



# Effect of Social Factors on Surgical Outcomes in Cervical Disc Disease

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## Abstract

**Background:** Daily clinical practice shows us how diametrically different surgical outcomes can occur in particular groups of patients sharing the same diagnosis and being subjected to the same treatment. Patient-reported outcomes appear to be significantly influenced by social factors and patients' emotional status. Data on such variables were collated and analyzed statistically with the aim of confirming our clinical observations.

**Methods:** We analyzed a group of 100 patients following cervical disc surgery. The clinical evaluation was based on a visual analog scale (VAS) for pain and the neck disability index (NDI). Non-clinical data comprised education status, employment status, body mass index (BMI), and history of depressive episodes in the period immediately preceding the surgery, which was investigated using the Beck Depression Inventory (BDI).

**Results:** Patients who had completed university or secondary school education had a significantly lower BMI and lower BDI scores and they reported less pain at 12 months postoperatively than patients with vocational or elementary school education only. Patients who were employed at the time of the study or were retired demonstrated significantly lower NDI scores both before the surgery and at 12 months postoperatively, as well as lower BDI scores compared to those who were unemployed or drew disability pensions. Factors such as age or BMI score did not exert a direct effect on treatment outcomes assessed as changes in the VAS and NDI scores.

**Conclusions:** Surgical treatment for the cervical disc disease decreases pain and improves patients' quality of life. Treatment outcomes are also influenced by social factors and patients' emotional status.

**Keywords:** Treatment Outcome, Prognostic Factors, Social Factors

## 1. Background

The stimulus to carry out the present study came from daily observations of diametrically different responses of particular groups of patients to surgical treatment despite the patients sharing a diagnosis and having been subjected to the same treatment, including postoperative care. Patient-reported outcomes appear to be significantly influenced by social factors and patients' emotional status. In this paper, data on such variables were collated and analyzed statistically with the aim of confirming our daily clinical observations. Anterior cervical discectomy and fusion (ACDF) is the established gold standard for the degenerative cervical spine disease. Interbody fusion is preferably performed with various types of cages, mostly made of titanium or polyetheretherketone (PEEK). PEEK cages have a modulus of elasticity closely resembling that of cortical bone, which might lead to advantages in load sharing and stress distribution (1).

## 2. Methods

We analyzed a group of 100 patients following cervical discectomy from an anterior approach with interbody PEEK cages stabilization. All patients were operated on by the same surgeon with the same technique and all received implants of the same type. The clinical evaluation was based on a visual analog scale (VAS) for pain and the neck disability index (NDI). Patients completed questionnaires before surgery and at 1, 6, and 12 months after the surgery. The NDI is a standard instrument for measuring self-rated disability due to neck pain. It is a condition-specific functional status questionnaire with 10 items including pain, personal care, lifting, reading, headaches, concentration, work, driving, sleeping, and recreation. Non-clinical data comprised education status, employment status, body mass index (BMI), and a history of depressive episodes in the period immediately preceding the surgery, which was investigated using the Beck Depression Inventory (BDI).

The BDI is one of the most widely used screening instruments for measuring the severity of depression. The inventory is composed of items related to depressive symptoms, cognition, and physical symptoms. The data were analyzed statistically. To all calculations, we used Statistica StatSoft V. 10 program. Descriptive statistics included frequency distribution for categorical variables and means and standard deviations for continuous variables. The Student's *t*-test (BMI) or Mann-Whitney U (BDI) test was used for the Quantitative and Chi-square test for the qualitative variables. The distribution of the group was analyzed with the Kolmogorov-Smirnov test. The significance level was set at  $P \leq 0.05$ . The correlations between the parameters were analyzed with Pearson and Kendall's tau or rho-Spearman Correlation tests.

### 3. Results

Pain intensity (VAS) changed from a mean of  $5.61 \pm 2.22$  before surgery to  $2.99 \pm 2.14$  at 12 months (change:  $2.84 \pm 2.77$ ). NDI scores changed from a mean of  $22.07 \pm 8.22$  before surgery to  $12.40 \pm 8.87$  at 12 months (change:  $11.16 \pm 9.52$ ). Changes in VAS score were correlated with changes in NDI score ( $P < 0.01$ ,  $r = 0.49$ ). Patients' mean age was  $49.61 \pm 10.76$  years, with women accounting for 76% of the group and men accounting for 24%. Education and employment status data are presented in Figures 1 and 2. The mean VAS and NDI scores at specific time points, information about body mass index, and history of depressive episodes are presented in Figures 3 - 6. Pain reported before surgery by women was significantly more intensive than that reported by men (VAS of  $5.98 \pm 2.18$  vs. VAS of  $4.53 \pm 1.98$ ,  $P < 0.01$ ). The NDI score before surgery was significantly higher in women than in men (NDI of  $23.17 \pm 8.12$  vs.  $18.92 \pm 7.77$ ,  $P = 0.02$ ). The BDI score was also significantly higher in women (BDI of  $10.5 \pm 6.03$  vs.  $7.1 \pm 4.68$ ,  $P = 0.02$ ). Table 1 shows statistically significant differences between patients with university or secondary school education and those who had completed vocational training or elementary school education only. Patients who had completed university or secondary school education had a significantly lower BMI and lower BDI scores and they reported less pain at 12 months postoperatively than patients with vocational or elementary school education only. Table 2 presents significant differences between those patients who were employed at the time of the study or were retired and those unemployed or drawing a disability pension. Patients who were employed at the time of the study or were retired demonstrated significantly lower NDI scores both before surgery and at 12 months postoperatively, as well as lower BDI scores compared to those who were unemployed or drew disability pensions. Factors such as age or BMI

score did not exert a direct effect on treatment outcomes assessed as changes in the VAS and NDI scores in our study.

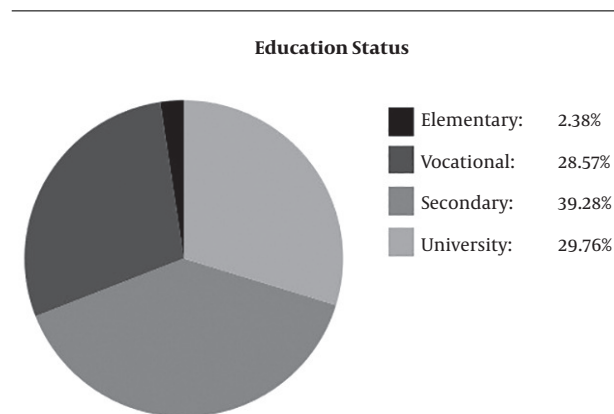


Figure 1. Education status of study group patients

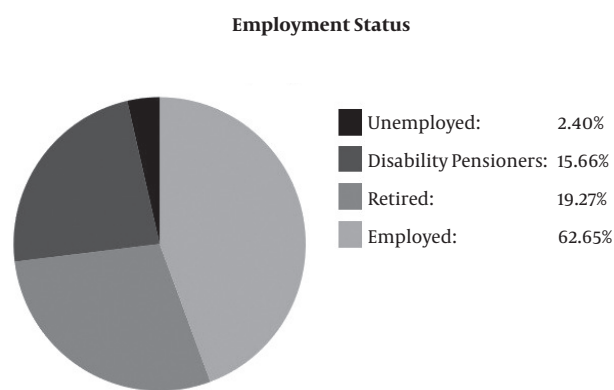


Figure 2. Employment status of study group patients

### 4. Discussion

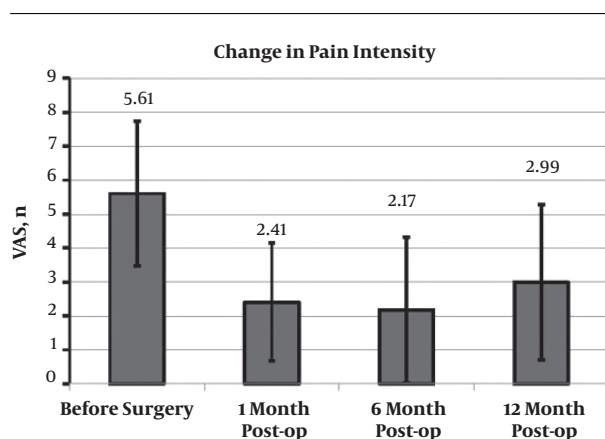
Surgical treatment of cervical spine disc disease reduces pain and improves the quality of life (1). The result of treatment is not only dependent on technical issues and postoperative care. Comorbidities, social factors, preoperative pain intensity, and the presence of worker compensation claims can all potentially affect the clinical outcomes (2-7). There are several large, multicenter studies investigating preoperative factors affecting the outcome in patients having anterior cervical discectomy and fusion. To find out which patients will most likely have a favorable response to surgery, it is important to know which preoperative factors influence the good treatment results. In

**Table 1.** Statistically Significant Differences Between Employees Who Completed University or Secondary Education and Those Who Completed Vocational Training or Elementary School

Variables	University or Secondary Education	Vocational Training or Elementary Education	P Value
VAS at 12 months	2.05 ± 1.74	3.41 ± 2.31	0.02
BMI	24.29 ± 3.63	28.05 ± 5.22	< 0.01
Beck Depression Inventory	7.47 ± 3.72	11.08 ± 6.36	0.02

**Table 2.** Statistically Significant Differences Between Patients Who Were Employed or Retired and Those Who Were Unemployed or Drew a Disability Pension

Variables	Employed or Retired	On Disability Pension or Unemployed	P Value
NDI before surgery	21.01 ± 8.27	28.06 ± 6.95	< 0.01
NDI at 12 months	11.57 ± 8.38	17.46 ± 10.46	0.02
Beck Depression Inventory	9.34 ± 5.37	13.18 ± 7.65	0.05

**Figure 3.** The mean VAS scores at specific follow-up time points. The mean change after 12 months was  $2.84 \pm 2.77$ , median: 3

our daily practice, we work with a diverse patient population and encounter a variety of responses to treatment. Most patients are operated on according to the same procedure and their postoperative care is conducted in the same manner. At the same time, treatment outcomes and patient-reported symptoms can vary widely. Not uncommonly, self-employed university graduates who want to resume their work quickly after the hiatus due to their illness recover very rapidly to a level where they are able to resume the work. During follow-up visits, such patients report improved quality of life and less pain. On the other hand, patients receiving or seeking to receive a disability pension report persistent pain and other symptoms adversely affecting their quality of life, despite objective evidence of a good treatment outcome, hoping to prolong their medical leave of absence from work and/or to obtain from their doctors various certificates confirming their health condition with a view to obtaining or extending the duration

of their state-paid disability pensions. There are also patients who press for surgery at all costs despite the absence of clear indications for operative intervention. Their motivation is that undergoing surgery will boost their chances of obtaining a disability pension. These patients have often completed only the basic education and are not in stable employment. In this paper, data related to non-clinical variables were collated and analyzed statistically with the aim of confirming our daily clinical observations. Patients who had completed university or secondary school had a significantly lower BMI and lower BDI scores and reported less pain at 12 months postoperatively than patients with vocational or elementary school education only. Patients employed at the time of the study or retired demonstrated significantly lower NDI scores both before surgery and at 12 months postoperatively, as well as lower BDI scores compared to those who were unemployed or drew disability pensions. Factors such as age or BMI score did not exert a direct effect on treatment outcomes assessed as changes in the VAS and NDI scores. A literature review on lumbar spine surgery indicated that patients with worker compensation claims have less successful clinical results (8-13). Regarding the cervical spine, different conclusions were obtained (14-18). Anderson et al. (19) found that the presence of worker compensation claims and a history of using weak narcotics were negative predictors of clinical success. A higher preoperative NDI score, indicating a greater disability, was a positive predicting factor. In stepwise regression analysis, significant positive factors for NDI success were older age, higher initial NDI, and gainful employment whereas negative factors were litigation and employee compensation. Goldberg et al. (14), however, reported that employee compensation claims did not adversely influence the functional outcome of ACDF. At the same time, they emphasized that the right choice of patients is a key factor in determining functional outcomes,

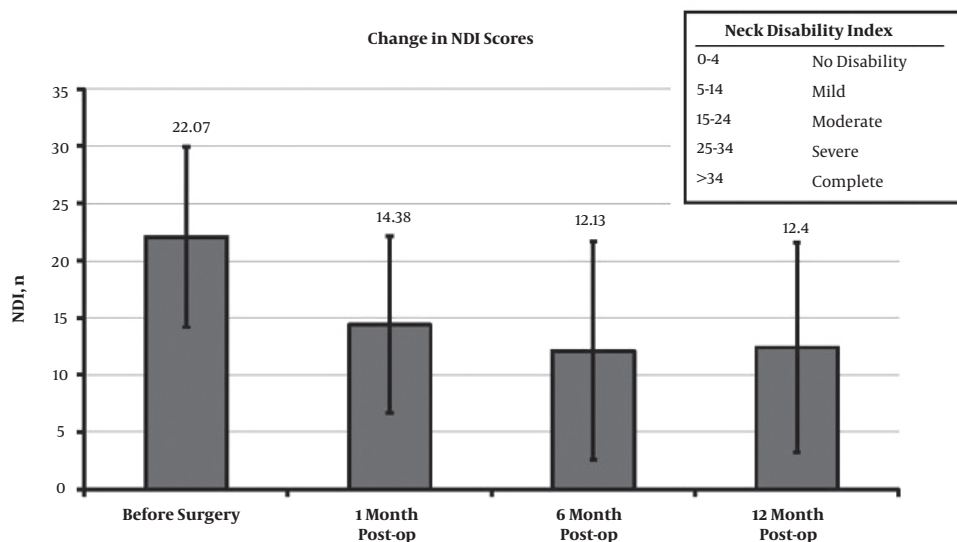


Figure 4. The mean NDI scores at specific follow-up time points. The mean NDI change after 12 months was  $11.16 \pm 9.52$ , median: 11

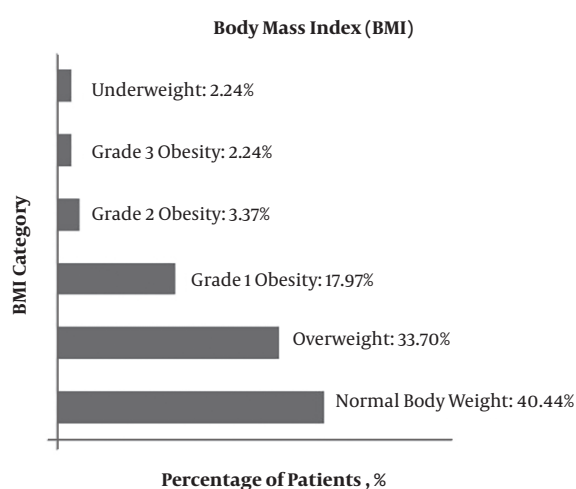


Figure 5. BMI of study group patients

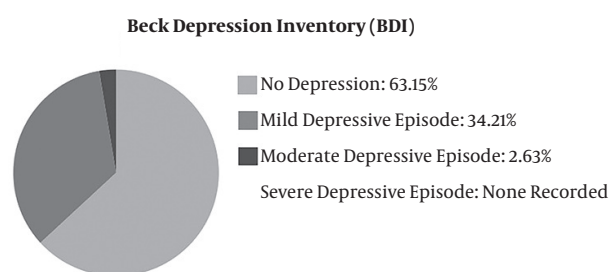


Figure 6. History of depressive episodes within one month preceding the surgery in the study group

with over 80% of good to excellent results if the pathology, radiological changes, and clinical presentation correlated. Peolsson et al. (20) performed an analysis of over 100 patients with radiculopathy treated by ACDF. Among many elements examined, they stated that older patient age was a predictor of good pain relief. However, Bhandari et al. (6) found that advanced age was a negative factor for return to work after cervical stabilization. Shiban et al. (21) reported that younger age was the most influential factor associated with a better outcome after ACDF. This result remains in line with others' results that also found younger

patients have advantageous clinical outcomes (22-24). Unlike other studies, in their study, they found no association between gender and clinical outcomes. Other studies have found being male is associated with better outcomes after ACDF (5, 25). This was related to the greater endurance of the neck muscles in men (26). Peolsson et al. (4) evaluated 80 patients before cervical spine surgery with the distress and risk assessment instrument, which evaluates depression and somatization. They found that a normal distress and risk assessment result was the most important factor for a low NDI score at follow-up.

#### 4.1. Conclusion

Cervical discectomy with interbody fusion for the cervical disc disease reduces pain and improves patients' quality of life. Treatment outcomes are also influenced

by social factors and patients' emotional status. Understanding the importance of preoperative factors in functional outcomes can help establish realistic expectations. Patient selection is a key factor in determining functional outcomes, with good results if the pathology, clinical presentation, and radiological changes correlate.

### Footnotes

**Authors' Contribution:** Bartosz Godlewski: study concept and design, analysis and interpretation of data, drafting of the manuscript. All patients were operated on by Bartosz Godlewski. Magdalena Katarzyna Stachura: acquisition of data, statistical analysis, analysis and interpretation of data. Eстера Twardowska-Staszek: acquisition of data. Ryszard Adam Czepko: acquisition of data. Ryszard Czepko: acquisition of data.

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