

## Frames in 2-inner Product Spaces

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**ABSTRACT.** In this paper, we introduce the notion of a frame in a 2-inner product space and give some characterizations. These frames can be considered as a usual frame in a Hilbert space, so they share many useful properties with frames.

**Keywords:** 2-inner product space, 2-norm space, Frame, Frame operator.

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### 1. INTRODUCTION AND PRELIMINARIES

The concept of frames in Hilbert spaces has been introduced by Duffin and Schaeffer [12] in 1952 to study some deep problems in nonharmonic Fourier series. Various generalizations of frames have been proposed; frame of subspaces [2, 6], pseudo-frames [18], oblique frames [10], continuous frames [1, 4, 14] and so on. The concept of frames in Banach spaces have been introduced by Grochenig [16], Casazza, Han and Larson [5] and Christensen and Stoeva [11].

The concept of linear 2-normed spaces has been investigated by S. Gahler in 1965 [15] and has been developed extensively in different subjects by many authors [3, 7, 8, 13, 14, 17]. A concept which is related to a 2-normed space is 2-inner product space which have been intensively studied by many mathematicians in the last three decades. A systematic presentation of the recent results related to the theory of 2-inner product spaces as well as an extensive

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list of the related references can be found in the book [7]. Here we give the basic definitions and the elementary properties of 2-inner product spaces.

Let  $\mathcal{X}$  be a linear space of dimension greater than 1 over the field  $\mathbb{K}$ , where  $\mathbb{K}$  is the real or complex numbers field. Suppose that  $(\cdot, \cdot | \cdot)$  is a  $\mathbb{K}$ -valued function defined on  $\mathcal{X} \times \mathcal{X} \times \mathcal{X}$  satisfying the following conditions:

(I1)  $(x, x | z) \geq 0$  and  $(x, x | z) = 0$  if and only if  $x$  and  $z$  are linearly dependent,

(I2)  $(x, x | z) = \overline{(z, z | x)}$ ,

(I3)  $(y, x | z) = \overline{(x, y | z)}$ ,

(I4)  $(\alpha x, y | z) = \alpha(x, y | z)$  for all  $\alpha \in \mathbb{K}$ ,

(I5)  $(x_1 + x_2, y | z) = (x_1, y | z) + (x_2, y | z)$ .

$(\cdot, \cdot | \cdot)$  is called a *2-inner product* on  $\mathcal{X}$  and  $(\mathcal{X}, (\cdot, \cdot | \cdot))$  is called a *2-inner product space* (or *2-pre Hilbert space*). Some basic properties of 2-inner product  $(\cdot, \cdot | \cdot)$  can be immediately obtained as follows ([8, 13]):

- $(0, y | z) = (x, 0 | z) = (x, y | 0) = 0$ ,
- $(x, \alpha y | z) = \overline{\alpha}(x, y | z)$ ,
- $(x, y | \alpha z) = |\alpha|^2(x, y | z)$ , for all  $x, y, z \in \mathcal{X}$  and  $\alpha \in \mathbb{K}$ .

Using the above properties, we can prove the Cauchy-Schwarz inequality

$$(1.1) \quad |(x, y | z)|^2 \leq (x, x | z)(y, y | z).$$

**Example 1.1.** If  $(\mathcal{X}, \langle \cdot, \cdot \rangle)$  is an inner product space, then the standard 2-inner product  $(\cdot, \cdot | \cdot)$  is defined on  $\mathcal{X}$  by

$$(1.2) \quad (x, y | z) = \begin{vmatrix} \langle x, y \rangle & \langle x, z \rangle \\ \langle z, y \rangle & \langle z, z \rangle \end{vmatrix} = \langle x, y \rangle \langle z, z \rangle - \langle x, z \rangle \langle z, y \rangle,$$

for all  $x, y, z \in \mathcal{X}$ .

In any given 2-inner product space  $(\mathcal{X}, (\cdot, \cdot | \cdot))$ , we can define a function  $\|\cdot, \cdot\|$  on  $\mathcal{X} \times \mathcal{X}$  by

$$(1.3) \quad \|x, z\| = (x, x | z)^{\frac{1}{2}},$$

for all  $x, z \in \mathcal{X}$ .

It is easy to see that, this function satisfies the following conditions:

(N1)  $\|x, z\| \geq 0$  and  $\|x, z\| = 0$  if and only if  $x$  and  $z$  are linearly dependent,

(N2)  $\|x, z\| = \|z, x\|$ ,

(N3)  $\|\alpha x, z\| = |\alpha| \|x, z\|$  for all  $\alpha \in \mathbb{K}$ ,

(N4)  $\|x_1 + x_2, z\| \leq \|x_1, z\| + \|x_2, z\|$ .

Any function  $\|\cdot, \cdot\|$  defined on  $\mathcal{X} \times \mathcal{X}$  and satisfying the conditions (N1)-(N4) is called a *2-norm* on  $\mathcal{X}$  and  $(\mathcal{X}, \|\cdot, \cdot\|)$  is called a *linear 2-normed space*. Whenever a 2-inner product space  $(\mathcal{X}, (\cdot, \cdot | \cdot))$  is given, we consider it as a linear 2-normed space  $(\mathcal{X}, \|\cdot, \cdot\|)$  with the 2-norm defined by (1.3).

In the present paper, we shall introduce 2-frames for a 2-inner product space and describe some fundamental properties of them. This implies that each element in the underlying 2-inner product space can be written as an unconditionally convergent infinite linear combination of the frame elements.

## 2. FRAMES IN THE STANDARD 2-INNER PRODUCT SPACES

Throughout this paper, we assume that  $\mathcal{H}$  is a separable Hilbert space, with the inner product  $\langle \cdot, \cdot \rangle$  chosen to be linear in the first entry. We first review some basic facts about frames in  $\mathcal{H}$ , then try to define them in a standard 2-inner product space.

**Definition 2.1.** A sequence  $\{f_i\}_{i=1}^{\infty} \subseteq \mathcal{H}$  is called a *frame* for  $\mathcal{H}$  if there exist  $A, B > 0$  such that

$$(2.1) \quad A\|f\|^2 \leq \sum_{i=1}^{\infty} |\langle f, f_i \rangle|^2 \leq B\|f\|^2, \quad (f \in \mathcal{H}).$$

The numbers  $A, B$  are called *frame bounds*. The frame is called *tight* if  $A = B$ . Given a frame  $\{f_i\}_{i=1}^{\infty}$ , the frame operator is defined by

$$Sf = \sum_{i=1}^{\infty} \langle f, f_i \rangle f_i.$$

The series defining  $Sf$  converges unconditionally for all  $f \in \mathcal{H}$  and  $S$  is a bounded, invertible, and self-adjoint operator. This leads to the frame decomposition:

$$f = S^{-1}Sf = \sum_{i=1}^{\infty} \langle f, S^{-1}f_i \rangle f_i, \quad (f \in \mathcal{H}).$$

The possibility of representing every  $f \in \mathcal{H}$  in this way is the main feature of a frame. The coefficients  $\{\langle f, S^{-1}f_i \rangle\}_{i=1}^{\infty}$  are called frame coefficients. A sequence satisfying the upper frame condition is called a *Bessel sequence*. A sequence  $\{f_i\}_{i=1}^{\infty}$  is Bessel sequence if and only if the operator  $T : \{c_i\} \mapsto \sum_{i=1}^{\infty} c_i f_i$  is a well-defined operator from  $l^2$  into  $\mathcal{H}$ . In that case  $T$ , which is called the *pre-frame operator*, is automatically bounded. When  $\{f_i\}_{i=1}^{\infty}$  is a frame, the pre-frame operator  $T$  is well-defined and  $S = TT^*$ . For more details see [9, Section 5.1]. Also see [19] for a class of finite frames.

Let  $\mathcal{X}$  be a 2-inner product space. A sequence  $\{a_n\}_{n=1}^{\infty}$  of  $\mathcal{X}$  is said to be *convergent* if there exists an element  $a \in \mathcal{X}$  such that  $\lim_{n \rightarrow \infty} \|a_n - a, x\| = 0$ , for all  $x \in \mathcal{X}$ . Similarly, we can define a Cauchy sequence in  $\mathcal{X}$ . A 2-inner product space  $\mathcal{X}$  is called a *2-Hilbert space* if it is complete. That is, every Cauchy sequence in  $\mathcal{X}$  is convergent in this space [17]. Clearly, the limit of any convergent sequence is unique and if  $(\mathcal{X}, (\cdot, \cdot, \cdot))$  is the standard 2-inner product, then this topology is the original topology on  $\mathcal{X}$ .

Now we are ready to define 2-frames on a 2-Hilbert space.

**Definition 2.2.** Let  $(\mathcal{X}, (\cdot, \cdot))$  be a 2-Hilbert space and  $\xi \in \mathcal{X}$ . A sequence  $\{x_i\}_{i=1}^{\infty}$  of elements in  $\mathcal{X}$  is called a 2-frame (associated to  $\xi$ ) if there exist  $A, B > 0$  such that

$$(2.2) \quad A\|x, \xi\|^2 \leq \sum_{i=1}^{\infty} |(x, x_i|\xi)|^2 \leq B\|x, \xi\|^2, \quad (x \in \mathcal{X}).$$

A sequence satisfying the upper 2-frame condition is called a 2-Bessel sequence. In (2.2) we may assume that every  $x_i$  is linearly independent to  $\xi$ , by (1.1) and the property (II).

The following proposition shows that in the standard 2-inner product spaces every frame is a 2-frame.

**Proposition 2.3.** Let  $(\mathcal{H}, \langle \cdot, \cdot \rangle)$  be a Hilbert space and  $\{x_i\}_{i=1}^{\infty}$  is a frame for  $\mathcal{H}$ . Then it is a 2-frame with the standard 2-inner product on  $\mathcal{H}$ .

*Proof.* Suppose that  $\{x_i\}_{i=1}^{\infty}$  is a frame with the bounds  $A, B$  and  $\xi \in \mathcal{H}$  such that  $\|\xi\| = 1$ . Then by using (2.1) and (1.2) for every  $x \in \mathcal{H}$  we have

$$\begin{aligned} \sum_{i=1}^{\infty} |(x, x_i|\xi)|^2 &= \sum_{i=1}^{\infty} |\langle x - \langle x, \xi \rangle \xi, x_i \rangle|^2 \\ &\leq B\|x - \langle x, \xi \rangle \xi\|^2 \\ &\leq B(\|x\|^2 - |\langle x, \xi \rangle|^2) \\ &= B(x, x|\xi). \end{aligned}$$

The argument for lower bound is similar.  $\square$

The converse of the above proposition is not true. In fact, by the following proposition, every 2-frame is a frame for a closed subspace of  $\mathcal{H}$  with codimension 1. For each  $\xi \in \mathcal{H}$  we denote by  $L_{\xi}$  the subspace generated with  $\xi$ .

**Proposition 2.4.** Let  $(\mathcal{H}, \langle \cdot, \cdot \rangle)$  be a Hilbert space and  $\xi \in \mathcal{H}$ . Every 2-frame associated to  $\xi$  is a frame for  $L_{\xi}^{\perp}$ .

*Proof.* If  $\{x_i\}_{i=1}^{\infty}$  is a 2-frame with the bounds  $A, B$  then (2.2) implies that there exist  $A, B > 0$  such that

$$A(\|x\|^2 - |\langle x, \xi \rangle|^2) \leq \sum_{i=1}^{\infty} |\langle x - \langle x, \xi \rangle \xi, x_i \rangle|^2 \leq B(\|x\|^2 - |\langle x, \xi \rangle|^2), \quad (x \in \mathcal{H}).$$

Therefore,  $\{x_i\}_{i=1}^{\infty}$  is a frame for the Hilbert space  $L_{\xi}^{\perp}$ .  $\square$

**Remark 2.5.** Let  $\mathcal{H}$  be a Hilbert space and  $\{x_i\}_{i=1}^{\infty}$  is a frame for  $\mathcal{H}$  with the frame operator  $S$ . If  $\langle x_j, S^{-1}x_j \rangle = 1$  for some  $j \in \mathbb{N}$ , then  $\{x_i\}_{i \neq j}$  is incomplete

and therefore it is not a frame for  $\mathcal{H}$  [9, Theorem 5.3.9]. Assume that  $\|x_j\| = 1$  and consider the standard 2-inner product on  $\mathcal{H}$ . It is not difficult to see that

$$\sum_{i=1}^{\infty} |(x, x_i | x_j)|^2 = \sum_{i=1, i \neq j}^{\infty} |(x, x_i | x_j)|^2.$$

Now the proof of Proposition 2.3 shows that  $\{x_i\}_{i \neq j}$  is a 2-frame for  $\mathcal{H}$  associated to  $x_j$ .

### 3. SOME PROPERTIES OF 2-FRAMES

This section is devoted to establishing pre-frame and frame operator for a 2-frame. To extend a well-known result in Hilbert spaces to 2-inner product spaces.

**Lemma 3.1.** *Let  $(\mathcal{X}, (., . | .))$  be a 2-inner product space and  $x, z \in \mathcal{X}$ . Then*

$$(3.1) \quad \|x, z\| = \sup\{|(x, y | z)|; \quad y \in \mathcal{X}, \|y, z\| = 1\}.$$

*Proof.* By the Cauchy-Schwarz inequality (1.1) we observe that

$$(x, y | z) \leq \|x, z\| \|y, z\| = \|x, z\|$$

for every  $y \in \mathcal{X}$  such that  $\|y, z\| = 1$ . Moreover, if  $y = \frac{1}{\|x, z\|}x$ , then  $\|y, z\| = 1$  and therefore  $(x, y | z) = \|x, z\|$ .  $\square$

For the remainder, we assume  $(\mathcal{X}, (., . | .))$  is a 2-Hilbert space and  $L_\xi$  the subspace generated with  $\xi$  for a fix element  $\xi$  in  $\mathcal{X}$ . Denote by  $\mathcal{M}_\xi$  the algebraic complement of  $L_\xi$  in  $\mathcal{X}$ . So  $L_\xi \oplus \mathcal{M}_\xi = \mathcal{X}$ .

We first define the inner product  $\langle ., . \rangle_\xi$  on  $\mathcal{X}$  as following:

$$\langle x, z \rangle_\xi = (x, z | \xi).$$

A straightforward calculations shows that  $\langle ., . \rangle_\xi$  is a semi-inner product on  $\mathcal{X}$ . It is well-known that this semi-inner product induces an inner product on the quotient space  $\mathcal{X}/L_\xi$  as

$$\langle x + L_\xi, z + L_\xi \rangle_\xi = \langle x, z \rangle_\xi, \quad (x, z \in \mathcal{X}).$$

By identifying  $\mathcal{X}/L_\xi$  with  $\mathcal{M}_\xi$  in an obvious way, we obtain an inner product on  $\mathcal{M}_\xi$ . Define

$$(3.2) \quad \|x\|_\xi = \sqrt{\langle x, x \rangle_\xi} \quad (x \in \mathcal{M}_\xi).$$

Then  $(\mathcal{M}_\xi, \|\cdot\|_\xi)$  is a norm space.

Now if  $\{x_i\}_{i=1}^\infty \subseteq \mathcal{X}$  is a 2-frame associated to  $\xi$  with bounds  $A$  and  $B$ , then we can rewrite (2.2) as

$$A\|x\|_\xi^2 \leq \sum_{i=1}^{\infty} |\langle x, x_i \rangle_\xi|^2 \leq B\|x\|_\xi^2, \quad (x \in \mathcal{M}_\xi).$$

That is,  $\{x_i\}_{i=1}^{\infty}$  is a frame for  $\mathcal{M}_{\xi}$ . Let  $\mathcal{X}_{\xi}$  be the completion of the inner product space  $\mathcal{M}_{\xi}$ . Due to Lemma 5.1.2 of [9] the sequence  $\{x_i\}_{i=1}^{\infty}$  is also a frame for  $\mathcal{X}_{\xi}$  with the same bounds. To summarize, we have the following theorem.

**Theorem 3.2.** *Let  $(\mathcal{X}, (\cdot, \cdot | \cdot))$  be a 2-Hilbert space. Then  $\{x_i\}_{i=1}^{\infty} \subseteq \mathcal{X}$  is a 2-frame associated to  $\xi$  with bounds  $A$  and  $B$  if and only if it is a frame for the Hilbert space  $\mathcal{X}_{\xi}$  with bounds  $A$  and  $B$ .*

By the above theorem, every question about 2-frames in a 2-Hilbert space can be solved as a question about frames in a Hilbert space.

**Lemma 3.3.** *Let  $\{x_i\}_{i=1}^{\infty}$  be a 2-Bessel sequence in  $\mathcal{X}$ . Then the 2-pre frame operator  $T_{\xi} : l^2 \rightarrow \mathcal{X}_{\xi}$  defined by*

$$(3.3) \quad T_{\xi}\{c_i\} = \sum_{i=1}^{\infty} c_i x_i$$

is well-defined and bounded.

*Proof.* Suppose  $\{c_i\}_{i=1}^{\infty} \in l^2$ , then by using (3.1) and (3.2) we have

$$\begin{aligned} \left\| \sum_{i=1}^m c_i x_i - \sum_{i=1}^n c_i x_i \right\|_{\xi}^2 &= \left\| \sum_{i=1}^m c_i x_i - \sum_{i=1}^n c_i x_i, \xi \right\|^2 \\ &= \sup \left\{ \left| \sum_{i=n}^m c_i x_i, y | \xi \right|^2, y \in \mathcal{X}, \|y, \xi\| = 1 \right\} \\ &\leq \sum_{i=n}^m |c_i|^2 \sup \left\{ \sum_{i=n}^m |(x_i, y | \xi)|^2, y \in \mathcal{X}, \|y, \xi\| = 1 \right\} \\ &\leq B \sum_{i=n}^m |c_i|^2 \end{aligned}$$

where  $B$  is the (upper) bound of  $\{x_i\}_{i=1}^{\infty}$ . This implies that  $\sum_{i=1}^{\infty} c_i x_i$  is well-defined as an element of  $\mathcal{X}_{\xi}$ . Moreover, if  $\{c_i\}_{i=1}^{\infty}$  is a sequence in  $l^2$ , then an argument as above shows that  $\|T_{\xi}\{c_i\}\|_{\xi} \leq \sqrt{B} \|\{c_i\}\|_2$ . In particular,  $\|T_{\xi}\| \leq \sqrt{B}$ .  $\square$

Next, we can compute  $T_{\xi}^*$ , the adjoint of  $T_{\xi}$  as

$$T_{\xi}^* : \mathcal{X}_{\xi} \rightarrow l^2; \quad T_{\xi}^* x = \{(x, x_i | \xi)\}_{i=1}^{\infty}.$$

It is easy to check that  $T_{\xi}^*$  is well-defined. Moreover, it follows by (2.2) that  $\|T_{\xi}^*\| \leq \sqrt{B}$ .

**Definition 3.4.** Let  $\{x_i\}_{i=1}^{\infty}$  be a 2-frame associated to  $\xi$  with bounds  $A$  and  $B$  in a 2-Hilbert space  $\mathcal{X}$ . The operator  $S_{\xi} : \mathcal{X}_{\xi} \rightarrow \mathcal{X}_{\xi}$  defined by

$$(3.4) \quad S_{\xi}x = \sum_{i=1}^{\infty} (x, x_i|\xi)x_i$$

is called the 2-frame operator for  $\{x_i\}_{i=1}^{\infty}$ .

Clearly,  $S_{\xi} = T_{\xi}T_{\xi}^*$  and therefore  $\|S_{\xi}\| \leq B$ . We can conclude the boundedness of  $S_{\xi}$  directly. Indeed, we see from (I3),(I4),(I5) and (3.1) that

$$\begin{aligned} \|S_{\xi}x\|_{\xi}^2 &= \|S_{\xi}x, \xi\|^2 \\ &= \sup\{|(S_{\xi}x, y|\xi)|^2, \quad y \in \mathcal{X}, \|y, \xi\| = 1\} \\ &\leq \sup\{\sum_{i=1}^{\infty} |(x, x_i|\xi)|^2 \sum_{i=1}^{\infty} |(y, x_i|\xi)|^2, \quad y \in \mathcal{X}, \|y, \xi\| = 1\} \\ &\leq B^2\|x\|_{\xi}^2. \end{aligned}$$

Now we state some of the important properties of  $S_{\xi}$ .

**Theorem 3.5.** Let  $\{x_i\}_{i=1}^{\infty}$  be a 2-frame associated to  $\xi$  for a 2-Hilbert space  $(\mathcal{X}, (\cdot, \cdot|\cdot))$  with 2-frame operator  $S_{\xi}$  and frame bounds  $A, B$ . Then  $S_{\xi}$  is invertible, self-adjoint, and positive.

*Proof.* Obviously, the operator  $S_{\xi}$  is self-adjoint. The inequality (2.2) means that

$$A\|x\|_{\xi}^2 \leq \langle S_{\xi}x, x \rangle_{\xi} \leq B\|x\|_{\xi}^2, \quad (x \in \mathcal{X}_{\xi}).$$

Hence,  $S_{\xi}$  is a positive element in the set of all bounded operators on the Hilbert space  $\mathcal{X}_{\xi}$ . More precisely, with symbols  $AI \leq S_{\xi} \leq BI$  where  $I$  is the identity operator on  $\mathcal{X}_{\xi}$ . Furthermore,

$$\|I - B^{-1}S_{\xi}\| = \sup_{\|x\|_{\xi}=1} |\langle (I - B^{-1}S_{\xi})x, x \rangle_{\xi}| \leq \frac{B - A}{B} < 1.$$

This shows that  $S_{\xi}$  is invertible.  $\square$

**Corollary 3.6.** Let  $\{x_i\}_{i=1}^{\infty}$  be a 2-frame in a 2-Hilbert space  $\mathcal{X}$  with frame operator  $S_{\xi}$ . Then each  $x \in \mathcal{X}_{\xi}$  has an expansion of the following

$$x = S_{\xi}S_{\xi}^{-1}x = \sum_{i=1}^{\infty} (S_{\xi}^{-1}x, x_i|\xi)x_i.$$

**Remark 3.7.** If  $\{x_i\}_{i=1}^{\infty}$  is a 2-frame associated to  $\xi$ , then every  $x \in \mathcal{X}$  has a representation as

$$x = \alpha\xi + \sum_{i=1}^{\infty} c_i x_i,$$

for some  $\alpha \in \mathbb{C}$  and  $\{c_i\}_{i=1}^{\infty} \in l^2$ . The coefficients  $\{c_i\}_{i=1}^{\infty}$  are not unique, but the frame coefficients  $\{(S_{\xi}^{-1}x, x_i|\xi)\}_{i=1}^{\infty}$  introduced in the Corollary 3.6 have minimal  $l^2$ -norm among all sequences representing  $x$ , see Lemma 5.3.6 of [9].

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