Communications in Combinatorics and Optimization Vol. 9, No. 1 (2024), pp. 37-49 https://doi.org/10.22049/cco.2022.27970.1407 CCO COMMUN. COMB. OPTIM.

Research Article

### On chromatic number and clique number in *k*-step Hamiltonian graphs

Noor A'lawiah Abd Aziz<sup>1,\*</sup>, Nader Jafari Rad<sup>2</sup>, Hailiza Kamarulhaili<sup>3</sup> and Roslan Hasni<sup>4</sup>

<sup>1</sup>School of Mathematical Sciences, Universiti Sains Malaysia, 11800 Penang, Malaysia nooralawiah@usm.my

<sup>2</sup>Department of Mathematics, Shahed University, Tehran, Iran n.jafarirad@gmail.com

<sup>3</sup>School of Mathematical Sciences, Universiti Sains Malaysia, 11800 Penang, Malaysia hailiza@usm.my

<sup>4</sup> Faculty of Ocean Engineering Technology and Informatics, Universiti Malaysia Terengganu, 21030 Kuala Nerus, Terengganu, Malaysia hroslan@umt.edu.my

> Received: 22 August 2022; Accepted: 3 November 2022 Published Online: 6 November 2022

**Abstract:** A graph G of order n is called k-step Hamiltonian for  $k \ge 1$  if we can label the vertices of G as  $v_1, v_2, \ldots, v_n$  such that  $d(v_n, v_1) = d(v_i, v_{i+1}) = k$  for  $i = 1, 2, \ldots, n-1$ . The (vertex) chromatic number of a graph G is the minimum number of colors needed to color the vertices of G so that no pair of adjacent vertices receive the same color. The clique number of G is the maximum cardinality of a set of pairwise adjacent vertices in G. In this paper, we study the chromatic number and the clique number in k-step Hamiltonian graphs for  $k \ge 2$ . We present upper bounds for the chromatic number in k-step Hamiltonian graphs and give characterizations of graphs achieving the equality of the bounds. We also present an upper bound for the clique number in k-step Hamiltonian graphs and characterize graphs achieving equality of the bound.

Keywords: Hamiltonian graph,  $k-{\rm step}$ Hamiltonian graph, chromatic number, clique number

AMS Subject classification: 05C69, 05C78

\* Corresponding Author



38

### 1. Introduction

Throughout this paper, G = (V(G), E(G)) is a simple graph with V(G) as its vertex set and E(G) as its edge set. The open *neighborhood* of a vertex  $v \in V(G)$ , denoted by  $N_G(v)$  (or just N(v)) is the set  $\{u : uv \in E(G)\}$ . The degree of a vertex v,  $\deg_G(v)$  (or just  $\deg(v)$ ) is the number of neighbors of v in G, that is,  $\deg(v) = |N_G(v)|$ . We refer to  $\delta(G)$  and  $\Delta(G)$  as the minimum and maximum degree among all vertices of G, respectively. Also, a vertex  $v \in V(G)$  is called a *pendant* vertex if  $\deg(v) = 1$ . Let  $K_n$ ,  $C_n$  and  $P_n$  be a complete graph, a path and a cycle with n vertices, respectively. The distance between two vertices u and v in G, d(u, v), is the minimum length among all paths between u and v and the maximum distance d(u, v) among two vertices u, v of G is the *diameter* of G and is denoted by diam(G). For a set  $A \subseteq V(G)$ , G[A] is the subgraph of G induced by A. A circulant graph  $C_m(a_1, a_2, \ldots, a_k)$  with  $0 < a_1 < a_2 < \ldots < a_k < \frac{m+1}{2}$  is a graph of order m with vertices  $\{v_1, v_2, \ldots, v_m\}$  such that  $v_i$  is adjacent to  $v_{i+a_j}$  for all  $a_j \in \{a_1, a_2, \ldots, a_k\}$ , where the summation  $i + a_j$  is taken modulo m. For a graph G, the corona graph of G, cor(G), is the graph obtained by adding a pendant vertex to every vertex of G. For other notation and terminology not defined here, we refer to [15].

A proper vertex coloring of a graph G is an assignment of colors to the vertices of G such that every pair of adjacent vertices receives different colors. The chromatic number of a graph G, denoted by  $\chi(G)$ , is the minimum number of colors required in a proper vertex coloring of G. If G has a proper vertex coloring of k colors, then  $\chi(G) \leq k$ . The study of chromatic number of graph is an active area of research, see for example [6, 11–14]. A clique in a graph G is a set S of pairwise adjacent vertices and the number of vertices in the maximum clique is referred to as the clique number of G, denoted by  $\omega(G)$ .

A graph G is said to be Hamiltonian if G has a spanning cycle referred as a Hamiltonian cycle. Although the Hamiltonicity problem is a widely studied subject in graph theory, no exact characterization for the existence of the Hamiltonian cycle has been found. A good survey on the developments of Hamiltonicity problem can be found in [4]. The concept of Hamiltonicity has been extended by Lau et al. [9] to k-step Hamiltonicity as follows: For a graph G of order n, if we can arrange the vertices as  $v_1, v_2, \ldots, v_n$  such that  $d(v_n, v_1) = d(v_i, v_{i+1}) = k$  for  $i = 1, 2, \ldots, n-1$  and  $k \geq 1$ , then we call G a k-step Hamiltonian (or just k-SH) graph with  $v_1, v_2, \ldots, v_n, v_1$  as the k-step Hamiltonian (or just k-SH) walk of G. The k-step Hamiltonicity of some family of graphs including trees, tripartite graphs, cycles, grid graphs, torus graphs, cubic graphs and subdivision of cycles, have been studied, see [1, 2, 5, 7-10].

In this paper, we continue the study of k-SH graphs by proving bounds for the chromatic number and the clique number in k-SH graphs, where  $k \ge 2$ . In Section 2 we give a proof for the fact that a k-SH graph has at least 2k + 1 vertices. In

Section 3, we present upper bounds for the chromatic number in k-SH graphs and give characterizations of graphs achieving the equality of the bounds. In Section 4, we present an upper bound for the clique number in k-SH graphs and characterize graphs achieving equality of the bound. We make use of the following known results.

**Theorem 1 (Brooks' Theorem).** For every connected graph G other than an odd cycle or a complete graph,  $\chi(G) \leq \Delta(G)$ .

**Theorem 2 (Chartrand et al.** [3]). If G is a connected graph of order n and diameter d, then  $\chi(G) \leq n - d + 1$ .

### 2. Preliminary

The following theorem has played an important role in several works on the subject of k-step Hamiltonian graphs, while the proof given in [8] does not have any argument for the bound  $n \ge 2k + 1$ .

**Theorem 3 (Lau et al. [8]).** The cycle  $C_n$  for  $n \ge 3$  is k-SH for  $k \ge 2$  if and only if  $n \ge 2k+1$  and gcd(n,k) = 1.

We provide in the following a proof for the above bound.

**Theorem 4.** If G is a k-SH graph of order n for  $k \ge 1$ , then  $n \ge 2k+1$ .

*Proof.* The result is obvious if k = 1. Thus assume that  $k \ge 2$ . Let G be a k-SH graph of order n, and let  $W: v_1, v_2, \ldots, v_n, v_1$  be a k-SH walk in G. Thus  $d(v_n, v_1) = d(v_i, v_{i+1}) = k$  for each  $i = 1, 2, \ldots, n - 1$ . Since  $d(v_1, v_2) = k$ , let  $x_0, x_1, \ldots, x_k$  be a shortest path between  $v_1$  and  $v_2$ , where  $x_0 = v_1$  and  $x_k = v_2$ . Clearly each of  $x_i$ ,  $(i = 1, 2, \ldots, k - 1)$  lies on W. We follow the walk W starting from  $v_1$ . We relabel the vertices  $x_1, \ldots, x_{k-1}$  according to their place in W. Let the relabeled vertices be  $x_{j_1}, x_{j_2}, \ldots, x_{j_{k-1}}$ , where  $x_{j_r}$  is before  $x_{j_s}$  if r < s. For each  $r \in \{1, 2, \ldots, k - 1\}$ ,  $x_{j_r}$  has two consecutive vertices on W namely  $x'_{j_r}$  and  $x''_{j_r}$ , and without loss of generality, assume that  $x'_{j_r}$  is on the left side of  $x_{j_r}$  and  $x''_{j_r}$  is on the right side of  $x_{j_s}, x'_{j_s}, x''_{j_s}$  for s < r. So for each  $r = 2, \ldots, k - 1$ ,  $\{x'_{j_r}, x''_{j_r}\} \subseteq \{v_1, v_2, x_{j_s}, x'_{j_s}, x''_{j_s}\} \notin 0$ . So  $n \ge k + 1 + 2 + k - 2 = 2k + 1$ . □

For the sharpness of the bound in Theorem 4, consider the graph  $G = C_{2k+1}$  for  $k \ge 1$ . By Theorem 3, G is k-SH.

40

### 3. Chromatic number

We begin with the following bound.

**Theorem 5.** If G is a k-SH graph of order n for  $k \ge 2$ , then  $\chi(G) \le \lceil \frac{n}{2} \rceil$ . If equality holds, then k = 2.

*Proof.* Let G be a k-SH graph of order n for  $k \ge 2$ . Without loss of generality, assume that  $v_1, v_2, \ldots, v_n, v_1$  is a k-SH walk of G. Clearly,  $d(v_i, v_{i+1}) = d(v_n, v_1) = k$  for  $i = 1, 2, \ldots, n-1$ . We define a vertex coloring c of G as follows: If n is even, then for  $i = 0, 1, \ldots, \frac{n}{2} - 1$ , we let  $c(v_{2i+1}) = c(v_{2i+2}) = i + 1$ . If n is odd, then for  $i = 0, 1, \ldots, \frac{n-1}{2} - 1$ , we let  $c(v_{2i+1}) = c(v_{2i+2}) = i + 1$  and  $c(v_n) = \frac{n-1}{2} + 1$ . Clearly no pair of adjacent vertices receive the same color. The number of colors used in this proper vertex coloring c is  $\lfloor \frac{n}{2} \rfloor$ . Therefore,  $\chi(G) \le \lfloor \frac{n}{2} \rfloor$ , as required.

Assume now that  $\chi(G) = \lceil \frac{n}{2} \rceil$ . Since G is k-SH for  $k \ge 2$ , clearly G is connected and G is not a complete graph. If G is an odd cycle, then  $\chi(G) = 3$ , that is,  $\frac{n+1}{2} = 3$ . This means  $G = C_5$ . By Theorem 3,  $C_5$  is 2–SH. Thus assume that G is not an odd cycle. Since G is not a complete graph or an odd cycle, by Brooks' Theorem,  $\chi(G) \le \Delta(G)$ . Therefore we have  $\lceil \frac{n}{2} \rceil \le \Delta(G)$ . Let v be a vertex of maximum degree, that is  $\deg(v) = \Delta(G)$  and let A = V(G) - N[v].

Assume that n is even. Then,  $n \leq 2\Delta(G)$  and  $|A| = n - \Delta(G) - 1 \leq \Delta(G) - 1$ . Since G is k-SH, there exist two vertices  $y, z \in A$  such that y, v, z are consecutive vertices in a k-SH walk of G. Let W be such k-SH walk and  $W' = W - \{y, v, z\}$ . Then it remains  $\Delta(G)$  vertices from N(v) in W' and some vertices of A. Thus, clearly there exist two consecutive vertices  $\alpha, \beta$  in W with  $\alpha, \beta \in N(v)$ . Therefore, k = 2. The case n odd is similarly verified.

We next show that for each  $n \ge 5$ , there exists a graph achieving equality of the bound in Theorem 5.

#### **Proposition 1.** For each $n \ge 5$ , there exists a 2–SH graph G of order n with $\chi(G) = \left\lceil \frac{n}{2} \right\rceil$ .

*Proof.* If G is a graph of order  $n \leq 4$ , then by Theorem 4, G is not 2-SH. Thus, we consider  $n \geq 5$ . Let  $G = G_n$  be a graph obtained from the complete graph  $K_n$ ,  $(n \geq 5)$  with  $V(K_n) = \{v_1, v_2, \ldots, v_n\}$  by removing the edges of the Hamiltonian cycle  $v_1, v_2, \ldots, v_n, v_1$ . The graph G is connected since  $K_n, n \geq 5$  has  $\lfloor \frac{n-1}{2} \rfloor$  edge-disjoint Hamiltonian cycles. Note that for each  $i = 1, 2, \ldots, n, v_i$  is adjacent to every  $v_j$  for  $j \notin \{i+1, i-1\}$  with the summations i+1 and i-1 are taken in modulo n and so  $d(v_i, v_{i+1}) = 2$ . Therefore,  $v_1, v_2, \ldots, v_n, v_1$  is a 2–SH walk of G and thus G is 2–SH. By Theorem 5,  $\chi(G) \leq \lceil \frac{n}{2} \rceil$ .

We next prove that  $\chi(G) \geq \lfloor \frac{n}{2} \rfloor$ . For even n, clearly  $\{v_{2i} : 1 \leq i \leq \frac{n}{2}\}$  is a clique. Therefore,  $\chi(G) \geq \omega(G) \geq \frac{n}{2}$ . Now, we prove by induction on n that for each odd  $n \geq 5$ ,  $\chi(G) \geq \lfloor \frac{n}{2} \rfloor = \frac{n+1}{2}$ . For the base step assume that n = 5. Then  $G = G_5 = C_5$ . Clearly,  $\chi(G) = 3 \geq \frac{n+1}{2}$ . Assume the result holds for all odd n' with  $5 \leq n' < n$ .

#### N.A. A. Aziz, N.J. Rad, H. Kamarulhaili, R. Hasni

Now, consider the graph  $G = G_n$  for odd n. Let c be a proper vertex coloring of G. Since G is not a complete graph, there exist i, j such that  $c(v_i) = c(v_j)$ . Clearly, j = i - 1 or j = i + 1. Without loss of generality, we assume that j = i + 1. Now, remove  $v_i$  and  $v_{i+1}$  and also the edge  $v_{i-1}v_{i+2}$  to obtain  $G_{n-2}$ . Clearly, the restriction of c on  $G_{n-2}$  is a proper vertex coloring of  $G_{n-2}$ . By the induction hypothesis, we have  $|\{c(v) : v \in V(G_{n-2})\}| \ge \left\lceil \frac{n-2}{2} \right\rceil = \frac{n-1}{2}$ . Therefore, for the graph  $G = G_n$ , we have  $|\{c(v) : v \in V(G)\}| \ge 1 + \left\lceil \frac{n-2}{2} \right\rceil = \frac{n+1}{2}$ , as desired.  $\Box$ 

As another example of families of graphs achieving the equality in the bound in Theorem 5, consider the complete graph  $K_{\frac{n}{2}}$  for even  $n \geq 6$  with vertices  $v_1, v_2, \ldots, v_{\frac{n}{2}}$ . Let  $G = \operatorname{cor}(K_{\frac{n}{2}})$  with  $V(G) = V(K_{\frac{n}{2}}) \cup \{u_1, u_2, \ldots, u_{\frac{n}{2}}\}$  such that  $u_i$  is adjacent to  $v_i$  in G for  $i = 1, 2, \ldots, \frac{n}{2}$ . Clearly,  $d(v_i, u_j) = 2$  for  $i \neq j$ . Also, it is clear that  $\chi(G) = \chi(K_{\frac{n}{2}}) = \frac{n}{2}$  because we can color each vertex  $u_i$  for  $i = 1, 2, \ldots, \frac{n}{2}$  with one of the color in the set  $\{1, 2, \ldots, \frac{n}{2}\}$  that is different from the color of  $v_i$ . The 2–SH walk is then given by the sequence of vertices  $v_1, u_2, v_3, u_4, \ldots, u_{\frac{n}{2}-1}, v_{\frac{n}{2}}, u_1, v_2, \ldots, v_{\frac{n}{2}-1}, u_{\frac{n}{2}}, v_1$  when  $\frac{n}{2}$  is odd and  $u_1, v_2, u_3, v_4, \ldots, u_{\frac{n}{2}-1}, v_{\frac{n}{2}}, v_{\frac{n}{2}-1}, \ldots, u_4, v_3, u_1$  when  $\frac{n}{2}$  is even.

Now, we propose the following problem.

**Problem 1.** Characterize all 2–SH graphs G of order n with  $\chi(G) = \left\lceil \frac{n}{2} \right\rceil$ .

**Theorem 6.** There is no forbidden induced subgraph characterization for 2–SH graphs of order n with chromatic number  $\lceil \frac{n}{2} \rceil$ .

Proof. Let G be a graph of order a. The result is obvious if  $a \leq 2$ . Thus, assume that  $a \geq 3$ . Let  $b = 2 \left\lceil \frac{a}{2} \right\rceil$ . Then we form the graph  $\operatorname{cor}(K_b)$ . Identify each vertex of G with a pendant vertex of  $\operatorname{cor}(K_b)$  to obtain a graph H of order 2b. Since the adding edges are between pendant vertices of  $\operatorname{cor}(K_b)$ , clearly  $\chi(H) = \chi(\operatorname{cor}(K_b)) = b$ . As before, one can easily see that the graph  $\operatorname{cor}(K_b)$  is 2–SH and no two pendant vertices of  $\operatorname{cor}(K_b)$  are consecutive in the 2–SH walk. Therefore, a 2–SH walk in  $\operatorname{cor}(K_b)$  is also a 2–SH walk in H and thus H is 2–SH. Thus G is an induced subgraph of H, where H is a 2–SH graph with  $\chi(H) = \left\lceil \frac{|V(H)|}{2} \right\rceil$ .

**Proposition 2.** The difference  $\left\lceil \frac{|V(G)|}{2} \right\rceil - \chi(G)$  can be arbitrarily large in a 2-SH graph G.

*Proof.* Let  $n \ge 7$  be an odd integer, and  $r = \frac{n+1}{2} - 3$ . Consider the graph  $G = C_{2(r+3)-1}$ . By Theorem 3, G is 2–SH. Then,  $\left\lceil \frac{|V(G)|}{2} \right\rceil - \chi(G) = \left\lceil \frac{2(r+3)-1}{2} \right\rceil - 3 = r = \frac{n-5}{2}$ .

**Theorem 7.** For each  $k \ge 3$ , there exists a k-SH graph G of order n with  $\chi(G) = \left\lceil \frac{n}{k} \right\rceil$ .

42

#### On chromatic number and clique number in k-SH graphs

*Proof.* Let  $k \ge 3$  and consider the graph  $G = C_{2k+1}$ . By Theorem 3, G is k-SH. Since G is an odd cycle of order 2k + 1, then  $\chi(G) = 3 = \lfloor \frac{2k+1}{k} \rfloor$ .

We propose the following conjecture.

**Conjecture 1.** If G is a k-SH graph of order n for  $k \ge 1$ , then  $\chi(G) \le \left\lceil \frac{n}{k} \right\rceil$ .

We next present another upper bound for the chromatic number in a k-SH graph.

**Theorem 8.** If G is a k-SH graph of order n for  $k \ge 2$ , then  $\chi(G) \le n-k$ , with equality if and only if k = 2 and  $G = C_5$ .

*Proof.* Let G be a k-SH graph of order n for  $k \ge 2$ . Clearly, G is connected. Since G is k-SH, we have diam $(G) \ge k$  and thus, by Theorem 2, we have  $\chi(G) \le n - k + 1$ . If  $\chi(G) = n - k + 1$ , then we obtain the contradiction  $2k + 1 \le n \le 2k - 1$  from Theorems 4 and 5. Thus  $\chi(G) \le n - k$ .

We next prove the equality part. Assume that  $\chi(G) = n - k$ . Since G is k-SH, it follows by Theorem 4 that  $n \ge 2k + 1$ . If  $k \ge 3$ , then by Theorem 5,  $\chi(G) < \left\lceil \frac{n}{2} \right\rceil$  and so n < 2k + 1, a contradiction. Therefore, k = 2 and thus  $n \ge 5$ . Now, we have  $\chi(G) = n - 2 \le \left\lceil \frac{n}{2} \right\rceil$ . If n is even, then  $n \le 4$ , a contradiction. If n is odd, then  $n \le 5$  and thus n = 5. Therefore, G is a 2–SH graph of order 5. We can easily check that  $G = C_5$ .

The converse is clear.

Let  $C_m(1,2)$  be the circulant graph of order m. Abd Aziz et al. [1] obtained the following sufficient condition for the graph  $C_m(1,2)$  to be k-SH.

**Theorem 9 (Abd Aziz et al.** [1]). If gcd(m, 2j - 1) = 1 for  $m \ge 6$  and  $2 \le j \le \lfloor \frac{m-1}{4} \rfloor$ , then  $C_m(1,2)$  is j-SH.

They then gave a construction namely B-construction that produces a (k+1)-SH graph from any given k-SH graph G. The construction is as follows:

**B-Construction**. Let G be a k-SH graph of order n for  $k \ge 1$  with a given k-SH walk  $v_1, v_2, \ldots, v_n, v_1$ . Consider the graph cor(G) with the new n vertices  $u_1, u_2, \ldots, u_n$  such that  $u_i$  is adjacent to  $v_i$  for  $i = 1, 2, \ldots, n$ . Then, the B-construction produces a graph B(G) from G as follows:

- (i) For odd n, B(G) = cor(G).
- (ii) For even n, B(G) is obtained from cor(G) by the following scheme:

**Step 1.** For an integer  $m, m \ge 6$  and  $k \le \left\lceil \frac{m-1}{4} \right\rceil - 1$  with gcd(m, 2k+1) = 1, the circulant graph  $C_m(1,2)$  is (k+1)-SH by Theorem 9. Let  $C_m^1(1,2)$  and  $C_m^2(1,2)$  be two copies of  $C_m(1,2)$ . Without loss of generality, assume that

### Archive of SID.ir

 $u_{1,1}, u_{1,2}, \ldots, u_{1,m}, u_{1,1}$  (respectively  $u_{2,1}, u_{2,2}, \ldots, u_{2,m}, u_{2,1}$ ) is a (k+1)-SH walk of  $C_m^1(1,2)$  (respectively  $C_m^2(1,2)$ ). Note that  $d(u_{i,j}, u_{i,j+1}) = k+1$  for i = 1, 2 and for  $j = 1, 2, \ldots, m$ , where the summation j + 1 is taken in modulo m.

**Step 2**. Identify the vertices  $u_{1,1}, u_{1,m}, u_{2,1}$  and  $u_{2,m}$  to the vertices  $u_n, u_1, v_n$  and  $v_1$ , respectively.

The *i*-th iterated construction B of G,  $B^i(G)$  for any  $i \ge 1$  is defined recursively by  $B^1(G) = B(G)$ ,  $B^2(G) = B(B(G))$  and  $B^i(G) = B(B^{i-1}(G))$  for  $i \ge 2$ .

**Theorem 10 (Abd Aziz et al.** [1]). If G is k-SH for  $k \ge 1$ , then B(G) is (k+1)-SH.

From the *B*-construction above, we can obtain the following two results.

**Lemma 1.** If G is a k-SH graph of order n with  $\chi(G) \ge 5$  and H is a graph obtained from G by B-construction, then  $\chi(H) = \chi(G)$ .

*Proof.* Let G be a k-SH graph of order n with  $\chi(G) \ge 5$  and H be a graph obtained from G by applying the B-construction. If n is odd, then by the above construction,  $H = B(G) = \operatorname{cor}(G)$ . Clearly,  $\chi(H) = \chi(G)$  because in H, we can color every vertex  $u_i$  for  $i = 1, 2, \ldots, n$  with one of the color in the set  $\{1, 2, \ldots, \chi(G)\}$  that is not the color of  $v_i$ .

If n is even, then H = B(G) is obtained from  $\operatorname{cor}(G)$  as described above. Since the circulant graph  $C_m(1,2)$  contains a triangle,  $\chi(C_m(1,2)) \ge 3$  and it is not difficult to see that for  $m \ge 6$ ,  $\chi(C_m(1,2)) = 3$  when  $m \equiv 0 \pmod{3}$  and  $\chi(C_m(1,2)) = 4$  when  $m \not\equiv 0 \pmod{3}$ . Note that in B(G), we have  $v_n = u_{2,1}$ ,  $u_n = u_{1,1}$ ,  $v_1 = u_{2,m}$  and  $u_1 = u_{1,m}$ . As before, we can color  $\operatorname{cor}(G)$  with  $\chi(G)$  colors. Let c be this coloring. Now, we can color  $C_m^1(1,2)$  and  $C_m^2(1,2)$  in such a way that the vertices  $u_{1,1}$  and  $u_{1,m}$  receive  $c(u_n)$  and  $c(u_1)$ , respectively, and the vertices  $u_{2,1}$  and  $u_{2,m}$  receive  $c(v_n)$  and  $c(v_1)$ , respectively. Therefore, we have  $\chi(H) = \chi(G)$ .

**Theorem 11.** For each  $l \ge 5$ , there exists a chain of graphs  $H_2 \subseteq H_3 \subseteq H_4 \subseteq \ldots$  such that  $\chi(H_i) = l$  for each  $i \ge 2$ ,  $H_i$  is i-SH, and  $\frac{n(H_{i+1})}{n(H_i)} > 2$ .

Proof. Let  $l \geq 5$  and  $H_2 = G_{2l}$  be the graph defined in the proof of Proposition 1. Then, we know that  $H_2$  is 2–SH with  $\chi(H_2) = l$ . Now, for each i > 2, let  $H_i = B^{i-2}(H_2)$ . By Theorem 10 and Lemma 1, for each i > 2,  $H_i$  is an i–SH graph with  $\chi(H_i) = l$ . Clearly, from the construction of  $H_i$  for  $i \geq 2$ , we have  $\frac{n(H_{i+1})}{n(H_i)} > 2$ .

#### 43

On chromatic number and clique number in k-SH graphs

**Corollary 1.** For each  $k \ge 3$ , there exists a k-SH graph G such that  $\chi(G) < \frac{n(G)}{2^{k-1}}$ .

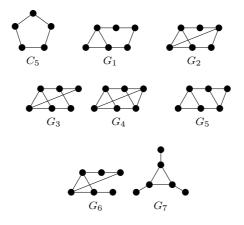


Figure 1. The graphs in  $\mathcal{F}$ .

#### 4. Clique number

In this section, we present an upper bound for the clique number in a k-SH graph and characterize the graphs achieving the equality of the bound. Let  $\mathcal{F}$  be the family of graphs shown in Figure 1.

**Theorem 12.** If G is a k-SH graph of order n for  $k \ge 2$ , then  $\omega(G) \le n - k - 1$ , with equality if and only if k = 2 and  $G \in \mathcal{F}$ .

*Proof.* Let G be a k-SH graph of order n for  $k \ge 2$  and S be a maximum clique in G. Let  $v_1$  and  $v_2$  be two consecutive vertices on a k-SH walk of G and assume that  $P: x_0, x_1, \ldots, x_{k-1}, x_k$  is a shortest path in G from  $v_1$  to  $v_2$ , where  $v_1 = x_0$ and  $v_2 = x_k$ . Clearly,  $|S \cap \{x_0, \ldots, x_k\}| \le 2$ , otherwise we will have a shorter  $v_1, v_2$ -path. Therefore,  $\omega(G) \le n - k + 1$ . Suppose that  $\omega(G) = n - k + 1$ . Then,  $|S \cap \{x_0, \ldots, x_k\}| = 2$ . Let  $x_i, x_{i+1} \in S \cap \{x_0, \ldots, x_k\}$ . Without loss of generality, we can assume that  $x_i \ne v_1$ . Then, there is no vertex at distance k from  $x_i$ , a contradiction. Thus,  $\omega(G) \le n - k$ .

Suppose that  $\omega(G) = n - k$ . Clearly  $1 \leq |S \cap \{x_0, \dots, x_k\}| \leq 2$ . Suppose that  $|S \cap \{x_0, \dots, x_k\}| = 1$ . Let  $x_i \in S \cap \{x_0, \dots, x_k\}$ . If  $x_i = v_1$ , then  $v_2$  is the only vertex at distance k from  $x_i$  in G, a contradiction. Thus  $x_i \neq v_1$ . Similarly,  $x_i \neq v_2$ . But then there is no vertex at distance k from  $x_i$  in G, a contradiction. Next suppose that  $|S \cap \{x_0, \dots, x_k\}| = 2$ . Let  $x_i, x_{i+1} \in S \cap \{x_0, \dots, x_k\}$ . Since |S| = n - k, clearly there exists a vertex  $y \notin S \cup V(P)$ . Without loss of generality, assume that  $x_i \neq v_1$ .

## Archive of SID.ir

44

Then there exists at most one vertex at distance k from  $x_i$  (possibly  $d(x_i, y) = k$ ), a contradiction.

We conclude that,  $\omega(G) \leq n - k - 1$ , as desired. We next prove the equality part. Assume that  $\omega(G) = n - k - 1$ . Let  $v_1, v_2, x_0, x_1, \dots, x_k$  and S be as described above.

#### Claim 1. k = 2.

Proof of Claim 1. Suppose  $k \ge 3$ . Clearly  $|S| \ge 2$ . According to  $|S \cap \{x_0, \ldots, x_k\}| \le 2$ , we have three possibilities.

Suppose that  $|S \cap \{x_0, \ldots, x_k\}| = 0$ . If there exists a vertex  $x_i$  for  $i = 1, 2, \ldots, k - 1$  such that  $x_i$  is adjacent to some vertex  $y \in S$ , then there is no vertex at distance k from  $x_i$  in G, a contradiction. Therefore, every vertex  $x_i$  for  $i = 1, 2, \ldots, k - 1$  has no neighbor in S. But then,  $v_2$  is the only vertex at distance k from  $v_1$  in G, a contradiction.

Next suppose that  $|S \cap \{x_0, \ldots, x_k\}| = 1$ . Let  $x_i \in S \cap \{x_0, \ldots, x_k\}$ . Since |S| = n-k-1, there exists a vertex  $y \notin S \cup V(P)$ . Suppose  $x_i = v_1$ . If  $d(v_1, y) \neq k$ , then  $v_2$  is the only vertex at distance two from  $v_1$  in G, a contradiction. Therefore,  $d(v_1, y) = k$  and thus y is adjacent to  $x_{k-1}$ . Clearly, y is not adjacent to  $x_0, x_1, \ldots, x_{k-2}$ . But now,  $x_1$  is at distance at most k-1 to other vertices of G, a contradiction. Therefore,  $x_i \neq v_1$  and similarly  $x_i \neq v_2$ . But then,  $x_i$  is at distance k to at most one vertex of G (possibly  $d(x_i, y) = k$ ), a contradiction.

Next, suppose that  $|S \cap \{x_0, ..., x_k\}| = 2$ . Let  $x_i, x_{i+1} \in S \cap \{x_0, ..., x_k\}$ . Since |S| = n - k - 1, there exist two vertices  $y, z \notin S \cup V(P)$ . Assume that  $x_i = v_1$ . Since G is k-SH, there is another vertex at distance k from  $v_1$  different from  $v_2$ . Clearly, that vertex is either y or z. Without loss of generality, assume that  $d(v_1, y) = k$ . Since  $k \geq 3$ , y has no neighbor in S. Also, it is clear that y is not adjacent to  $x_1, x_2, \ldots, x_{k-2}$ . Thus, y is adjacent to  $x_{k-1}$ . But now,  $x_{i+1}$  is at distance k to at most one vertex of G (possibly  $d(x_{i+1}, z) = k$ ), a contradiction. Therefore,  $x_i \neq v_1$ . Similarly,  $x_i \neq x_{k-1}$ . Now, assume that  $x_i = x_1$ . Clearly  $d(x_i, y) = d(x_i, z) = k$ since G is k-SH. Again, since  $k \geq 3$ , y and z have no neighbor in S. Also, y and z are not adjacent to  $v_1, x_1, \ldots, x_{k-1}$ . Thus, both y and z are adjacent to  $v_2$ . But now,  $v_2$  is the only vertex at distance k from  $v_1$ , a contradiction. Therefore,  $x_i \neq x_1$ . Similarly,  $x_i \neq x_{k-2}$   $(k \geq 4)$ . Next, consider  $x_i \neq x_1$  or  $x_i \neq x_{k-2}$  $(k \geq 5)$ . Again  $d(x_i, y) = d(x_i, z) = k$ . Clearly, y and z are adjacent to some vertex in  $\{v_1, x_1, \ldots, x_{k-1}, v_2\} - \{x_i\}$ . But, every  $x_i, y$ -path and every  $x_i, z$ -path created by joining y and z to any of those vertices has length at most k - 1, a contradiction. So the proof of Claim 1 is complete.  $\Diamond$ 

We now prove that  $G \in \mathcal{F} = \{C_5, G_1, G_2, G_3, G_4, G_5, G_6, G_7\}$ . Note that  $|S| = \omega(G) = n - 3$ . Let  $v_1$  and  $v_2$  be two consecutive vertices on a 2–SH walk of G and assume that  $P: x_0, x_1, x_2$  is a shortest path in G from  $v_1$  to  $v_2$ , where  $v_1 = x_0$  and  $v_2 = x_2$ . Since k = 2, clearly  $|S| \ge 2$ . According to  $|S \cap \{x_0, x_1, x_2\}| \le 2$ , we have three cases.

**Case 1.**  $|S \cap \{x_0, x_1, x_2\}| = 0.$ 

#### 45

46

#### On chromatic number and clique number in k-SH graphs

Since G is 2–SH, there exist two vertices  $y_1, y_2$  at distance two from  $x_1$  and clearly,  $y_1, y_2 \in S$ . Therefore,  $x_1$  is not adjacent to  $y_1$  and also not adjacent to  $y_2$ . Assume that  $|S| \geq 3$  and consider  $y_3 \in S$ . Since  $v_1, v_2$  are consecutive vertices in the 2–SH walk, we have at least four other vertices  $x_1, y_1, y_2, y_3$  in the 2–SH walk of G. Clearly, two vertices in S will be consecutive in the 2–SH walk, a contradiction. Thus, we assume that |S| = 2. Since G is 2–SH, there exists a vertex at distance two from  $v_1$ different from  $v_2$  and clearly, that vertex is from S. Without loss of generality, let  $d(v_1, y_2) = 2$ . Thus  $v_1$  is adjacent to  $y_1$ . Since  $d(x_1, y_2) = 2$  and  $y_2$  is not adjacent to  $v_1$ , clearly  $y_2$  is adjacent to  $v_2$ . If  $y_1$  is adjacent to  $v_2$ , then  $x_1$  is the only vertex at distance two from  $y_1$ , a contradiction. Therefore,  $y_1$  is not adjacent to  $v_2$ . Thus, we have  $G = C_5$ .

#### **Case 2.** $|S \cap \{x_0, x_1, x_2\}| = 1.$

Let  $x_i \in S \cap \{x_0, x_1, x_2\}$ . Since |S| = n - 3, there exists a vertex  $y \notin S \cup V(P)$ . If  $x_1 \in S$ , then there exists at most one vertex at distance two from  $x_1$  in G (possibly  $d(x_1, y) = 2$ ), a contradiction. Therefore,  $x_1 \notin S$ . Without loss of generality, assume that  $x_0 \in S$ . Clearly,  $d(x_0, y) = 2$  since G is 2–SH. Now, follow the 2–SH walk starting from  $x_2, x_0, y$ . Assume that  $|S| \ge 4$  and consider  $y_1, y_2, y_3 \in S \setminus \{x_0\}$ . The next vertex after y in the 2–SH walk is either  $x_1$  or some vertex in  $S \setminus \{x_0\}$ . Suppose the next vertex after y is  $x_1$ . Then the next vertex after  $x_1$  should be in  $S \setminus \{x_0\}$ , say  $y_1$ . But then the next vertex after  $y_1$  in the 2–SH walk is from  $S \setminus \{x_0\}$ , say  $y_1$ . Then the next vertex after  $x_1$  should be in  $S \setminus \{x_0, y_1\}$ , say  $y_2$ . But again there is no next vertex after  $y_2$  in the 2–SH walk, a contradiction. Therefore  $2 \le |S| \le 3$ .

Assume that |S| = 2. Let  $S = \{x_0, y_1\}$ . Since G is 2–SH, the two vertices at distance two from  $x_1$  are  $y_1$  and y. Therefore,  $x_1$  is not adjacent to  $y_1$  and also not adjacent to y. Since  $d(x_0, y) = 2$ , y is adjacent to both  $y_1$  and  $x_2$ . And since  $\omega(G) = 2$ ,  $x_2$  is not adjacent to  $y_1$ . Thus we have  $G = C_5$ .

Next assume that |S| = 3. Let  $S = \{x_0, y_1, y_2\}$ .

Assume that y is adjacent to  $x_1$ . Then, the next vertex after y in the 2–SH walk is either  $y_1$  or  $y_2$ . Without loss of generality, assume that the next vertex after y is  $y_1$ . The next vertex after  $y_1$  should be  $x_1$ . Thus  $y_1$  is not adjacent to  $x_1$ . Then, the next vertex after  $x_1$  should be  $y_2$  and thus  $x_1$  is not adjacent to  $y_2$ . Now, the vertices  $x_2, x_0, y, y_1, x_1, y_2$  are consecutive in the 2–SH walk. Assume that y is adjacent to  $x_2$ . Suppose y is not adjacent to  $y_2$ . Since  $d(y, y_1) = 2$ ,  $y_1$  is adjacent to  $x_2$ . If  $y_2$  is adjacent to  $x_2$ , then  $x_0$  is the only vertex at distance two from  $x_2$  in G, a contradiction. Therefore  $y_2$  is not adjacent to  $x_2$ , then  $x_1$  is the only vertex at distance two from  $y_2$  in G, a contradiction. Therefore  $y_2$  is not adjacent to  $x_2$ . If  $y_1$  is adjacent to  $x_2$ , then we have  $G = G_4$ , otherwise we have  $G = G_5$ . Assume next y is not adjacent to  $x_2$ . Since  $d(y, y_1) = 2$ , y is adjacent to  $y_2$ . If  $y_2$  is adjacent to  $x_2$ , then  $x_1$  is the only vertex at distance two from  $y_2$  in G, a contradiction. Therefore  $y_2$  is not adjacent to  $x_2$ . If  $y_1$  is not adjacent to  $x_2$ , again  $x_1$  is the only vertex at distance two from  $y_2$  in G, a contradiction two from  $y_2$  in G, a contradiction. Therefore

distance two from  $y_2$  in G, a contradiction. Therefore  $y_1$  is adjacent to  $x_2$ . Thus we have  $G = G_3$ .

Next, assume that y is not adjacent to  $x_1$ . Then y is adjacent to at least one of  $y_1$  or  $y_2$  since  $d(x_0, y) = 2$ . Without loss of generality, assume that y is adjacent to  $y_2$ . If  $x_1$  is adjacent to  $y_2$ , then  $y_2$  is at distance two to at most one vertex of G, a contradiction. Therefore,  $x_1$  is not adjacent to  $y_2$ . If  $y_2$  is adjacent to  $x_2$ , then  $x_1$  is the only vertex at distance two from  $y_2$  in G, a contradiction. Thus,  $y_2$  is not adjacent to  $x_2$ . Suppose y is adjacent to  $y_1$ . Then clearly y is adjacent to  $x_2$ , for otherwise there is no next vertex after y in the 2–SH walk. Then,  $x_1$  is the next vertex after y in the 2–SH walk. Then, the next vertex after  $x_1$  is either  $y_1$  or  $y_2$ . But then the next vertex in the 2–SH walk does not exist, a contradiction. Therefore, y is not adjacent to  $y_1$ . Assume that y is not adjacent to  $x_2$ . Then the next vertex after y in the 2-SH walk is  $y_1$ . Then the next vertex after  $y_1$  is  $x_1$ . Thus  $y_1$  is not adjacent to  $x_1$ . Then the next vertex after  $x_1$  is  $y_2$ . If  $y_1$  is not adjacent to  $x_2$ , then,  $x_1$  is the only vertex at distance two from  $y_2$  in G, a contradiction. Therefore,  $y_1$  is adjacent to  $x_2$ . Thus we have  $G = G_6$ . Now, assume that y is adjacent to  $x_2$ . Then, the next vertex after y in the 2–SH walk is either  $x_1$  or  $y_1$ . Suppose the next vertex after y is  $x_1$ . Then, the next vertex after  $x_1$  is either  $y_1$  or  $y_2$ . But in either case, there exists no next vertex in the 2–SH walk, a contradiction. Therefore, the next vertex after yis  $y_1$ . Then, the next vertex after  $y_1$  must be  $x_1$  and so  $y_1$  is not adjacent to  $x_1$ . Then clearly the next vertex after  $x_1$  is  $y_2$ . If  $y_1$  is adjacent to  $x_2$ , then  $G = G_2$ , otherwise  $G = G_1.$ 

#### **Case 3.** $|S \cap \{x_0, x_1, x_2\}| = 2.$

Without loss of generality, assume that  $x_0, x_1 \in S$ . Since |S| = n - 3, there exist two vertices  $y_1, y_2 \notin S \cup V(P)$ . Clearly, the vertices  $y_1, x_1, y_2$  are consecutive in the 2–SH walk. Also,  $x_0$  is consecutive with one of  $y_1$  or  $y_2$  in the 2–SH walk. Without loss of generality, assume that  $x_0$  is consecutive with  $y_1$ . Now, follow the 2–SH walk starting from  $x_2, x_0, y_1, x_1, y_2$ . Assume that  $|S| \ge 4$  and consider  $z_1, z_2 \in S \setminus \{x_0, x_1\}$ . Then the next vertex after  $y_2$  must be in  $S \setminus \{x_0, x_1\}$ . Without loss of generality, assume that the next vertex after  $y_2$  in the 2–SH walk is  $z_1$ . But then the next vertex after  $z_1$  in the 2–SH walk does not exist, a contradiction. Therefore  $2 \le |S| \le 3$ .

Assume that |S| = 2. Since  $d(x_0, y_1) = d(x_1, y_1) = d(x_1, y_2) = 2$ , it follows that  $x_0$  is adjacent to  $y_2$ ,  $x_2$  is adjacent to  $y_1$  and  $y_1$  is adjacent to  $y_2$ . If  $x_2$  is adjacent to  $y_2$ , then  $x_1$  is the only vertex at distance two from  $y_2$  in G, a contradiction. Therefore,  $x_2$  is not adjacent to  $y_2$ . Thus we have  $G = C_5$ .

Next assume that |S| = 3. Let  $S = \{x_0, x_1, z\}$ . Then, clearly the next vertex after  $y_2$  in the 2–SH walk is z and so  $y_2$  is not adjacent to z. If z is adjacent to  $x_2$ , then  $y_2$  is the only vertex at distance two from z in G, a contradiction. Therefore, z is not adjacent to  $x_2$ .

Assume that  $y_1$  is not adjacent to  $y_2$ . Since  $d(x_0, y_1) = d(z, y_2) = 2$ , clearly  $y_1$  is adjacent to z and  $y_2$  is adjacent to  $x_0$ . Suppose now  $y_2$  is adjacent to  $x_2$ . If  $y_1$  is adjacent to  $x_2$ , then  $G = G_3$ , otherwise  $G = G_6$ . Suppose next  $y_2$  is not adjacent to  $x_2$ . If  $y_1$  is adjacent to  $x_2$ , then  $G = G_6$ , otherwise  $G = G_7$ .

48

#### On chromatic number and clique number in k-SH graphs

Assume next  $y_1$  is adjacent to  $y_2$ . Now, look at the adjacency between  $x_0$  and  $y_2$ . First, assume that  $x_0$  is adjacent to  $y_2$ . Consider the adjacency between  $y_1$  and z. Suppose  $y_1$  is not adjacent to z. Since  $d(x_1, y_1) = 2$ ,  $y_1$  is adjacent to  $x_2$ . If  $x_2$  is adjacent to  $y_2$ , then  $G = G_5$ , otherwise  $G = G_1$ . Suppose next  $y_1$  is adjacent to z. Now, assume that  $y_1$  is not adjacent to  $x_2$ . If  $x_2$  is adjacent to  $y_2$ , then  $G = G_2$ , otherwise  $G = G_6$ . Next, assume that  $y_1$  is adjacent to  $x_2$ . If  $x_2$  is adjacent to  $y_2$ , then  $G = G_4$ , otherwise  $G = G_3$ . Now, assume that  $x_0$  is not adjacent to  $y_2$ . Since  $d(x_0, y_1) = d(x_1, y_2) = 2$ , it follows that  $y_1$  is adjacent to z and  $y_2$  is adjacent to  $x_2$ . If  $y_1$  is adjacent to  $x_2$ , then  $G = G_5$ , otherwise  $G = G_1$ .

For the converse, it is not difficult to show that any graph  $G \in \mathcal{F}$  is 2–SH with  $\omega(G) = n - 3$ .

Conflict of interest. The authors declare that they have no conflict of interest.

**Data Availability.** Data sharing is not applicable to this article as no datasets were generated or analyzed during the current study.

### References

- N. A. Abd Aziz, N. Jafari Rad, H. Kamarulhaili, and R. Hasni, A note on k-step Hamiltonian graphs, Malaysian J. Math. Sci. 13 (2019), no. 1, 87–93.
- [2] N.A. Abd Aziz, N. Jafari Rad, H. Kamarulhaili, and R. Hasni, Bounds for the independence number in k-step Hamiltonian graphs, Comput. Sci. J. Moldova 26 (2018), no. 1, 15–28.
- [3] G. Chartrand, L. Lesniak, and P. Zhang, *Graphs & Digraphs*, 5th ed., CRC Press, Boca Raton, Florida, USA, 2011.
- [4] R.J. Gould, Advances on the Hamiltonian problem: A survey, Graphs Combin. 19 (2003), no. 1, 7–52 https://doi.org/10.1007/s00373-002-0492-x.
- [5] Y.S. Ho, S.M. Lee, and B. Lo, On 2-steps Hamiltonian cubic graphs, J. Combin. Math. Combin. Comput. 98 (2016), 185–199.
- [6] A.V. Kostochka, L. Rabern, and M. Stiebitz, Graphs with chromatic number close to maximum degree, Discrete Math. **312** (2012), no. 6, 1273–1281 https://doi.org/10.1016/j.disc.2011.12.014.
- [7] G.C. Lau, Y.S. Ho, S.M. Lee, and K. Schaffer, On 3-step Hamiltonian trees, J. Graph Labeling 1 (2015), 41–53.
- [8] G.C. Lau, S.M. Lee, K. Schaffer, and S.M. Tong, On k-step Hamiltonian bipartite and tripartite graphs, Malaya J. Mat. 2 (2014), no. 3, 180–187.
- [9] G.C. Lau, S.M. Lee, K. Schaffer, S.M. Tong, and S. Lui, On k-step hamiltonian graphs, J. Combin. Math. Combin. Comput. 90 (2014), 145–158.

### N.A. A. Aziz, N.J. Rad, H. Kamarulhaili, R. Hasni

- [10] S.M. Lee and H.H. Su, On 2-steps Hamiltonian subdivision graphs of cycles with a chord, J. Combin. Math. Combin. Comput. 98 (2016), 109–123.
- [11] P.K. Niranjan and R.K. Srinivasa, The k-distance chromatic number of trees and cycles, AKCE Int. J. Graphs Comb. 16 (2019), no. 2, 230–235 https://doi.org/10.1016/j.akcej.2017.11.007.
- [12] B. Randerath and I. Schiermeyer, Vertex colouring and forbidden subgraphs- A survey, Graphs Combin. 20 (2004), no. 1, 1–40 https://doi.org/10.1007/s00373-003-0540-1.
- [13] M. Soto, A. Rossi, and M. Sevaux, Three new upper bounds on the chromatic number, Discrete Appl. Math. 159 (2011), no. 18, 2281–2289 https://doi.org/10.1016/j.dam.2011.08.005.
- [14] L. Stacho, New upper bounds for the chromatic number of a graph, J. Graph Theory 36 (2001), no. 2, 117–120.
- [15] D.B. West, Introduction to Graph Theory, 2nd ed., Prentice Hall, Upper Saddle River, New Jersey, USA, 2001.