2</sub> O <sub>3</sub>	TiO <sub>2</sub>	CaO	MgO	CAOact	Lime
Bauxite	SiO <sub>2</sub>	P.C*	-0.53							bauxite
		Sig**	0.0							
	Fe <sub>2</sub> O <sub>3</sub>	P.C	-0.66	0.05						
		Sig	0.0	0.36						
	$TiO_2$	P.C	0.49	-0.26	-0.55					
		Sig	0.0	0.0	0.0					
	CaO	P.C	-0.06	0.04	-0.10	-0.19				
		Sig	0.29	0.47	0.08	0.00				
	MgO	P.C	-0.12	0.10	0.11	-0.19	0.18			
		Sig	0.05	0.10	0.07	0.00	0.00			
Lime	CAOact	P.C	-0.07	-0.09	0.20	-0.19	-0.11	0.08		
		Sig	0.24	0.11	0.00	0.00	0.06	0.21		
	Lime	P.C	0.36	-0.26	-0.38	0.69	0.11	0.02	-0.1	
	bauxite	Sig	0.0	0.0	0.0	0.0	0.06	0.68	0.09	
Red	B.S.L	P.C	-0.18	0.55	-0.01	-0.3	-0.04	-0.04	-0.30	-0.46
Mud		Sig	0.0	0.0	0.87	0.0	0.48	0.52	0.0	0.0

coefficient (R), mean square error (MSE) and the absolute average deviation (AAD)) between the measured and the estimated B.S.L were carried out. The results of the developed model are presented in Table 7 and Fig. 4.

### **5. Conclusions**

In the Bayer process, the reaction of silica in bauxite with caustic soda causes the loss of a considerable amount of NaOH [45]. In this work, MLR, MLP and RBF models were adapted for prediction of bound-soda losses in red mud of Iran Alumina Company. The predictive performance of each model was assessed using three statistical measures: R, MSE and AAD. However, the application of the RBF

**Table 7**Testing Performance indices for MLR model.

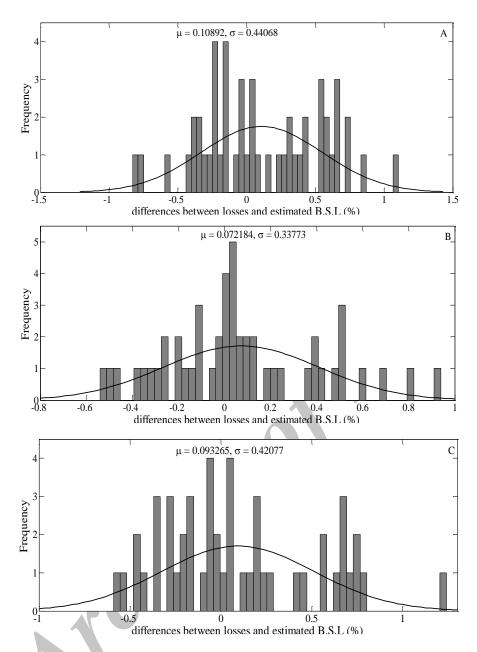
8		
Deviation	Training	Testing
MSE	0.191	0.182
AAD	8.42	7.67
R	0.735	0.72
Min Abs Error	-1.140	-0.588
Max Abs Error	1.201	1.241

A: MLP, B: RBF and C: MLR (in testing stage).

network using the testing data set resulted in lesser amounts of the MSE and AAD, i.e., 0.117 and 5.909, respectively as compared to the MLP and MLR models. A comparison of the correlation coefficient (R) presented in Tables 3, 5 and 7 show that RBF model has higher accuracy when estimating the red mud bound-soda losses than MLP and MLR models is concerned. The obtained results of mean standard error, min and max abs error confirm the superiority of the RBF technique over the MLP and MLR techniques. We can conclude that the predicted data generated by the RBF network are eminently evidently suitable for estimating the red mud bound-soda losses of Iran alumina complex.

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**Figure 4.** Distribution of differences between actual bound-soda losses and estimated data; A: MLP, B: RBF and C: MLR (in testing stage).

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