JAAST ST

# **Rotor Sizing of Helicopters Using Statistical Approach**

# F. Shahmiri<sup>1\*</sup>

1. Department of Aerospace Engineering, MalekAshter University of Technology \*Postal Code: 158751774, Esfahan, IRAN

#### Farid\_shahmiri@yahoo.co.uk

This paper is concerned with the statistical model development issues, necessary for rapid estimation of the rotor sizing for single main rotor helicopters at the preliminary design stage. However, Central Composite Design (CCD) method, simulation-based data collection, linear regression analysis, mathematical modelsdevelopmentand validations through the analysis of variance (ANOVA) were performed as central themes in this approach. The CCD enforced the use of replicated central points and some star points, added to the basic factorial design space, required for constructing the test plan matrix. This matrixwas used to developed mathematical models in the form of quadratic polynomials (second-order), that represented the physical size of rotor as functions of the helicopter gross weight, maximum forward flight speed, main and tail rotor blade number and their interactions. The validations were examined by ANOVA and comparing against data for a general single rotor configuration. Using this approach, improvements in physical sizing of both main and tail rotor of the single rotor were obtained using minimum number of data, provided by CCD test plan. The obtained results of this work support the ongoing researches for the development of rapid prototyping, especially, main and tail rotor sizing of helicopters.

Keywords: Helicopter, Rotor, Statistical Design, Central Composite Design

## **INTRODUCTION**

In general, physical sizing of the air vehicles is considered as a master part of the design cycle entitled as the preliminary design process. In an overall view, the preliminary design process for all vehicles, both fixed-wing aircraft and helicopters are almost identical, while in helicopters due to dynamical systems such as rotors, drive systems and the irregularly aerodynamic shaped of the fuselage, this process is about more complicated than fixed- wing, and thus it takes longer to modify or to perform a new alternate for design refinements.

Returning to helicopter design literature shows that the design process has been speeded up through the advanced flight dynamics simulation programs such as 2GCHS, CAMRAD, TECH-01 and UMARC [1-8], but to date, this process has been supported definitely by statistical information, collected for helicopters with the similar missions [9]. However, the comprehensive database should be in access for adequately supplying the statistical data for our design problem. Even presuming the presence of such a database, any decision about the purpose of design improvement is rarely possible, so the simple and reliable design trends should be formulated for making decisions quickly and easily about the sizing problem.

The design trend studies based on the population of helicopters have been also shown that the accuracies of the thesetrends are not yet equally distributed across the database, as a resultinformation providedfor new design problems is rarely appropriate [10]. Thisis probably due to a lack of an appropriate data exploration tool for choosingthe desired data from the original database (population). Consequently, these trends consist of only simple fitted curves showing the influence of major parameters with a different level of accuracy across the database. Furthermore, on most trends, because the effects of the secondary parameters and their interactions have been neglected, the generated trends cannot be accounted optimal trendsat the preliminary design stage.

The more recent investigations have been shown that CCD is an efficient methodthan traditional methods such as factorial, fractional factorial and

<sup>1.</sup> Assistant Professor (Corresponding Author)

Placket-Burmanfor data exploration from an original database[11-14]. This is due to the addition of accessory points such as central and star (axial) points inserted into the factorial designthat result in a rotatable space which is independent of any coordinate direction.

In this study, to address and remove the mentioned drawbacks, an optimal space was generated using CCD, then the main, secondary parameters and their interactions weredescribedthrough the generated quadratic polynomials (predicted responses) in which their coefficients were estimated by the linear regression analysis. This subsequently followed by representation of the results and discussions on their validations and improvements.

#### MATHEMATICAL MODELING

The main assumption for presenting the mathematical models as represented by Equation 1 is quadratic polynomials (responses) that should be chosen before starting data collection from the available database.

$$y = \beta_0 + \sum \beta_i x_i + \sum \beta_{ii} x_i^2 + \sum \sum \beta_{ij} x_i x_j + \varepsilon_i$$
 (1)

In Equation 1, the  $x_i$  terms are the parameters that influence the actual response y,  $\beta_i$  and  $\beta_{ij}$  are regression coefficients. The cross terms  $x_i x_j$  and square terms  $X_i^2$  represent two-parameter interaction and second-order nonlinearity, respectively.

Constructing a second-order model requires that kparameters have to be studied at least three levels, so that the regression coefficients in Equation 1 can be necessarily estimated by 3<sup>k</sup> factorial experiments. For small values of k such as two or three, this approach works well, however, when many parameters are under study, the number of observations required for a factorial experiment may become excessive Fortunately, a second-order approximation model can be constructed efficiently by using CCD from design of experiment literature. CCDis the first-order 2<sup>k</sup> supported by additional center and star points to allow estimation of the coefficients of the second-order model [11].

CCD offers an efficient alternative to  $3^k$  designs for building second-order polynomials as shown by Equation 1. For example, a problem involving fiveparameters requires only 42 CCD experiments to construct a second-order polynomial as opposed to 243 ( $3^5$ ) required by a factorial experiment.In a matrix form, Equation 1 can be rewritten as;

$$\mathbf{y} = \mathbf{X}\boldsymbol{\beta} + \boldsymbol{\varepsilon} \tag{2}$$

where **y** is  $an(n \times 1)$  vector of actual responses, **X** is an  $(n \times p)$  matrix, **\beta** is a  $(p \times 1)$  vector of regression coefficients (unknowns) and **\epsilon** is an  $(n \times 1)$ vector of random errors. In the case of k parameters

Accordingly;  

$$\mathbf{y} = \begin{bmatrix} y_1 & y_2 & \cdots & y_n \end{bmatrix}^T$$
(3)

the value of P is corresponded to (1 + 2k + k(k - 1)/2)

$$\mathbf{X} = \begin{bmatrix} 1 & x_{11} & x_{12} & x_{11}x_{12} & x_{11}^2 & x_{22}^2 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 1 & x_{n1} & x_{n2} & x_{n1}x_{12} & x_{n1}^2 & x_{n2}^2 \end{bmatrix}$$
(4)

$$\boldsymbol{\beta} = \begin{bmatrix} \beta_0 & \beta_1 & \beta_2 & \beta_{12} & \beta_{11} & \beta_{22} \end{bmatrix}^{\mathsf{T}}$$
(5)

$$\boldsymbol{\varepsilon} = \begin{bmatrix} \varepsilon_1 & \varepsilon_2 & \cdots & \varepsilon_n \end{bmatrix}^{\mathsf{T}}$$
(6)

The regression coefficients are estimated using the least square method when the norm of the random errorvector (residual), assuming normal distribution, constant variance and zero mean, is minimized as;

$$\frac{\partial \mathbf{L}}{\partial \boldsymbol{\beta}} = \mathbf{0} \implies \boldsymbol{\beta} = \left( \mathbf{X}^{\mathsf{T}} \mathbf{X} \right)^{-1} \mathbf{X}^{\mathsf{T}} \mathbf{y}$$
(7)

where;

$$L = \sum \varepsilon_{i}^{2} = \boldsymbol{\varepsilon}^{\mathsf{T}} \boldsymbol{\varepsilon} = (\mathbf{y} - \mathbf{X}\boldsymbol{\beta})^{\mathsf{T}} (\mathbf{y} - \mathbf{X}\boldsymbol{\beta})$$
(8)

where  $\mathbf{X}^{\mathsf{T}} \mathbf{X}$  is a  $(p \times p)$  symmetric matrix,  $\mathbf{X}^{\mathsf{T}} \mathbf{y}$  is a  $(p \times 1)$  column matrix and  $\hat{\boldsymbol{\beta}}$  is the vector of regression coefficients. Thusthe quadratic polynomials or predicted responsescan be expressed as;

$$\hat{\mathbf{y}} = \mathbf{X}\hat{\boldsymbol{\beta}} \tag{9}$$

#### **TEST PROBLEM**

In this problem, 4 parameters such as  $w_0$ ,  $v_m$ , N and  $N_{tr}$  representing gross weight, maximum forward flight speed, main rotor and tail rotor blade number for examination of 10 responses of single rotor helicopters were selected (Table1). The interval changes of each parameter was considered base on the experience, (i.e.,  $10^3 \le w_0 \le 10^4 \text{ kg}$ ,  $200 \le v_m \le 350 \text{ km / hr}$ ,

 $2 \le N \le 6$  and  $2 \le N_{tr} \le 4$ ). On the other hand, All10 responses were chosen such that the physical size of main and tail rotor could be adequately determined. Accordingly, the finalized test plan sheet based on CCD was designed as;

|    | Dama                      |        |     | Actual Response |            |            |            |            |            |            |                  |            |                   |
|----|---------------------------|--------|-----|-----------------|------------|------------|------------|------------|------------|------------|------------------|------------|-------------------|
|    | Para                      | imeter |     | Main rotor      |            |            |            | Tail rotor |            |            |                  |            |                   |
| X1 | <b>X</b> 2                | X3     | X4  | <b>y</b> 1      | <b>y</b> 2 | <b>y</b> 3 | <b>y</b> 4 | <b>y</b> 5 | <b>y</b> 6 | <b>y</b> 7 | <b>y</b> 8       | <b>y</b> 9 | <b>y</b> 10       |
| W0 | $\mathbf{v}_{\mathbf{m}}$ | N      | Ntr | D               | с          | Vtip       | Ω          | Dtr        | Ctr        | Vtiptr     | $\Omega_{ m tr}$ | Pava       | T/A               |
| kg | km/h                      |        |     | m               | m          | m/s        | rpm        | m          | m          | m/s        | rpm              | hp         | kg/m <sup>2</sup> |
| -1 | +1                        | +1     | -1  | 10.4            | 0.27       | 209        | 385        | 1.7        | 0.18       | 200        | 2207             | 1303       | 29.4              |
| +1 | -1                        | +1     | -1  | 16.5            | 0.43       | 226        | 262        | 2.9        | 0.28       | 218        | 1444             | 2380       | 39.5              |
| +1 | +1                        | -1     | +1  | 14.4            | 0.62       | 221        | 292        | 2.5        | 0.22       | 213        | 1614             | 3070       | 39.5              |
| +2 | 0                         | 0      | 0   | 16.9            | 0.57       | 227        | 256        | 3          | 0.28       | 220        | 1394             | 3505       | 43.1              |
| -1 | -1                        | -1     | -1  | 11.9            | 0.39       | 214        | 344        | 2          | 0.18       | 205        | 1975             | 1010       | 29.4              |
| +1 | -1                        | -1     | +1  | 16.5            | 0.62       | 226        | 262        | 2.9        | 0.22       | 218        | 1444             | 2380       | 39.5              |
| +1 | -1                        | -1     | -1  | 16.5            | 0.62       | 226        | 262        | 2.9        | 0.28       | 218        | 1444             | 2380       | 39.5              |
| +1 | +1                        | +1     | +1  | 14.4            | 0.43       | 221        | 292        | 2.5        | 0.22       | 213        | 1614             | 3070       | 39.5              |
| +1 | -1                        | +1     | +1  | 16.5            | 0.43       | 226        | 262        | 2.9        | 0.22       | 218        | 1444             | 2380       | 39.5              |
| -1 | -1                        | +1     | -1  | 11.9            | 0.27       | 214        | 344        | 2          | 0.18       | 205        | 1975             | 1010       | 29.4              |
| -1 | +1                        | -1     | -1  | 10.4            | 0.39       | 209        | 385        | 1.7        | 0.18       | 200        | 2207             | 1303       | 29.4              |
| 0  | 0                         | 0      | +2  | 13.5            | 0.42       | 218        | 309        | 2.3        | 0.17       | 210        | 1736             | 1944       | 35.2              |
| 0  | +2                        | 0      | 0   | 12              | 0.42       | 214        | 341        | 2.1        | 0.21       | 206        | 1915             | 2434       | 35.2              |
| +1 | +1                        | -1     | -1  | 14.4            | 0.62       | 221        | 292        | 2.5        | 0.28       | 213        | 1614             | 3070       | 39.5              |
| -1 | +1                        | +1     | +1  | 10.4            | 0.27       | 209        | 385        | 1.7        | 0.14       | 200        | 2207             | 1303       | 29.4              |
| +1 | +1                        | +1     | -1  | 14.4            | 0.43       | 221        | 292        | 2.5        | 0.28       | 213        | 1614             | 3070       | 39.5              |
| 0  | 0                         | -2     | 0   | 13.5            | 0.68       | 218        | 309        | 2.3        | 0.21       | 210        | 1736             | 1944       | 35.2              |
| 0  | 0                         | 0      | 0   | 13.5            | 0.42       | 218        | 309        | 2.3        | 0.21       | 210        | 1736             | 1944       | 35.2              |
| -1 | +1                        | -1     | +1  | 10.4            | 0.39       | 209        | 385        | 1.7        | 0.14       | 200        | 2207             | 1303       | 29.4              |
| 0  | 0                         | 0      | -2  | 13.5            | 0.42       | 218        | 309        | 2.3        | 0.27       | 210        | 1736             | 1944       | 35.2              |
| 0  | 0                         | 0      | 0   | 13.5            | 0.42       | 218        | 309        | 2.3        | 0.21       | 210        | 1736             | 1944       | 35.2              |
| 0  | -2                        | 0      | 0   | 15.7            | 0.42       | 224        | 272        | 2.7        | 0.21       | 216        | 1528             | 1450       | 35.2              |
| -1 | -1                        | -1     | +1  | 11.9            | 0.39       | 214        | 344        | 2          | 0.14       | 205        | 1975             | 1010       | 29.4              |
| -1 | -1                        | +1     | +1  | 11.9            | 0.27       | 214        | 344        | 2          | 0.14       | 205        | 1975             | 1010       | 29.4              |
| -2 | 0                         | 0      | 0   | 7.1             | 0.17       | 196        | 529        | 1.1        | 0.09       | 186        | 3152             | 362        | 19.5              |
| 0  | 0                         | +2     | 0   | 13.5            | 0.31       | 218        | 309        | 2.3        | 0.21       | 210        | 1736             | 1944       | 35.2              |

Table 1.Data measurement for CCD test plan matrix.

In this Table, the first four responses were related to the main rotor and four second responses were used to measure the tail rotor physical size. Moreover, because of the importance of power available  $(P_{ava})$  and disc loading (T/A) in design process of helicopters, these responses were also investigated. The actual responses in Table 1 were collected through the open source database, but in cases where the data were unavailable the simulated responses were used instead. As seen in Table 1,all theparameters were coded as;

$$X_{i} = \frac{X_{i} - \frac{1}{2}(X_{h} + X_{L})}{\frac{1}{2}(X_{h} - X_{L})}, \qquad X_{h} \le X \le X_{L}$$
(10)

where the "h" and "L"subscripts involve the highest and the lowest values of aparameter, respectively. As seen in Table 1, factorial points can be altered from the lowest (-1) to the highest level (+1), the value of the star points is set to  $(\pm 2)$  and the center points illustrated by zero value in the table. Thus the star points desribe the rotatable property of the test plan sheet (constant standard error variance in any coordinate directions).

The regression coefficients of the quadratic polynomials were ultimately generated as;

|                               | Predicted Response |            |            |            |            |            |            |            |            |             |  |  |
|-------------------------------|--------------------|------------|------------|------------|------------|------------|------------|------------|------------|-------------|--|--|
|                               | <b>y</b> 1         | <b>y</b> 2 | <b>y</b> 3 | <b>y</b> 4 | <b>y</b> 5 | <b>y</b> 6 | <b>y</b> 7 | <b>y</b> 8 | <b>y</b> 9 | <b>y</b> 10 |  |  |
| Intercept                     | 13.48339           | 0.42       | 218.44     | 309.31     | 2.31       | 0.21       | 210.22     | 1736.09    | 1943.75    | 35.15       |  |  |
| X1                            | 2.271876           | 0.099      | 6.72       | -51.83     | 0.44       | 0.045      | 7.39       | -333.69    | 784.7      | 5.35        |  |  |
| X2                            | -0.90742           | 0          | -2.52      | 17.65      | -0.16      | 0          | -2.44      | 99.33      | 245.76     | 0           |  |  |
| X3                            | 0                  | -0.082     | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0           |  |  |
| X4                            | 0                  | 0          | 0          | 0          | 0          | -0.025     | 0          | 0          | 0          | 0           |  |  |
| X1X2                          | -0.14576           | 0          | -0.07      | -2.43      | -0.029     | 0          | -0.078     | -15.59     | 99.24      | 0           |  |  |
| X1X3                          | 0                  | -0.018     | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0           |  |  |
| X1X4                          | 0                  | 0          | 0          | 0          | 0          | -5.33E-03  | 0          | 0          | 0          | 0           |  |  |
| X2X3                          | 0                  | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0           |  |  |
| X <sub>2</sub> X <sub>4</sub> | 0                  | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0           |  |  |
| X <sub>3</sub> X <sub>4</sub> | 0                  | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0           |  |  |
| X1 <sup>2</sup>               | -0.35956           | -0.012     | -1.69      | 19.38      | -0.059     | -5.62E-03  | -1.74      | 124.8      | -2.56      | -0.92       |  |  |
| X2 <sup>2</sup>               | 0.107214           | -2.41E-04  | 0.28       | -2.12      | 0.018      | 1.44E-04   | 0.27       | -13.13     | -0.34      | 0.041       |  |  |
| X3 <sup>2</sup>               | 0.014189           | 0.02       | 0.094      | -1.47      | 2.23E-03   | 1.44E-04   | 0.095      | -9.47      | 0.051      | 0.041       |  |  |
| X4 <sup>2</sup>               | 0.014189           | -2.41E-04  | 0.094      | -1.47      | 2.23E-03   | 4.04E-03   | 0.095      | -9.47      | 0.051      | 0.041       |  |  |

Table 2. Coefficients of the quadratic polynomials.

The sensitivity of the all predicted responses to each parameter was investigated bydrawing the perturbation plots about the selected arbitrary reference pointshown typically in Fig. 1 through (4).A steep slope and the slight curvature in a parameter showed that the predicted response is sensitive to that parameter. As seen in Figures, the size of rotors significantly depends on gross weight and maximum flight speed, denoted by A and B, respectively. As a result, the predicted responses were transferred into the reduced and simple forms as;



**Deviation from Reference Point (Coded Units)** 





Deviation from Reference Point (Coded Units)





**Deviation from Reference Point (Coded Units)** 

Figure 3. Sensitivity of main rotor tip speed to 4 parameters





Figure 4. Sensitivity of power available to 4 parameters.

$$\mathbf{D} = +13.64 + 2.27\mathbf{x}_1 - 0.91\mathbf{x}_2 -0.15\mathbf{x}_1\mathbf{x}_2 - 0.39\mathbf{x}_1^2$$
(11)

$$\mathbf{c} = +0.42 + 0.099 \mathbf{x}_1 - 0.082 \mathbf{x}_3 - 0.018 \mathbf{x}_1 \mathbf{x}_3 \\ -0.012 \mathbf{x}_1^2 + 0.020 \mathbf{x}_3^2$$
(12)

$$\mathbf{v}_{tip} = +218.97 + 6.72\mathbf{x}_1 - 2.52\mathbf{x}_2 - 1.80\mathbf{x}_1^2$$
 (13)

$$\Omega = +303.53 - 51.83\mathbf{x}_1 + 17.65\mathbf{x}_2 + 20.58\mathbf{x}_1^2 \quad (14)$$

$$\mathbf{D}_{tr} = +2.32 + 0.44 \mathbf{x}_1 - 0.16 \mathbf{x}_2 - 0.029 \mathbf{x}_1 \mathbf{x}_2 - 0.060 \mathbf{x}_1^2 + 0.017 \mathbf{x}_2^2$$
(15)

$$\mathbf{c}_{tr} = +0.21 + 0.045 \mathbf{x}_{1} - 0.025 \mathbf{x}_{4} - 5.33 \times 10^{-3} \mathbf{x}_{1} \mathbf{x}_{4} - 5.714 \times 10^{-3} \mathbf{x}_{1}^{2} + 3.95 \times 10^{-3} \mathbf{x}_{4}^{2}$$
(16)

$$\mathbf{v}_{\text{tiptr}} = +210.75 + 7.39 \mathbf{x}_1 - 2.44 \mathbf{x}_2 - 1.85 \mathbf{x}_1^2$$
 (17)

$$\Omega_{\rm tr} = +1699.43 - 333.69 \mathbf{x}_1 + 99.33 \mathbf{x}_2 + 132.43 \mathbf{x}_1^2 \quad (18)$$

$$\mathbf{P}_{ava} = +1943.48 + 784.70\mathbf{x}_{1} + 245.76\mathbf{x}_{2} + 99.24\mathbf{x}_{1}\mathbf{x}_{2} - 2.51\mathbf{x}_{1}^{2}$$
(19)

$$\mathbf{T} / \mathbf{A} = +35.29 + 5.35 \mathbf{x}_1 - 0.95 \mathbf{x}_1^2$$
 (20)

The predicted responses in terms of actual parameters were therefore reduced to;

$$\mathbf{D} = 9.9 + 2.36 \times 10^{-3} \mathbf{w}_{0} - 0.016 \mathbf{v}_{m} - 1.85 \times 10^{-6} \mathbf{w}_{0} \mathbf{v}_{m} - 7.74 \times 10^{-8} \mathbf{w}_{0}^{2}$$
(21)

$$\mathbf{c} = 0.58 + 10^{-4} \mathbf{w}_0 - 0.2 \mathbf{N} - 7.81 \times 10^{-6} \mathbf{w}_0 \mathbf{N} - 2.28 \times 10^{-9} \mathbf{w}_0^2 + 0.02 \mathbf{N}^2$$
(22)

Journal of Aerospace Science and Technology / 21 Vol. 10 / No. 1/ Winter - Spring 2013

$$\mathbf{v}_{tip} = 211 + 6.89 \times 10^{-3} \mathbf{w}_0 - 0.07 \mathbf{v}_m - 3.55 \times 10^{-7} \mathbf{w}_0^2$$
 (23)

$$\Omega = 417 - 0.07 \mathbf{w}_0 + 0.5 \mathbf{v}_m + 4.06 \times 10^{-6} \mathbf{w}_0^2$$
 (24)

$$D_{tr} = 2.54 + 4.24 \times 10^{-4} w_0 - 9.85 \times 10^{-3} v_m$$
  
- 3.62×10<sup>-7</sup> w\_0 v\_m - 1.18×10<sup>-7</sup> w\_0<sup>2</sup>  
+ 1.37×10<sup>-5</sup> v\_m<sup>2</sup> (25)

$$c_{tr} = 0.27 + 4.68 \times 10^{-5} w_0 - 0.12 N_{tr} - 4.74 \times 10^{-6} w_0 N_{tr} - 1.13 \times 10^{-9} w_0^2 + 0.016 N_{tr}^2$$
(26)

$$\mathbf{v}_{tiptr} = 200 + 7.31 \times 10^{-3} \mathbf{w}_0 - 0.07 \mathbf{v}_m - 3.66 \times 10^{-7} \mathbf{w}_0^2$$
 (27)

$$\Omega_{\rm tr} = 2540 - 0.43 \,\mathrm{w}_0 + 2.84 \,\mathrm{v}_{\rm m} + 2.61 \times 10^{-5} \,\mathrm{w}_0^{2}$$
 (28)

$$\mathbf{P}_{ava} = -14 + 0.01 \mathbf{w}_{0} + 0.09 \mathbf{v}_{m} + 1.26 \times 10^{-3} \mathbf{w}_{0} \mathbf{v}_{m} - 4.95 \times 10^{-7} \mathbf{w}_{0}^{2}$$
(29)

$$\mathbf{T} / \mathbf{A} = 16.5 + 4.45 \times 10^{-3} \mathbf{w}_0 - 1.88 \times 10^{-7} \mathbf{w}_0^2$$
 (30)

#### **MODEL VALIDATION STUDY**

The accuracy of the predicted responseswas the question of interest that answered through the calculation f model sum of square (SSR), residual sum of square (SSE), F-value, and R-squared estimation in this section. From the statistical point of view, it can be shown that;

$$SSR = \sum (\hat{y}_{i} - \overline{y})^{2} = \hat{\beta}^{T} \mathbf{X}^{T} \mathbf{y} - n(\overline{y})^{2}$$
  

$$SSE = \sum (y_{i} - \hat{y}_{i})^{2} = \mathbf{y}^{T} \mathbf{y} - \hat{\beta}^{T} \mathbf{X}^{T} \mathbf{y}$$
(31)

$$SSR = \sum (\mathbf{y}_i - \mathbf{y}) = \mathbf{\beta}^{\mathsf{T}} \mathbf{X}^{\mathsf{T}} \mathbf{y} - \mathbf{n}(\mathbf{y})$$
  

$$SSE = \sum (\mathbf{y}_i - \hat{\mathbf{y}}_i)^2 = \mathbf{y}^{\mathsf{T}} \mathbf{y} - \mathbf{\hat{\beta}}^{\mathsf{T}} \mathbf{X}^{\mathsf{T}} \mathbf{y}$$
(32)

 $(-)^2$ 

and thus, the F-value given by F distribution can be written as;

$$F = \frac{SSR / k}{SSE / (n - k - 1)} = \frac{MSR}{MSE}$$
(33)

where k is the polynomial and (n-k-1) is the residual degrees of freedom, respectively. Moreover, the R-squared of each response that shows how well the actual responses were correlated to the predictions were ultimately stimatedas;

$$R^{2} = 1 - \frac{SSE}{(SSR + SSE)}$$
(34)

As seen in Table 2, the R-squared values reaches to unity, so the difference between the actual data and the predictions are properly small.

| Table 2. Comparison | n of the predicted responses in CO | CD |
|---------------------|------------------------------------|----|
|                     | design space                       |    |

| Source         | SSE      | DOF | MSR      | F-value | R <sup>2</sup> |
|----------------|----------|-----|----------|---------|----------------|
| Equation<br>21 | 147.9444 | 4   | 36.9861  | 1036.38 | 0.995          |
| Equation<br>22 | 0.42     | 5   | 0.084    | 1067.86 | 0.9963         |
| Equation<br>23 | 1319.74  | 3   | 439.91   | 494.5   | 0.9854         |
| Equation<br>24 | 82907.55 | 3   | 27635.85 | 158.67  | 0.9558         |
| Equation<br>25 | 5.38     | 5   | 1.08     | 1432.4  | 0.9976         |
| Equation<br>26 | 0.067    | 5   | 0.013    | 2596.96 | 0.9985         |
| Equation 27    | 1540.54  | 3   | 513.51   | 563.09  | 0.9871         |
| Equation<br>28 | 3.36E+06 | 3   | 1.12E+06 | 155.31  | 0.9549         |
| Equation<br>29 | 1.64E+07 | 4   | 4.10E+06 | 5414000 | 1              |
| Equation<br>30 | 710.09   | 2   | 355.04   | 2076.54 | 0.99449<br>2   |

On the other hand, the model F-valueof each response shows that there are little chancedue to noise that affects eachresponse. Consequently, fairly high quality polynomials were probablydevelopted in this manner. Furtherresults were achieved through the direct comparison of predicted to actual responses as shown typically in Figure (5) through (7).



Figure 5. Predicted main rotor diameter versus actual data



Figure 6. Predicted main rotor tip speed versus actual data



Figure 7. Predicted main rotor rotational speedversus actual data

Additionally, residual plots versus predicted responses Figure 8. Through 11 show that the error has been distribute drandomly. This result is in consistant with the earlier assumption for the error vector with a random nature. In other words, thenature of errors during the modeling process basically the sameas the natural properties of the actual data about the mean values. The late result together with that stated above was them aincause in which all predictions could beaccepted.

F. Shahmiri



Figure 8. Residual distribution of helicopter gross weight



Figure 9. Residual distribution of maximumflight speed



Figure 10. Residual distribution of main rotor blade number



Figure 11. Residual distribution of tail rotor blade number

### DISSCUSSION

The variations of the main rotor diameter versus  $w_0$  and  $v_m$  has been illusstrated in Figure 12. As seen in this Figure, for a given gross weight when the maximum forward flight speed is increased the main rotor diameter is subsequently decreased. Consequently, it can be found that the main rotor size isapproximately proportional to  $D \propto v_m^{-0.2}$ .

On the other hand, at a given maximum flight speed when the helicopter gross weight is increased (due to modifications), the size of rotor have to be increased ( $\mathbf{D} \propto \mathbf{w}_0^{0.4}$ ). In addition, the small amount of interaction between  $w_0$  and  $v_m$  is sensed, but it can be neglected from the main rotor diametersizing process at the preliminary design stage (Figure 13).



Figure 12. Variation of main rotor diameter versus  $w_0 \\ and v_m$ 



Figure 13. Effect of w<sub>0</sub> and v<sub>m</sub>on rotor diameter

As shown in Figure14, for a given gross weight and maximum forward flight speed the blade chord length can be estimated using  $c \propto N^{-0.75}$ . However, in a 4-bladed helicopter as the number of blades is increased(N=5), the blade chord length should be reduced about 15%. Furthermore, it can be found that if the purpose of design optimization problem is to decrease the helicopter gross weight with the same number of main rotor blades and forward flight speed, the blade chord length should be approximated by  $c = w_0^{0.467} v_m^{-0.69} N^{-0.757}$ .



Figure 14. Variation of main rotor blade number versus  $w_0$  and  $v_m$ 

Main rotor tip speed ( $v_{tip} = R\Omega$ ) is generally used

as a main factorinrotor sizing at the preliminary design stage.Lowtip speeds have the advantage of low noise and good hovering performance (high power loading).Sincehelicopters spend a wide proportion of their missions in hover or low speed forward flight, hover is considered as a start point of the design process. The results based on the present work shows that helicopter gross weight is a significant parameter that influences on the rotor tip speed. As seen in Figure 15, larger gross weight leads togreater rotor tip speed  $(v_{tip} \propto w_0^{0.148} v_m^{0.735})$ . The opposite is seen in the main rotor rotational speed given in Figure 16 that shows higher gross weight is proportional tosmall rotational speed  $(\Omega \propto w_0^{-0.22} v_m^{1.36})$ .



Figure 15. Variation of main rotor tip speed versus  $w_0$  and  $v_m$ 



Figure 16. Variation of main rotor rotational speed versus  $w_0$  and  $v_m$ 

The results of tail rotor have been shown that as the forward flight speed of a helicopter is increased (constant gross weight) the tail rotor diametershould be thereforedecreased(Figure 17). In contrast, at the constant forward speed, the largergross weight leads to the larger tail rotor diameter, so it can be realized that  $\left(D_{tr} \propto w_0^{0.438} v_m^{-0.525}\right)$ .

F. Shahmiri



Figure 17. Variation of tai rotor diameter versus gross weight  $w_0$  and  $v_m$ 

As a summary, it should be emphasised that CCD approach associated with quadratic polynomials can be accounted as an efficient tool for prediction of the helicopter sizing at the preliminary stage and thus;

$$\begin{split} \mathbf{D} &= \mathbf{W}_{0}^{0.412} / \mathbf{V}_{m}^{0.172} \\ \mathbf{C} &= \mathbf{W}_{0}^{0.467} \mathbf{V}_{m}^{-0.69} \mathbf{N}^{-0.757} \\ \mathbf{V}_{tip} &= \mathbf{W}_{0}^{0.148} \mathbf{V}_{m}^{0.735} \\ \Omega &= \mathbf{W}_{0}^{-0.218} \mathbf{V}_{m}^{1.362} \\ \mathbf{D}_{tr} &= \mathbf{W}_{0}^{0.438} \mathbf{V}_{m}^{-0.525} \\ \mathbf{C}_{tr} &= \mathbf{W}_{0}^{0.425} \mathbf{V}_{m}^{-0.78} \mathbf{N}_{tr}^{-0.72} \\ \mathbf{V}_{tiptr} &= \mathbf{W}_{0}^{0.155} \mathbf{V}_{m}^{0.718} \\ \mathbf{\Omega}_{tr} &= \mathbf{W}_{0}^{-0.225} \mathbf{V}_{m}^{1.68} \end{split}$$

Journal of Aerospace Science and Technology Vol. 10/No. 1/Winter - Spring 2013 / 25

$$\mathbf{P}_{ava} = \mathbf{w}_{0}^{0.887} \mathbf{v}_{m}^{0.02} \mathbf{N}^{0.06} \mathbf{N}_{tr}^{-0.12}$$
  
T / A =  $\mathbf{w}_{0}^{0.352} \mathbf{v}_{m}^{0.092}$ 

In this section, an optimization problem for a helicopter with 4 bladed main rotor and two bladed tail rotor was also examined. The solution was obtained for the conditions when the maximum gross weight, maximum forward flight speed and minimum rotor tip speed (noise consideration and compressibility avoidance) in the range of each parameter were of our interest. The solution method based on the steepest descent/ascent approach was used through guessing the start point [15]. Regardless of details, in Table 4and 5 the summary of the problem associated with the possible constraints and the iterative solution are presented.

Table 4. Summary of the optimization problem

|   | Name                | Condition<br>s | Goal         | Lowe<br>r<br>Limit | Upper<br>Limit |
|---|---------------------|----------------|--------------|--------------------|----------------|
| 1 | Gross<br>weight     | constraint     | Minimize     | 1000               | 10000 kg       |
| 2 | Max. flight speed   | constraint     | Maximiz<br>e | 200                | 340<br>km/hr   |
| 3 | Main rotor<br>blade | constraint     | 4            |                    |                |
| 4 | Tail rotor<br>blade | constraint     | 2            |                    |                |
| 5 | Blade tip<br>speed  | problem        | Minimize     |                    |                |

Finally, the iterative solution was converged as suggested in Table 5.

**Table 5.** Summary of the optimization problem

| Solution       |                |   |                 |       |       |                  |         |                 |                 |                    |                  |                        |
|----------------|----------------|---|-----------------|-------|-------|------------------|---------|-----------------|-----------------|--------------------|------------------|------------------------|
| w <sub>0</sub> | v <sub>m</sub> | Ν | N <sub>tr</sub> | D     | c     | v <sub>tip</sub> | Ω       | D <sub>tr</sub> | c <sub>tr</sub> | V <sub>tiptr</sub> | $\Omega_{ m tr}$ | T/A                    |
| 3606 kg        | 303 km/h       | 4 | 2               | 10.7m | 0.32m | 210m/s           | 378 rpm | 1.8m            | 0.22m           | 201m/s             | 2167 rpm         | 30.1 kg/m <sup>2</sup> |

#### CONCLUSIONS

Practical formulationsfor the statistical rotor sizing based on empirical data were developed for the ease of conceptual design stage. Empirical data were taken from both a native database with more than 180 single main rotor helicopters and a homemade design software used for cases in which sufficient data were not available. Design space were constructed based on CCD rule included central , star, and factorial design points that were necessary for the quadratic expression development. Thus, the total number of observations were limited to 26 that were found adequate and costeffectiveness than the conventiaonal approaches traditionaly applied for rotor sizings. In addition, a multiple response optimiazation problem for minimum gross weight, maximum level flight speed, and also minimum tip speed in range of considered tail rotor and main rotor blade number were solved. The obtained results in this paper showed that CCD observations and 10 quadratic expressions can be sufficiently useful for the rotor sizing estimation in design phase.

#### ACKNOWLEDGEMENTS

The author wishes to express gratefullyacknowledge JAST and the referees for valuable guidance and their comments.

#### REFERENCES

- 1. Ormiston, R. A., Rutkowski, M. J., Ruzicka, G. C., Saberi, H., and Jung, Y. "Comprehensive Aeromechanics Analysis of Complex Rotorcraft Using (2GCHAS)." *Proceedings of the American Helicopter Society Aeromechanics Specialists Conference.* San Fransisco, (1994).
- 2. Yen, J.G., Corrigan, J.J., Schillings, J. J. and Hsieh, P.Y., "Comprehensive Analysis Methodology of Bell Helicopter (COPTER)." *Proceedings of the American Helicopter Society Aeromechanics Specialists Conference.* San Fransisco, (1994).
- Johnson, W. A Comprehensive Analytical Model of Rotorcraft Aerodynamics and Dynamics: Volume I: Theory Manual, Volume II: User's Manual. Palo Alto: Johnson Aeronautics, (1988).
- 4. Johnson, W. "Technology Drivers in the Development of CAMRAD II." *Proceedings of the American Helicopter Society Aeromechanics Specialists Conference*, San Fransisco, (1994).
- Shultz, L. A., Panda, B., Tarzanin, F. J., Derham, R. C. and Danone, L. "Interdisciplinary Analysis for Advanced Rotors." *Proceedings of the American Helicopter Society Aeromechanics Specialists Conference.* San Fransisco, (1994).
- DuVal, R. "A Real-Time Blade Element Helicopter Simulation for Handling Qualities Analysis." Amsterdam, *Proceedings of the 15<sup>th</sup> Annual European Rotorcraft Forum*, (1989).
- 7. Bir, G. S., Chopra, I., Ganguli, R., Smith, E. C., Vellaichamy, S., Wang, J., Kim, K. C., Chan, W. Y., Nixon, M. W., Kimata, N. W., Smith, J. A., Torok, M., and Nguyen, K. Q. University of

*Maryland Advanced Ro-torcraft Code (UMARC).* Maryland: Center for Rotorcraft Education and Research, (1994).

- 8. Bir, G. and Chopra, I. "Status of University of Maryland Advanced Rotorcraft Code (UMARC)." *Proceedings of the American Helicopter Society Aeromechanics Specialists Conference*. San Fransisco, (1994).
- 9. Prouty, R. *Helicopter Performance, Stability and Control.* PWS, (1986).
- 10. Rand, O. and Khromov, V. "Helicopter Sizing by Statistics." *Journal of American Helicopter Society*3 (2004), pp. 300-318.
- 11. Myers, R. H., and Montgomery, D. C., *Process and Product Optimization Using Designed Experiments*. New York: Wiley, (1995).
- Sobieszczanski, J. and Haftka, R.T. "Multi disciplinary Aerospace Design Optimization." *Structural Optimization*, Vol. 14, (1997), pp. 1-23.
- 13. Barthelemy, J. F. M., and Haftka, R. T. "Approximation Concepts for Optimum Structural Design." *Structural Optimization*, Vol. 2, No. 5 (1993), pp. 129-144.
- 14. Simpson, T. W., Peplinski, J., Koch, P. N. and Allen, J. K., "Metamodels for Computer-Based Engineering Design." *Survey and Recommendations, Engineering with Computers,* (2001), pp.129-150.
- 15. Shahmiri, F., and Bahban Salehi, M., "Process Improvement of Experimental Measurements Using D-Optimal Models," *Journal of Aerospace Science and Technology (JAST)*, Vol. 7, No. 2 (2011), pp. 77-86.