

(C_{max})

(B)

LB_3 LB_2 (LB_1) LB_2

New Lower Bounds for the Optimal Makespan on a Single Batch Processing Machine

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ABSTRACT

This paper considers minimizing makespan (C_{max}) on a single batch-processing machine. A batch-processing machine can process a group of jobs simultaneously, as long as the total size of jobs in the batch does not exceed the machine capacity (B). For each job, we assume a specific job size and job processing time. The processing time of a batch is just the longest processing time of all jobs in the batch. We introduce two new procedures for obtaining lower bounds of the optimal makespan, entitled LB_2 and LB_3 , respectively. We prove that both of the new bounds are tighter than the only existing bound called LB_1 . We also prove that LB_3 is at least as tight as LB_2 .

KEYWORDS : Scheduling, batch-processing machine, lower bounds, makespan

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$\sum C_i \quad C_{max}$

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BPM-CMAX

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$C_Q^A \quad C_Q^*$

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BPM-CMAX

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$$J' = \{y \mid B - s_y < \min_{i \in J} \{s_i\}, y \in J\}$$

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BPM-CMAX

$$C^* = \sum_{j \in J'} p_j + C_{J,J}^*$$

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BPM-CMAX

$O(n)$

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BPM-CMAX

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$\sum w_i C_i$
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C_{max}

LB_1

LB_1



$$C^{NLB^\varepsilon} = \sum_{j \in S(B-\varepsilon, B)} p_j + C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} \leq$$

$$\sum_{j \in S(B-\varepsilon, B)} p_j + C_{\bar{S}(\varepsilon, B-\varepsilon)}^* = C_{\bar{S}(\varepsilon, B)}^* \quad B/$$

$$C_{\bar{S}(\varepsilon, B)}^* \leq C^* \quad \bar{S}(\varepsilon, B) \subseteq J$$

$$C^{NLB^0} = C^{LB_1} \quad \varepsilon = 0 \quad C^{NLB} \leq C^*$$

$$C^{LB_1} \leq C^{NLB}$$

[B/ , B-ε]

$$C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} < \sum_{j \in S(B/2, B-\varepsilon)} p_j \quad \varepsilon$$

$$C^{NLB} \quad B-\varepsilon \quad (\varepsilon \leq B/)$$

$$C^{LB_2} = \max_{\varepsilon \in [0, B/2]} \left\{ \sum_{j \in S(B-\varepsilon, B)} p_j + \max \left\{ \sum_{j \in S(B/2, B-\varepsilon)} p_j, C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} \right\} \right\} \quad \varepsilon$$

$$(\varepsilon \leq B/)$$

$$= \max_{\varepsilon \in [0, B/2]} \left\{ \sum_{j \in S(B/2, B)} p_j + \max \left\{ 0, C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} - \sum_{j \in S(B/2, B-\varepsilon)} p_j \right\} \right\} \quad [\varepsilon, B-\varepsilon]$$

$$= \max \{ C_{S(B/2, B)}^*, \max_{\varepsilon \in [0, B/2]} \{ C^{NLB^\varepsilon} \} \} \quad ()$$

$$C_{S(B/2, B)}^* = \sum_{j \in S(B/2, B)} p_j$$

$$C^{LB_1} \leq C^{LB_2}$$

NLB

$$C^{NLB^\varepsilon} \quad \varepsilon \in [0, B/]$$

$$C^{LB_2}$$

$O(n^2 \log n)$

$$C^{LB_2}$$

$$C^{NLB^\varepsilon} = \sum_{j \in S(B-\varepsilon, B)} p_j + C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1}$$

$$C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1}$$

LB₁

$$\bar{S}(\varepsilon, B-\varepsilon)$$

$$C^{LB_3} = \max \left\{ C_{S(B/3, B)}^*, \max_{\varepsilon \in [0, B/3]} \{ C^{NLB^\varepsilon} \} \right\} \quad ()$$

C_{max}

$$C_{S(B/3, B)}^*$$

$$C^{NLB^\varepsilon}$$

C_{max}

B/

$$C^{NLB} = \max_{\varepsilon \in [0, B/2]} \{ C^{NLB^\varepsilon} \}. \quad ()$$

C_{max}

$$C^{NLB}$$

$$C^{NLB} \geq C^{LB_1}$$

B/

(B/ , B/]

ε

[ε, B- ε]

B- ε

$G_X(V, E)$
 $(B/3, B/2]$

$X = S(B/3, B/2) \cup H$

$C_X^* = \sum_{j \in S(B/2, B)} p_j + C_X^* - \sum_{j \in H} p_j$
()

$C_X^* = \max \left\{ \sum_{j \in S(B-\varepsilon, B)} p_j + \left[\sum_{j \in S(B/2, B-\varepsilon)} p_j + C_X^* - \sum_{j \in H} p_j \right], C_{S(\varepsilon, B-\varepsilon)}^{LB_1} \right\}$

$= \max_{\varepsilon \in (0, B/3]} \left\{ \sum_{j \in S(B/2, B)} p_j + C_X^* - \sum_{j \in H} p_j + \max \left[0, \left(C_{S(\varepsilon, B-\varepsilon)}^{LB_1} - \sum_{j \in S(B/2, B-\varepsilon)} p_j \right) \right] \right\}$
()

C_X^*

(C_X^*)

$G_X^v(V, E)$

$\min\{p_j, p_j\}$

MWMA

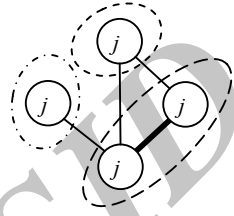
MWMA



$$\begin{aligned}
& S(B/\varepsilon, B-\varepsilon) = S(B/\varepsilon, B-\varepsilon+\sigma) & G_X^v \\
& \bar{S}(\varepsilon, B-\varepsilon) = S(\varepsilon-\sigma, B-\varepsilon+\sigma) & W(X) \\
& C_{x(\varepsilon-\sigma)}^* - \sum_{j \in h(\varepsilon-\sigma)} p_j & C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} - \sum_{j \in S(B/2, B-\varepsilon)} p_j \\
& & \vdots \\
& & C_X^* \\
& & X \\
& C_{x(\varepsilon-\sigma)}^* - \sum_{j \in h(\varepsilon-\sigma)} p_j \leq C_X^* - \sum_{j \in H} p_j & \text{BPM-CMAX} \\
& \hat{s} \quad s & B/ \\
& S(s, B/\varepsilon) \subseteq S(\hat{s}, B/\varepsilon) & \text{MWMA} & O(n^3) \\
& h(s) \subseteq h(\hat{s}) & S(B/\varepsilon, B-s) \subseteq S(B/\varepsilon, B-\hat{s}) \\
& \text{MWMA} & x(s) \subseteq x(\hat{s}) & C^{LB_3} \quad C^{LB_4} \\
& W(x(s)) & C_{x(s)}^* = \sum_{j \in x(s)} p_j - W(x(s)) \\
& x(s) & G_{x(s)} & C^{LB_3} \geq C^{LB_2} \\
& C_{x(s)}^* - \sum_{j \in h(s)} p_j \leq C_{x(\hat{s})}^* - \sum_{j \in h(\hat{s})} p_j & \varepsilon \in [0, B/\varepsilon] (\\
& W(x(s)) \geq W(x(\hat{s})) & \vdots \\
& \hat{s} = B/\varepsilon \quad s = \varepsilon - \sigma & x(s) \subseteq x(\hat{s}) & \sum_{j \in S(B/2, B-\varepsilon)} p_j \leq \sum_{j \in S(B/2, B-\varepsilon)} p_j + C_X^* - \sum_{j \in H} p_j \\
& & \varepsilon = B/\varepsilon (& C_X^* - \sum_{j \in H} p_j \geq 0 \\
& & \vdots & H \subseteq X \\
& & \varepsilon = B/\varepsilon & \varepsilon \in (B/\varepsilon, B/\varepsilon) (\\
& C^{LB_2^{B/2}} = \sum_{j \in S(B/2, B)} p_j + C_{\bar{S}(B/2, B/2)}^{LB_1} & \varepsilon \in (B/\varepsilon, B/\varepsilon) & \\
& & & B/\varepsilon \leq s < B/\varepsilon & C^{NLB^c} \leq C_{S(B/3, B)}^* \\
& & & & S(B/\varepsilon, B-s) \\
& & & & h(s) & S(s, B/\varepsilon) \\
& & & & x(s) = S(s, B/\varepsilon) \cup h(s) & H = h(B/\varepsilon) \\
& & & & & \sigma > 0 \quad \varepsilon \in (B/\varepsilon, B/\varepsilon) \\
& C_{\bar{S}(B/2, B)}^* \leq C_{S(B/3, B)}^* & \bar{S}(B/\varepsilon, B) \subseteq S(B/\varepsilon, B) & C_{\bar{S}(\varepsilon, B-\varepsilon)}^{LB_1} - \sum_{j \in S(B/2, B-\varepsilon)} p_j \leq C_{x(\varepsilon-\sigma)}^* - \sum_{j \in h(\varepsilon-\sigma)} p_j \\
& \varepsilon = B/\varepsilon & & \vdots \\
& & & \varepsilon - \sigma > B/\varepsilon (\\
& B = 10; s_1 = 7; s_2 = 5; s_3 = 4; s_4 = 6; s_5 = 5; s_6 = 9; s_7 = 1 & [\varepsilon - \sigma, \varepsilon) & (\\
& p_1 = 10; p_2 = 14; p_3 = 13; p_4 = 1; p_5 = 7; p_6 = 19; p_7 = 6; & & (\\
& & & (B - \varepsilon, B - \varepsilon + \sigma) \\
& & & \bar{S}(\varepsilon, B/\varepsilon) = S(\varepsilon - \sigma, B/\varepsilon) :
\end{aligned}$$

(C^{UB})
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$$\begin{aligned} \varepsilon=0 &\Rightarrow C^{NLN^0} = C_{S(0,B)}^{LB_1} = C^{LB_1} = 49 \\ \varepsilon=1 &\Rightarrow C^{NLN^1} = \sum_{j \in S(9,10)} P_j + C_{S(1,9)}^{LB_1} = 0 + 49 \\ \varepsilon=4 &\Rightarrow C^{NLN^4} = \sum_{j \in S(6,10)} P_j + C_{S(4,6)}^{LB_1} = 10 + 19 + 21 = 50 \\ \varepsilon=5 &\Rightarrow C^{NLN^5} = \sum_{j \in S(5,10)} P_j + C_{S(5,5)}^{LB_1} = 1 + 10 + 19 + 14 = 44 \\ C_{S(B/2,B)}^* &= \sum_{j \in S(5,10)} P_j = 30 \\ C^{LB_2} &= \max\{0, 49, 49, 50, 44\} = 50 \\ &C_{S(B/3,B)}^* \qquad C^{LB_3} \\ &: \qquad G_X^V(V, E) \end{aligned}$$



C^{LB_3}
 MWMA

$$\begin{aligned} C_{S(B/3,B)}^* \cdot C_X^* &= (j_2 \cdot j_3 + \dots) = \\ C_{S(B/3,B)}^* &= \sum_{j \in \{j_1, j_4, j_6\}} P_j + C_X^* - \sum_{j \in \{j_4\}} P_j = \dots \\ C^{LB_2} &= \max\{ \dots \} = \dots \end{aligned}$$

LB_1

LB_3
 LB_2
 LB_1
 LB_2
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LB_3		LB_2		LB_1		(n)
()	(%)	()	(%)	()	(%)	
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 (LB)
 $AVG((C^{UB} - C^{LB}) / C^{LB})$
 $AVG(\cdot)$



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¹ Batch processing machine

² Burn-in oven

³ CPLEX

$$\begin{array}{ccccccc}
 & C_Q^A/C_Q^* & Q & A & . & A & 4 \\
 C_Q^A/C_Q^* \geq \rho & & Q & (C_Q^A \leq C_Q^*) & Q & A & C_Q^A \\
 & & A & Q & & & \rho \\
 \cdot \rho(A) = \inf\{C_Q^A/C_Q^*, \forall Q\} : & & & & & (\rho(A)) & A
 \end{array}$$

⁵ Stable set

⁶ Clique

⁷ Split graph

⁸ Partitioning with cliques

⁹ Maximum weight matching

