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# Simple Prediction of Type 2 Diabetes Mellitus via Decision Tree Modeling

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### ABSTRACT

**Background:** Type 2 Diabetes Mellitus (T2DM) is one of the most important risk factors in cardiovascular disorders considered as a common clinical and public health problem. Early diagnosis can reduce the burden of the disease. Decision tree, as an advanced data mining method, can be used as a reliable tool to predict T2DM.

**Objectives:** This study aimed to present a simple model for predicting T2DM using decision tree modeling.

Materials and Methods: This analytical model-based study used a part of the cohort data obtained from a database in Healthy Heart House of Shiraz, Iran. The data included routine information, such as age, gender, Body Mass Index (BMI), family history of diabetes, and systolic and diastolic blood pressure, which were obtained from the individuals referred for gathering baseline data in Shiraz cohort study from 2014 to 2015. Diabetes diagnosis was used as binary datum. Decision tree technique and J48 algorithm were applied using the WEKA software (version 3.7.5, New Zealand). Additionally, Receiver Operator Characteristic (ROC) curve and Area Under Curve (AUC) were used for checking the goodness of fit.

**Results:** The age of the 11302 cases obtained after data preparation ranged from 18 to 89 years with the mean age of  $48.1 \pm 11.4$  years. Additionally, 51.1% of the cases were male. In the tree structure, blood pressure and age were placed where most information was gained. In our model, however, gender was not important and was placed on the final branch of the tree. Total precision and AUC were 87% and 89%, respectively. This indicated that the model had good accuracy for distinguishing patients from normal individuals.

**Conclusions:** The results showed that T2DM could be predicted via decision tree model without laboratory tests. Thus, this model can be used in pre-clinical and public health screening programs.

#### 1. Background

Diabetes causes a great risk for cardiovascular disorders and is associated with high rates of myocardial infarction and stroke (1, 2), such a way that mortality rate due to these disorders has reached up to 80% (3). Furthermore, diabetic individuals may develop heart disease 10 to 15 years earlier compared to normal ones, which accounts for premature mortality in diabetic individuals (4, 5).

Most diabetic patients (90 - 95%) suffer from type 2 diabetes, which is considered to be a controllable

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condition among other non-communicable diseases (6). Early diagnosis is the key factor for disease control and can reduce the related complications and high expenses (7). Studies have suggested a 5-year lag for diagnosis of Type 2 Diabetes Mellitus (T2DM) (8), which is associated with grave cardiovascular outcomes (7, 9), kidney diseases, renal failure, and other long-term vascular diseases (10, 11). Late diagnosis can also lead to lack of self-care behaviors since most T2DM patients are not aware of their disease (3, 12). Self-care programs are in fact essential strategies to reduce the disease burden in the society by means of early recognition of asymptomatic T2DM (3).

Data mining is one of the practical branches of artificial

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intelligence, discovers latent patterns by looking for relationships between features in large databases, and serves for diagnostic purposes as well as cost reduction in clinical contexts (13). Decision tree, as one of the data mining methods in advanced statistical contexts, can predict T2DM. This method is a simple reliable tool for diagnosing the disease before emergence of clinical symptoms (14). Decision trees in clinical practice lead to clear presentation of complex data and facilitate data interpretation and application. As a result, decision trees have been proven to be feasible for diagnostic purposes (15).

## 2. Objectives

The present study aims to present a simple model for predicting T2DM using decision tree thorium. Introducing this model as a screening tool for diabetes would express its benefit to public health programming.

#### 3. Materials and Methods

## 2.1. Patients and Their Measurements

This analytical model-based study used a part of the data related to a cohort of 11302 cases from the database of Healthy Heart House of Shiraz, Iran. Shiraz is the fifth most populous city of Iran and the capital of Fars province with a population of over 2 million individuals. Shiraz is located in the Southwest of Iran, and is the center of patient referring in the south of the country. Participants were volunteer individuals aging above 18 years who were enrolled in Shiraz cardiovascular cohort study from 2014 to 2015. Demographic, clinical, and anthropometric data, such as age, gender, Body Mass Index (BMI), family history of diabetes, and systolic and diastolic blood pressure, were extracted from the database. Then, conventional risk factors for T2DM and cardiovascular disease were selected for analyzing and decision tree modeling. Participants with missing data were excluded from the study. All measurements were in line with the study protocol and were prepared before analysis. Fasting Blood Sugar (FBS) > 126 was considered to be diabetes and this type of diagnosis of T2DM was used as the binary datum. Among the 14321 records, 11302 fulfilled all the required data regarding the six candidate variables for entering the decision tree. These cases were subsequently subdivided to individuals with or without diabetes. It should be noted that inputs of the decision tree were age, gender, systolic and diastolic blood pressure, family history of diabetes, and BMI.

# 2.2. Decision Tree Model

There are many methods for classification in multivariate approach, including discriminate analysis, artificial networks, and regression models, especially logistic regression and fuzzy logistic regression (16, 17). Regression model is a suitable statistical method for modeling with respect to controlling confounders. However, this does not imply that controlling all confounders is essential in disease prediction. In addition to confounders, important variables may be excluded from the final model. Decision tree, as one of data mining and artificial intelligence methods, is a tree structure in form of a diagram. One advantage of decision tree modeling is that it is a reliable and simple variable selection tool for clinical practice. Another advantage of using decision trees is

providing clear results from sophisticated data that allows its simple application in clinical practice. Studies have shown that decision tree is a reasonable method for diagnostic plans (18). Decision tree is used as a method for classification in advanced statistical methods context by presenting a tree model including some nodes. Root and internal nodes are test cases that are used to divide samples to different groups. Besides, internal nodes are the result of variable test cases and leaf nodes denote the class variable. Various decision tree algorithms are available to classify data, including C4.5, C5, J48, CART, and CHAID. In this paper, J48 decision tree algorithm (19) was chosen to run the model. Each node for the decision tree is found by considering the highest information gain for all variables. If a variable gives a clear end product, the branch of this variable is terminated and the target value is assigned to it. Overall, decision tree provides a powerful technique for prediction of diabetes diagnosis problem. Considering these advantages, this technique was used for diabetes classification in the present study. It was used for predicting T2DM, which is simple to be used before the onset of subclinical symptoms. To build decision trees, WEKA software (3.7.5 version, New Zealand) was applied (20). In doing so, 10-fold cross-validation as well as 30-70% train and test method were used for model checking. The results of the decision tree model were reported as accuracy and precision indices as well as True Positive (TP), False Positive (FP), and Positive Predictive Value (PPV) (Recall) indices.

# 2.3. Other Statistical Methods

Receiver Operator Characteristic (ROC) curve, recall, and Area Under Curve (AUC) were utilized for assessment of goodness of fit. Moreover, logistic regression model was used using the SPSS statistical software, version 22 (SPSS Inc, Chicago, IL, USA) to identify the association between diabetes and study parameters. Categorical variables were expressed as numbers (percentages) and continuous ones as means ± standard deviation (SD). Normally distributed parametric variables were compared using unpaired student t-test, while nonparametric ones were compared using chisquare test. P-values less than 5% were considered to be statistically significant.

## 4. Results

The participants' ages ranged from 18 to 89 years, with the mean age of 48.1 ± 11.4 years. Additionally, more than half of the participants (51.1%) were male. The prevalence of diabetes in the whole sample was 12.1%. Demographic and clinical routine data have been presented in Table 1. The results of univariate analysis revealed that all continuous variables were higher in diabetic patients in comparison to healthy individuals. Moreover, the results of multiple logistic regressions indicated that diabetes was predictable by all variables, except for gender (Table 2). Then, WEKA software was used to run the decision tree model. A total of 11302 records were used for data mining, and it took 0.23 seconds to build the model.

The rate of correctly classified samples was 89% and the model was more precise in identifying healthy individuals than patients. The total precision, recall, and accuracy of the model were 87%, 89%, and 88%, respectively. Details of the model measures have been shown in Table 3.

Table 1. Demographic and Clinical Routine Characteristics of the Study Participants							
Variable	Diabetics (n = 1363)	Healthy Individuals (n = 9939)	P value				
Age (year), Mean ± SD	56.6 ± 9.4	46.9 ± 11.1	< 0.001				
Gender (male), n (%)	784(57.5)	4996(50.3)	< 0.001				
BMI, Mean ± SD	$28.2 \pm 4.2$	$26.2 \pm 4.1$	< 0.001				
BP (diastolic), Mean ± SD	$89.0 \pm 9.4$	$75.0 \pm 11.0$	< 0.001				
BP (systolic), Mean ± SD	$143.9 \pm 17.7$	122.5 ± 15.6	< 0.001				
Family history, n (%)	519(38.1)	1662(16.7)	< 0.001				

Abbreviations: BMI, body mass index; BP, blood pressure

Table 2. The Results of Logistic Regression Analysis on Diabetics							
Variable	Coefficient	SE	OR	959	%CI for OR	P value	
Age (year)	0.061	0.004	1.063	1.056	1.071	< 0.001	
Gender (female = ref)	-0.024	0.072	0.976	0.849	1.123	0.739	
BMI	0.060	0.008	1.062	1.045	1.079	< 0.001	
BP (diastolic)	0.085	0.004	1.089	1.079	1.098	< 0.001	
BP (systolic)	0.016	0.003	1.016	1.010	1.021	< 0.001	
Family history	0.695	0.074	2.004	1.734	2.316	< 0.001	

Abbreviations: SE, standard error of mean; OR, odds ratio; CI, confidence interval; BMI, body mass index; BP, blood pressure

Table 3. Detailed Accuracy for Healthy Individuals and Diabetics Patients Obtained from the Decision Tree Model							
Samples	TP Rate	FP Rate	Precision	Recall	F-measure	ROC Area	
Healthy individuals	0.95	0.58	0.92	0.95	0.93	0.89	
Diabetic patients	0.41	0.04	0.56	0.41	0.47	0.89	
Average	0.89	0.52	0.87	0.89	0.88	0.89	

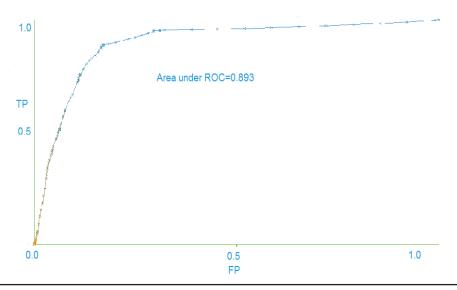
Abbreviations: TP, true positive; FP, false positive; ROC, receiver operator characteristic

The area under the ROC curve reached 0.890 (Figure 1). As the figure depicts, the capability of the model was high, especially in identification of healthy individuals. The tree included 36 leaves and the size of the tree was 71. Diastolic blood pleasure was placed at the root node of the tree due to higher information gain, followed by age. At first, the tree was split into two branches; i.e.,  $\leq$  80 and > 80 mmHg for diastolic blood pressure. Then, it was divided into two branches; i.e.,  $\leq$  47 and > 47 years old. Family history of diabetes and systolic blood pressure were located at the

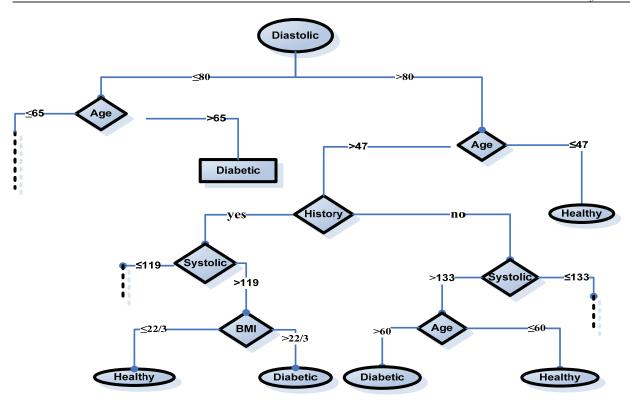
next level of the tree (Figure 2). In this tree, gender was not as important as other variables and it was located in the last level.

#### 5. Discussion

T2DM can be controlled by medication and life style modifications, such as special diets, if it is diagnosed before becoming complicated. Diabetes is known as a silent disease because clinical manifestations are absent until appearance of complications (21). Neuropathy, cataracts,



**Figure 1.** Receiver Operator Characteristic (ROC) Curve for Separating the Patients and Healthy Individuals Considering True Positive (TF) and False Positive (FP)



**Figure 2.** Decision tree results. Diastolic blood pleasure was placed at the root node of the tree due to higher information gain, which was followed by age. Family history of diabetes and systolic blood pressures were placed at the next level of the tree. In case of large number of leaves, they have been indicated by dashes and only nodes at higher levels have been displayed.

increased risk of kidney diseases, heart attack, and stroke (21, 22), which are associated with T2DM, necessitate a comprehensive population approach toward early diagnosis and management. Measurement of FBS to detect diabetes (23) is only performed after occurrence of symptoms and may be a late presentation of abnormal glucose metabolism. Although molecular tests capable of very early detection of deranged glucose metabolism have been introduced, they are not generally available as a routine diagnostic tool (22, 24). Besides, individuals need to be rechecked frequently because there will be no guarantee for those whose tests have been negative recently. Hence, a simple model of prediction that can easily be used by health providers can be potentially very useful in terms of individual patient care and population based surveillance. This was the main focus of the present study, which introduced such a model in a cohort of Iranian population.

Similar to this study, some studies provided models for early detection of T2DM and prevention of the potential complications related to late diagnosis. The results of our study were in line with those of the previous ones, and the six variables mentioned in our study were a replication of the previous reports (25, 26). Most of these studies have demonstrated that age, blood pressure, BMI, and family history of DM, as the most important risk factors, as well as laboratory data could be used for diagnosis of diabetes (14, 22). One advantage of our study was utilization of available variables instead of lab data in the prediction model.

Some studies have investigated other risk factors, such as eating habits and physical activity, for T2DM prediction

(20). However, some of these studies have used data mining for predicting T2DM and have reported higher accuracy and precision values (27, 28). In the current study, we reported a highly accurate and precise model, but the precision and recall index was lower in patients than in healthy individuals. These results were in agreement with those of other studies (14, 26). However, the precision of our model was higher compared to the recall index, which is similar to most studies conducted on the issue (26, 29). Hence, our model can be used both as a screening tool in public health and as a diagnostic clinical test. The variables used in our study were indeed the same as those used in diabetes screening and recommended by most health policymakers and providers (30). Similar to our study, some studies have confirmed that these variables are important as prediction variables (31, 32).

Contemporary practice includes multiple diagnostic tests, such as HbA1C, Fasting Plasma Glucose (FPG), and Oral Glucose Tolerance Test (OGTT), for diabetic patients care. Therefore, recent studies, especially those that had used the variables related to the main diagnosis of diabetes, have compared the capability of the decision tree model and indicated that they were not to be considered in diabetes prediction or diagnosis. Nonetheless, the present study applied the decision tree using real data and the variables used in screening programs in primary healthcare and surveillance system, except for waist circumferences, without the need for any lab data that was a strength of our study. However, waist circumference could not be used because it was not available, which was one our study limitations. Overall, the results showed that this model

could be used in screening programs. It could also be designed as a computer-based program for automatically screening of the work field.

## 5.1. Conclusion

The present study used the decision tree model for screening of T2DM without laboratory tests. This model had some advantages, such as using big real data that were obtained from a cohort study. It should also be noted that this model was different from other screening or diagnostic tests. Moreover, instead of the lab data applied in primary healthcare or surveillance system, some routine variables were employed. Therefore, this study is a key step towards early diagnostic screening test of diabetes without using diagnostic laboratory tests and can consequently be used in pre-clinical and public health screening programs.

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#### **Authors' Contribution**

Mehrab sayadi, Mohammad Javad Zibaeenezhad, and Seyyed Mohammad Taghi Ayatollahi: providing the final draft, study supervision; Mehrab Sayadi: preparing the data, data analysis, and providing the first draft.

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There is no financial disclosure.

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