

## OD-characterization of $U_3(9)$ and its group of automorphisms

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**Abstract.** Let  $L = U_3(9)$  be the simple projective unitary group in dimension 3 over a field with  $9^2$  elements. In this article, we classify groups with the same order and degree pattern as an almost simple group related to  $L$ . Since  $Aut(L) \cong Z_4$  hence almost simple groups related to  $L$  are  $L$ ,  $L : 2$  or  $L : 4$ . In fact, we prove that  $L$ ,  $L : 2$  and  $L : 4$  are OD-characterizable.

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### 1. Introduction

Let  $G$  be a finite group. Denote by  $\pi(G)$  the set of all prime divisors of the order of  $G$ . The prime graph  $\Gamma(G)$  of a finite group  $G$  is a simple graph with vertex set  $\pi(G)$  in which two distinct vertices  $p$  and  $q$  are joined by an edge if and only if  $G$  has an element of order  $pq$ .

**Definition 1.1** Let  $G$  be a finite group and  $|G| = p_1^{\alpha_1} \cdot p_2^{\alpha_2} \cdots p_k^{\alpha_k}$ , where  $p_1 < p_2 < \dots < p_k$ . For  $p \in \pi(G)$ , let  $deg(p) = |\{q \in \pi(G) | p \sim q\}|$  be the degree of  $p$  in the graph  $\Gamma(G)$ , we define  $D(G) = (deg(p_1), deg(p_2), \dots, deg(p_k))$ , which is called the degree pattern of  $G$ .

Given a finite group  $G$ , denote by  $h_{OD}(G)$  the number of isomorphism classes of finite groups  $S$  such that  $|G| = |S|$  and  $D(G) = D(S)$ . In terms of the function  $h_{OD}$ , groups

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$G$  are classified as follows:

**Definition 1.2** A group  $G$  is called  $k$ -fold OD-characterizable if there exist exactly  $k$  non-isomorphic group  $S$  such that  $|G| = |S|$  and  $D(G) = D(S)$ . Moreover, a 1-fold OD-characterizable group is simply called an OD-characterizable.

**Definition 1.3** A group  $G$  is said to be an almost simple group if and only if  $S \trianglelefteq G \trianglelefteq \text{Aut}(S)$  for some non-abelian simple group  $S$ .

**Definition 1.4** Let  $p$  be a prime number. The set of all non-abelian finite simple groups  $G$  such that  $p \in \Pi(G) \subseteq \{2, 3, 5, \dots, p\}$  is denoted by  $\mathfrak{S}_p$ .

It is clear that the set of all non-abelian finite simple groups is the disjoint union of the finite sets  $\mathfrak{S}_p$  for all primes  $p$ .

## 2. Preliminaries

For any group  $G$ , let  $\omega(G)$  be the set of orders of elements in  $G$ , where each possible order element occurs once in  $\omega(G)$  regardless of how many elements of that order  $G$  has. This set is closed and partially ordered by divisibility, hence it is uniquely determined by its maximal elements. The set of maximal elements of  $\omega(G)$  is denoted by  $\mu(G)$ . The number of connected component of  $\Gamma(G)$  is denoted by  $t(G)$ . Let  $\pi_i = \pi_i(G)$ ,  $1 \leq i \leq t(G)$ , be the  $i$ th connected components of  $\Gamma(G)$ . For a group of even order we let  $2 \in \pi_1(G)$ . We denote by  $\pi(n)$  the set of all primes divisors of  $n$ , where  $n$  is a natural number. Then  $|G|$  can be expressed as a product of  $m_1, m_2, \dots, m_{t(G)}$ , where  $m_i$ 's are positive integers with  $\pi(m_i) = \pi_i$ . The numbers  $m_i$ 's,  $1 \leq i \leq t(G)$ , are called the order components of  $G$ . We write  $OC(G) = \{m_1, m_2, \dots, m_{t(G)}\}$  and call it the set of order components of  $G$ . The set of prime graph components of  $G$  is denoted by  $T(G) = \{\pi_i(G) | i = 1, 2, \dots, t(G)\}$ .

**Definition 2.1** Let  $n$  be a natural number. We say that a finite simple group  $G$  is a  $K_n$ -group if  $|\pi(G)| = n$ .

**Definition 2.2** Suppose that  $K \trianglelefteq G$  and  $G/K \cong H$ . Then we shall call  $G$  an extension of  $K$  by  $H$ .

## 3. Elementary Results

**Definition 3.1** A group  $G$  is called a 2-Frobenius group, if there exists a normal series  $1 \triangleleft H \triangleleft K \triangleleft G$ , such that  $K$  and  $\frac{G}{H}$  are Frobenius groups with kernels  $H$  and  $\frac{K}{H}$ , respectively.

**Lemma 3.2** [2] Let  $G$  be a 2-Frobenius group of even order which has a normal series  $1 \triangleleft H \triangleleft K \triangleleft G$ , such that  $K$  and  $\frac{G}{H}$  are Frobenius groups with kernels  $H$  and  $\frac{K}{H}$ , respectively. Then

- $t(G) = 2$  and  $T(G) = \{\pi_1(G) = \pi(H) \cup \pi(G/K), \pi_2(G) = \pi(K/H)\}$ .
- $G/K$  and  $K/H$  are cyclic groups,  $|G/K| \mid |\text{Aut}(K/H)|$ , and  $(|G/K|, |K/H|) = 1$ .
- $H$  is a nilpotent group and  $G$  is a solvable group.

The following lemmas are useful when dealing with a Frobenius group.

**Lemma 3.3** [4, 6] Let  $G$  be a Frobenius group with complement  $H$  and kernel  $K$ . Then the following assertions hold:

- (a)  $K$  is a nilpotent group;
- (b)  $|K| \equiv 1 \pmod{|H|}$ ;
- (c) Every subgroup of  $H$  of order  $pq$ , with  $p, q$  (not necessarily distinct) primes, is cyclic. In particular, every Sylow Subgroup of  $H$  of odd order is cyclic and a 2-Sylow subgroup of  $H$  is either cyclic or a generalized quaternion group. If  $H$  is a non-solvable group, then  $H$  has a subgroup of index at most 2 isomorphic to  $Z \times SL(2, 5)$ , where  $Z$  has cyclic Sylow  $p$ -subgroups and  $\pi(Z) \cap \{2, 3, 5\} = \emptyset$ . In particular,  $15, 20 \notin \omega(H)$ . If  $H$  is solvable and  $O(H) = 1$ , then either  $H$  is a 2-group or  $H$  has a subgroup of index at most 2 isomorphic to  $SL(2, 3)$ .

**Lemma 3.4** [2] Let  $G$  be a Frobenius group of even order where  $H$  and  $K$  are Frobenius complement and Frobenius kernel of  $G$ , respectively. Then  $t(G) = 2$  and  $T(G) = \{\pi(H), \pi(K)\}$ .

Let  $G$  be a finite group with disconnected prime graph. The structure of  $G$  is given in [7] which is stated as a lemma here.

**Lemma 3.5** Let  $G$  be a finite group with disconnected prime graph. Then  $G$  satisfies one of the following conditions:

- a)  $s(G) = 2$ ,  $G = KC$  is a Frobenius group with kernel  $K$  and complement  $C$ , and the two connected components of  $G$  are  $\Gamma(K)$  and  $\Gamma(C)$ . Moreover  $K$  is nilpotent, and here  $\Gamma(K)$  is a complete graph.
- b)  $s(G) = 2$  and  $G$  is a 2-Frobenius group, i.e.,  $G = ABC$  where  $A, AB \trianglelefteq G$ ,  $B \trianglelefteq BC$ , and  $AB, BC$  are Frobenius groups.
- c) There exists a non-abelian simple group  $P$  such that  $P \leq \bar{G} = \frac{G}{N} \leq \text{Aut}(P)$  for some nilpotent normal  $\pi_1(G)$ -subgroup  $N$  of  $G$  and  $\frac{\bar{G}}{P}$  is a  $\pi_1(G)$ -group. Moreover,  $\Gamma(P)$  is disconnected and  $s(P) \geq s(G)$ .

If a group  $G$  satisfies condition(c) of the above lemma we may write  $P = \frac{B}{N}$ ,  $B \leq G$ , and  $\frac{\bar{G}}{P} = \frac{G}{B} = A$ , hence in terms of group extensions  $G = N \cdot P \cdot A$ , where  $N$  is a nilpotent normal  $\pi_1(G)$ -subgroup of  $G$  and  $A$  is a  $\pi_1(G)$ -group.

**Theorem 3.6** [5] The following assertions are equivalent:

- (a)  $G$  is a Frobenius group with kernel  $K$  and complement  $H$ .
- (b)  $G = HK$  such that  $K \triangleleft G$  and  $H < G$  and  $H$  act on  $K$  without fixed point.

By [1], the outer automorphism group of  $U_3(9)$  is isomorphic to  $Z_4$ , hence we have

**Lemma 3.7** If  $G$  is an almost simple group related to  $L = U_3(9)$ , then  $G$  is isomorphic to one of the following groups:  $L$ ,  $L : 2$  or  $L : 4$ .

## 4. Main Results

**Theorem 4.1** If  $G$  is a finite group such that  $D(G) = D(M)$  and  $|G| = |M|$ , where  $M$  is an almost simple group related to  $L = U_3(9)$ , then the following assertions hold:

- (a) If  $M = L$ , then  $L$  is OD-characterizable.
- (b) If  $M = L : 2$ , then  $L : 2$  is OD-characterizable.
- (c) If  $M = L : 4$ , then  $L : 4$  is OD-characterizable.

**Proof.** We break the proof into a number of separate cases:

Case 1: If  $M = L$ , then  $G \cong L$ , by [3].

Case 2: If  $M = L : 2$ , then  $G \cong L : 2$ .

If  $M = L : 2$ , by [1],  $\mu(L : 2) = \{12, 30, 73, 80\}$  from which we deduce that  $D(L : 2) = (2, 2, 2, 0)$ . The prime graph of  $L : 2$  has the following form:

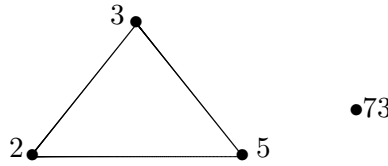


Figure 1: The prime graph of  $U_3(9) : 2$

As  $|G| = |L : 2| = 2^6 \cdot 3^6 \cdot 5^2 \cdot 73$  and  $D(G) = D(L : 2) = (2, 2, 2, 0)$ , then  $\Gamma(G) = \Gamma(M) = \{2 \sim 3, 2 \sim 5, 3 \sim 5; 73\}$ . Thus  $G$  has a disconnected prime graph with  $s(G) = 2$ . We show that  $G$  is neither a Frobenius group nor 2-Frobenius group. If  $G$  is a Frobenius group, then by Lemma 3.5 (a),  $G = KC$ , with Frobenius kernel  $K$  and Frobenius complement  $C$  with connected components  $\Gamma(K)$  and  $\Gamma(C)$ . Note that  $\Gamma(K)$  is a graph with vertex  $\{73\}$  and  $\Gamma(C)$  with vertices  $\{2, 3, 5\}$ . By Lemma 3.3 (b),  $|K| \mid (|C| - 1)$ . Since  $|K| = 73$  and  $|C| = 2^6 \cdot 3^6 \cdot 5^2$ , then,  $73 \nmid (2^6 \cdot 3^6 \cdot 5^2 - 1)$ , a contradiction. If  $G$  is a 2-Frobenius group, then there is a normal series  $1 \triangleleft H \triangleleft K \triangleleft G$  such that  $K$  and  $G/H$  are Frobenius groups with kernels  $H$  and  $K/H$ . By Lemma 3.2 (a),  $T(G) = \{\pi_1(G) = \pi(H) \cup \pi(G/K), \pi_2(G) = \pi(K/H)\}$ . Therefore,  $|K/H| = 73$ . Also, by Lemma 3.2 (b),  $G/K \leq Aut(K/H) \cong Z_{72}$ . Hence  $|G/K| \mid 2^3 \cdot 3^2$ , which implies that  $\{5, 73\} \subseteq \pi(K)$ , and so  $5 \in \pi(H)$ . Let  $H_5 \in Syl_5(H)$  and  $G_{73} \in Syl_{73}(G)$ . Then  $H_5 \text{char} H \trianglelefteq G$ . By the nilpotency of  $H$ , we have  $H_5 \triangleleft G$  and  $H_5$  acts on  $G_{73}$  fixed point freely, since  $5 \approx 73$  in  $\Gamma(G)$ . Therefore, by Theorem 3.6,  $H_5.G_{73}$  is a Frobenius group. So,  $|G_{73}| \mid (|H_5| - 1)$ , i.e.,  $73 \mid (5^i - 1)$ ,  $i = 1$  or  $2$ , a contradiction.

By Lemma 3.5 (c), there exists a non-abelian simple group  $P$  such that  $P \leq \bar{G} = G/N \leq Aut(P)$ , for some nilpotent normal  $\{2, 3, 5\}$ -subgroup  $N$  of  $G$  and  $\bar{G}/P$  is a  $\{2, 3, 5\}$ -group.

$73 \in \pi(P)$ . Since  $\bar{G}/P$  is a  $\{2, 3, 5\}$ -group and  $73 \mid |G|$ , therefore, we have  $73 \mid |P|$ , i.e.,  $P \in \mathfrak{S}_{73}$ , which implies that  $\pi(P) \subseteq \{2, 3, 5, 73\}$ . Using [8], we deduce that  $P \cong U_3(9)$ . We have  $U_3(9) \leq G/N \leq Aut(U_3(9))$ . It follows that  $|N| = 2$  or  $|N| = 1$ .

If  $|N| = 1$ , then  $G \cong U_3(9) : 2$ .

If  $|N| = 2$ , then  $G/C_G(N) \leq Aut(N) = 1$ , so  $G = C_G(N)$  and  $N \leq Z(G)$ . Let  $G_{73} \in Syl_{73}(G)$ . Then  $N.G_{73}$  is a subgroup of  $G$ , therefore,  $N.G_{73}$  has an element of order  $2 \cdot 73$ , which implies that  $2 \sim 73$  in  $\Gamma(G)$ , a contradiction.

Case 3: If  $M = L : 4$ , then  $G \cong L : 4$ .

If  $M = L : 4$ , by [1],  $\mu(L : 4) = \{24, 30, 73, 80\}$  from which we deduce that  $D(L : 2) = (2, 2, 2, 0)$ . The prime graph of  $L : 4$  has the following form:

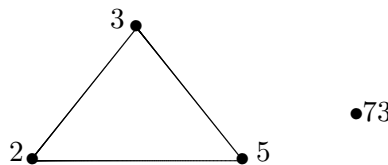


Figure 2: The prime graph of  $U_3(9) : 4$

As  $|G| = |L : 4| = 2^7 \cdot 3^6 \cdot 5^2 \cdot 73$  and  $D(G) = D(L : 4) = (2, 2, 2, 0)$ , then  $\Gamma(G) = \Gamma(M) = \{2 \sim 3, 2 \sim 5, 3 \sim 5; 73\}$ . Thus  $G$  has a disconnected prime graph with  $s(G) = 2$ . We show that  $G$  is neither a Frobenius group nor 2-Frobenius group. If  $G$  is a Frobenius group, then by Lemma 3.5(a),  $G = KC$ , with Frobenius kernel  $K$  and Frobenius complement  $C$  with

connected components  $\Gamma(K)$  and  $\Gamma(C)$ . Note that  $\Gamma(K)$  is a graph with vertex  $\{73\}$  and  $\Gamma(C)$  with vertices  $\{2, 3, 5\}$ . By Lemma 3.3(b),  $|K| \mid (|C| - 1)$ . Since  $|K| = 73$  and  $|C| = 2^7 \cdot 3^6 \cdot 5^2$ , then  $73 \nmid (2^7 \cdot 3^6 \cdot 5^2 - 1)$ , a contradiction. If  $G$  is a 2-Frobenius group, then, there is a normal series  $1 \triangleleft H \triangleleft K \triangleleft G$  such that  $K$  and  $G/H$  are Frobenius groups with kernels  $H$  and  $K/H$ . By Lemma 3.2(a),  $T(G) = \{\pi_1(G) = \pi(H) \cup \pi(G/K), \pi_2(G) = \pi(K/H)\}$ . Therefore,  $|K/H| = 73$ . Also, by Lemma 3.2 (b),  $G/K \leq \text{Aut}(K/H) \cong Z_{72}$ . Hence  $|G/K| \mid 2^3 \cdot 3^2$ , which implies that  $\{5, 73\} \subseteq \pi(K)$ , and so  $5 \in \pi(H)$ . Let  $H_5 \in \text{Syl}_5(H)$  and  $G_{73} \in \text{Syl}_{73}(G)$ . Then  $H_5 \text{char} H \trianglelefteq G$ . By nilpotency of  $H$ , we have  $H_5 \triangleleft G$  and  $H_5$  acts on  $G_{73}$  fixed point freely, since  $5 \approx 73$  in  $\Gamma(G)$ . We must have  $|G_{73}| \mid (|H_5| - 1)$ , i.e.,  $73 \mid (5^i - 1)$ ,  $i = 1$  or  $2$ , a contradiction.

Now by Lemma 3.5 (c), there exists a non-abelian simple group  $P$  such that  $P \leq \bar{G} = G/N \leq \text{Aut}(P)$ , for some nilpotent normal  $\{2, 3, 5\}$ -subgroup  $N$  of  $G$  and  $\bar{G}/P$  is a  $\{2, 3, 5\}$ -group.

$73 \in \pi(P)$ . Since  $\bar{G}/P$  is a  $\{2, 3, 5\}$ -group and  $73 \mid |G|$ , therefore,  $73 \mid |P|$ , i.e.,  $P \in \mathfrak{S}_{73}$ , which implies that  $\pi(P) \subseteq \{2, 3, 5, 73\}$ . Using [8], we deduce that  $P \cong U_3(9)$ . We have  $U_3(9) \leq G/N \leq \text{Aut}(U_3(9))$ . It follows that  $|N| = 1$  or  $2$  or  $4$ .

If  $|N| = 1$ , then  $G \cong U_3(9) : 4$ .

If  $|N| = 2$ , then  $G/C_G(N) \leq \text{Aut}(N) = 1$ , so  $G = C_G(N)$  and  $N \leq Z(G)$ . Let  $G_{73} \in \text{Syl}_{73}(G)$ . Then  $N.G_{73}$  is a subgroup of  $G$ , therefore,  $N.G_{73}$  has an element of order  $2 \cdot 73$ , which implies that  $2 \sim 73$  in  $\Gamma(G)$ , a contradiction.

If  $|N| = 4$ , then  $G/C_G(N) \leq \text{Aut}(N) \cong Z_2$ . Thus,  $|G/C_G(N)| = 1$  or  $2$ . If  $|G/C_G(N)| = 1$ , then,  $N \leq Z(G)$ . Let  $G_{73} \in \text{Syl}_{73}(G)$ . Then  $N.G_{73}$  is a subgroup of  $G$ , therefore,  $N.G_{73}$  has an element of order  $2 \cdot 73$ , which implies that  $2 \sim 73$  in  $\Gamma(G)$ , a contradiction. If  $|G/C_G(N)| = 2$ , then  $N < C_G(N)$  and  $1 \neq C_G(N)/N \trianglelefteq G/N \cong L$ . Therefore, from simplicity  $L$  we deduce that  $G = C_G(N)$ , a contradiction. ■

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